

*2012 State of Texas
Consolidated Annual Performance
and Evaluation Report
Reporting on Program Year 2011*



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INTRODUCTION

The Texas Department of Housing and Community Affairs (TDHCA), which administers the HOME Investment Partnerships and Emergency Shelter Grants programs, and the Texas Department of Agriculture (TDA), which administers the Community Development Block Grant Program, have completed the *2012 State of Texas Consolidated Annual Performance and Evaluation Report: Reporting on Program Year 2011*. The Texas Department of State Health Services (DSHS), which administers the Housing Opportunities for Persons with AIDS Program, completed its Consolidated Annual Performance and Evaluation Report, which is incorporated in this document.

This report is required as part of the US Department of Housing and Urban Development's (HUD's) state Consolidated Planning process and is outlined specifically in 24 CFR 91.520. The Consolidated Planning process covers four HUD formula grant programs: Community Development Block Grant (CDBG), Emergency Shelter Grants Program (ESGP), HOME Investment Partnerships (HOME) Program and the Housing Opportunities for Persons with AIDS (HOPWA) Program. The Emergency Solutions Grant Program, which will replace the Emergency Shelter Grants Program, had its interim rules released in December 2011; TDHCA has not yet received the funds for this program and, therefore, ESGP will only refer to Emergency Shelter Grant Program in this report.

This report is an integral part of HUD's Consolidated Planning process, which requires TDHCA and TDA, and DSHS (Departments) to evaluate their accomplishments over the past program year. The information contained in the Consolidated Annual Performance and Evaluation Report (CAPER) helps the Departments evaluate how well they met stated goals in the *2011 One Year Action Plan* and the *2010-2014 State of Texas Consolidated Plan*, and objectives when developing future plans. The CAPER reports on Program Year 2011 (February 1, 2011, through January 31, 2012).

The CAPER is organized into the following sections:

- Introduction. This section includes an overview of the report and the outlining federal legislation.
- Part I: Consolidated Annual Performance and Evaluation Report for CDBG, ESGP & HOME
 - Program Performance. This section includes PY 2011 performance data for the CDBG, ESGP, and HOME programs, as outlined in 24 §91.520(a), the interim rules released by HUD in December 2011. Program-specific sections of legislation, including 24 §91.520(d) for CDBG, 24 §91.520(g) for Emergency Solutions Grant Program and 24 §91.520(e) for HOME are included in this section. This does not include §91.520(f) for HOPWA which is reported separately in Part II.
 - Other Actions. This section reports the "other actions indicated in the strategic plan and the action plan," as directed by 24 §91.520(a) and addressing 24 §91.520(b) and (c). These actions include Meeting Underserved Needs and Developing Affordable Housing, Public Housing Resident Initiatives, Lead-Based Paint Hazards, Poverty-Level Households, Compliance, Institutional Structure, Reducing and Ending Homelessness and Affirmatively Furthering Fair Housing. This does not include HOPWA which is reported separately in Part II.
 - Goals and Objectives. This section reports on the goals and objectives for each program area except for HOPWA.

- Part II: Consolidated Annual Performance and Evaluation Report for HOPWA
 - HOPWA Consolidated Annual Performance and Evaluation Report.

- Part III: Citizen Participation
 - Citizen Participation. This section describes the citizen participation for the document, Part I and II.

LEGISLATION

§91.520 (Interim Rule Released by HUD in December 2011)

(a) *General.* Each jurisdiction that has an approved consolidated plan shall annually review and report, in a form prescribed by HUD, on the progress it has made in carrying out its strategic plan and its action plan. The performance report must include a description of the resources made available, the investment of available resources, the geographic distribution and location of investments, the families and persons assisted (including the racial and ethnic status of persons assisted), actions taken to affirmatively further fair housing, and other actions indicated in the strategic plan and the action plan. This performance report shall be submitted to HUD within 90 days after the close of the jurisdiction's program year.

(b) *Affordable housing.* The report shall include an evaluation of the jurisdiction's progress in meeting its specific objective of providing affordable housing, including the number and types of families served. This element of the report must include the number of extremely low-income, low-income, moderate-income, middle-income, and homeless persons served.

(c) *Homelessness.* The report must include, in a form prescribed by HUD, an evaluation of the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

(1) Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs;

(2) Addressing the emergency shelter and transitional housing needs of homeless persons;

(3) Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time

that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again; and

(4) Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are

(i) Likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health-care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions);

(ii) Receiving assistance from public or private agencies that address

(d) *CDBG.* For CDBG recipients, the report shall include a description of the use of CDBG funds during the program year and an assessment by the jurisdiction of the relationship of that use to the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities that were identified. This element of the report must specify the nature of and reasons for any changes in its program objectives and indications of how the jurisdiction would change its programs as a result of its experiences. This element of the report also must include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Introduction

(e) *HOME*. For HOME participating jurisdictions, the report shall include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations, an assessment of the jurisdiction's affirmative marketing actions and outreach to minority-owned and women-owned businesses, and data on the amount and use of program income for projects, including the number of projects and owner and tenant characteristics.

(f) *HOPWA*. For jurisdictions receiving funding under the Housing Opportunities for Persons With AIDS program, the report must include the number of individuals assisted and the types of assistance provided.

(g) *ESG*. For jurisdictions receiving funding under the ESG program provided in 24 CFR part 576, the report, in a form prescribed by HUD, must include the number of persons assisted, the types of assistance provided, and the project or program outcomes data measured under the performance standards developed in consultation with the Continuum(s) of Care.

(h) *Evaluation by HUD*. HUD shall review the performance report and determine whether it is satisfactory. If a satisfactory report is not submitted in a timely manner, HUD may suspend funding until a satisfactory report is submitted, or may withdraw and reallocate funding if HUD determines, after notice and opportunity for a hearing, that the jurisdiction will not submit a satisfactory report.

(i) The report will include a comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives.

PART I: Consolidated Annual Performance and Evaluation Report for CDBG, ESGP & HOME PROGRAM PERFORMANCE

This section reports on the PY 2011 (February 1, 2011-January 31, 2012) performance in the Community Development, Homeless and Housing categories, excluding Non-Homeless Special Needs which will be reported in Part II of this publication. Each section reports on the following subjects, as required by 24 §91.520(a):

- Description of the resources made available
- Investment of the available resources
- Geographic distribution and location of investments
- Families and persons assisted

The Community Development section reporting on the CDBG program includes the additional provisions of 24 §91.520(d), which requires that the report include (1) a description of the use of CDBG funds during the program year, (2) an assessment of the relationship of that use to the priorities and specific objectives identified in the plan, (3) the nature of and reasons for any changes in program objectives, and (4) indications of how TDA would change the program as a result of its experiences. The description of the use of CDBG funds is included in the “Investment of Available Resources” part of the CDBG program section.

For PY 2011, the State is in the unprecedented situation of administering both the Emergency Shelter Grants Program and the Emergency Solutions Grant Program. The Emergency Solutions Grant Program will eventually replace the Emergency Shelter Grant Program. This report references 24 §91.520(g), which requires that the report include for the Emergency Solutions Grant Program (1) the number of persons assisted, (2) the types of assistance provided, and (3) the project or program outcomes measured under the performance standards developed in consultation with the Continuum(s) of Care. After TDHCA Governing Board approval expected on April 12, 2012, the Substantial Amendment pertaining to the Emergency Solutions Grant Program for the 2011 One Year Action Plan (on which this document reports) will be sent for approval to HUD. The Emergency Solutions Grant Program cannot be administered without the approval of the Substantial Amendment; reporting on 91.520(g) of the interim rule will begin once the program is administered. At this time, TDHCA will report mainly on Emergency Shelter Program in this report.

The Housing section reporting on the HOME Program includes the additional provisions of 24 §91.520(e), which requires that the report include (1) the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations, (2) an assessment of the jurisdiction’s affirmative marketing actions and outreach to minority-owned and women-owned businesses, and (3) data on the amount and use of program income for projects, including the number of projects and owner and tenant characteristics. The data on the use of program funds and number of projects are included in the “Investment of Available Resources” part of the

Program Performance

Introduction

HOME Program section, while owner and tenant characteristics are included in the “Families and Persons Assisted” part.

In accordance with the guidelines from HUD, the Departments comply with the CPD Outcome Performance Measurement System. The performance measures targets, including the objectives and outcomes, are described in the One Year Action Plan chapter of the *2010-2014 State of Texas Consolidated Plan*. The Departments’ performance regarding the targets for PY 2011 is reported in this document.

COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

The objective of the Community Development Block Grant Program (CDBG) is “the development of viable communities by providing decent housing, suitable living environments, and expanding economic opportunities, principally for persons of low and moderate income (0-80 percent of Area Median Family Income (AMFI)).” Due to a state Legislative action effective October 1, 2011, TDA administers the “non-entitlement” or “states and small cities program.” Under this program, HUD allocates CDBG funds directly to the State, which, in turn, allocates funds to small, non-metropolitan cities (populations of less than 50,000) and rural counties. Large metropolitan communities (populations of 50,000 or more), known as “entitlement areas,” receive their CDBG funding directly from HUD. The demographics and rural character of Texas have shaped a state CDBG program that focuses on providing basic sanitary infrastructure to small rural communities in outlying areas. Eligible activities include sanitary sewer systems, water treatment improvements, disaster relief and urgent needs projects, housing, drainage and flood control, street improvements, and economic development.

DESCRIPTION OF RESOURCES

This section describes CDBG funding that was available for PY 2011.

PY 2011 Funding

The following table lists the amount of funds available for PY 2011 through the HUD allocation, distributed according to the CDBG 2011 Action Plan. 2011 Total State Allocation: \$66,604,562

PY 2011 CDBG State Allocation

Fund	2011 Percent	Amount Available
Community Development Fund	61.71%	\$41,101,675
Texas Capital Fund	14.51%	\$9,664,322
Colonia Fund		
Colonia Construction and Planning Fund	7.00%	\$4,660,456
Colonia EDAP Fund	3.00%	\$2,000,000
Colonia Self-Help Centers Fund	2.50%	\$1,665,114
Planning And Capacity Building Fund	1.00%	\$666,046
Disaster Relief / Urgent Need Fund	4.10%	\$2,730,787
TxCDBG STEP Fund	3.03%	\$2,018,025
Administration – 2%	2.69%	\$1,790,615
Administration Funds – \$100,000	0.15%	\$100,000
Technical Assistance – 1%	0.31%	\$207,522
Total	100.00%	\$66,604,562

PY 2011 Activities

Each activity proposed for funding under CDBG must address one or more of the following three national program objectives:

- Principally benefit low and moderate income persons. (At least 51 percent of the identified beneficiaries must have an income of less than 80 percent of the area median family income).

- Aid in the prevention or elimination of slum and/or blighted areas.
- Meet other community development needs of particular urgency which represent an immediate threat to the health and safety of residents of the community.

Activities are funded under the following program categories:

Community Development Fund

Grants are awarded on a competitive basis to address public facilities and housing needs such as sewer and water system improvements, street and drainage improvements, and housing rehabilitation activities.

Texas Capital Fund

Grants are awarded on a competitive basis based on objective scoring to eligible communities to address economic development needs by providing infrastructure and real estate improvements in support of businesses willing to create/retain jobs.

Colonia Fund

Planning and construction grants are awarded on a competitive basis for community development projects such as sewer, water, and housing rehabilitation to county applicants for projects in unincorporated “eligible colonia” areas located within 150 miles of the Texas-Mexico border and outside metropolitan areas. Eligible colonias are identifiable, unincorporated communities lacking one or more basic services such as potable water supply, adequate sewage systems, and decent, safe and sanitary housing. This fund also includes legislative set asides to provide grants for the operation of colonia self-help centers located in seven Texas-Mexico border counties and for Economically Distressed Areas Program (EDAP) grants on an “as-needed” basis to provide water and sewer connections on projects funded by the Texas Water Development Board.

Planning/Capacity Building Fund

Grants are awarded on a statewide competitive basis to assist eligible cities and counties in planning activities that assess local needs, develop strategies to address local needs, build or improve local capacity, or address other needed local planning elements. Emphasis is placed on housing analysis, mapping, and public infrastructure planning.

Disaster Relief/Urgent Need Fund

Assistance is available to localities impacted by a natural disaster or an urgent need situation. Disaster Relief Funds address damages caused by natural disasters such as floods or tornadoes following an emergency declaration by the President or Governor. Urgent need assistance is available for unanticipated and dangerous local situations, contingent upon the availability of funds.

Texas Small Towns Environment Program (STEP) Fund

Grants are awarded on a statewide competitive basis to cities and counties to assist communities willing to solve water and sewer problems by utilizing self-help techniques. This approach encourages local support such as volunteer labor and donated materials and/or equipment.

Renewable Energy Demonstration Pilot Program Fund

Grants up to \$500,000 are awarded annually on a competitive basis to non-entitlement cities and counties, with priority given to projects that use wind power, solar power or other renewable energy technologies to reduce electricity costs for water and wastewater treatment systems.

INVESTMENT OF RESOURCES

This section describes CDBG funding commitments that were made during the reporting period, using PY 2011 funds as well as program income and deobligated funds from prior program years.

PY 2011 Funding Commitments

For PY 2011, the CDBG program committed \$73,471,982 through 247 grants.

Total Amount of Funds Committed during PY 2011

Programs	No. of Awards	2011 Allocation	Prior Year - Allocation	Prior Year - Deobligated	Program Income	2011 Total Obligation
Community Development Fund	160	\$41,101,675		\$3,937,112		\$45,038,787
Colonia Construction Fund	12	\$4,254,388	\$1,439,267			\$5,693,655
Colonia Self-Help Centers	2		\$1,981,618	\$18,382		\$2,000,000
Colonia Economically Distressed Areas Program	3	\$208,700	\$1,000,000			\$1,208,700
Colonia Fund - Planning	6	\$243,000				\$ 243,000
Disaster Relief / Urgent Need	10	\$5,308,740		\$1,387,380	\$1,750,000	\$3,137,380
Texas Capital Fund	31	\$3,576,517	\$7,924,795			\$13,233,535
Planning / Capacity Building Fund	16	\$648,400				\$648,400
STEP Fund	6	\$1,050,000	\$567,533	\$424,992		\$2,042,525
Renewable Energy Demonstration Pilot Program	1				\$226,000	\$226,000
Total	247	\$52,814,903	\$12,163,213	\$6,517,866	\$1,976,000	\$73,471,982

Matching Requirements

Match requirements vary by funding category:

- Matching funds are required for the Texas Capital Fund and Planning/Capacity Building Fund contracts.
- Projects with matching funds receive a scoring advantage for other fund categories – the Community Development Fund (if selected as a scoring criteria by the Regional Review Committee), Colonia Construction Fund, Colonia Planning Fund - Area Studies, and Renewable Energy Demonstration Pilot Program.

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Community Development

- Matching funds are neither a requirement nor scoring factor for the STEP Fund, Colonia Planning Fund-Comprehensive Studies, and Colonia Self-Help Centers.

For PY 2011, the \$73,471,982 in CDBG funds was matched by 37.31%, or \$27,409,028.15 in local commitments.

Matching Funds Committed by Grantees, Contracts Awarded in PY 2011

Programs	No. of Awards	Amount of Grant Awards	Amount of Match Funds
Community Development Fund	160	\$45,038,787	\$6,603,194
Colonia Construction Fund	12	\$5,693,655	\$360,000
Colonia Fund - Planning	6	\$243,000	\$0
Colonia Self-Help Centers Fund	2	\$2,000,000	\$0
Colonia Economically Distressed Areas Program	3	\$1,208,700	\$0
Disaster Relief / Urgent Need	10	\$3,137,380	\$3,735,640
Texas Capital Fund	31	\$13,233,535	\$15,314,084
Planning / Capacity Building Fund	16	\$648,400	\$96,110
STEP Fund	6	\$2,042,525	\$0
Renewable Energy Demonstration Pilot Program	1	\$226,000	\$40,000
Total	247	\$73,471,982	\$27,409,028.15

The CDBG staff continues to work with the U.S. Department of Agriculture and Texas Water Development Board on projects that leverage funds from multiple agencies to take full advantage of the available resources.

DISTRIBUTION OF INVESTMENTS

This section reports on how PY 2011 funds were distributed and the location of CDBG awards.

Allocation Formula

The CDBG program distributes funds using both statewide competitions and regional competitions. The Community Development Fund uses a specific formula based on population, poverty, and unemployment to distribute funds on a biennial basis to each of the 24 Council of Government regions across the state. Applicants compete within each region for the funds allocated to that area. Regional competitions ensure that funds are distributed across the state and allow each region to establish its own priorities for selecting applications for funding within the scope of the program.

All other CDBG funds are available to eligible cities and counties through statewide competitive processes. A statewide competition in the smaller funding categories provides for standardized consideration and funding of the most competitive applications regardless of the project location.

Award Locations

PY 2011 CDBG awards were made in the following areas of the state:

Program Performance

Community Development

COUNTY	No. of Awards	Amount of Awards	Total Beneficiaries	LMI Beneficiaries
ANGELINA	2	\$329,405.00	2,721	1,478
ARANSAS	1	\$500,000.00	63	62
ATASCOSA	4	\$1,123,585.00	3,902	2,223
AUSTIN	2	\$384,260.00	5,400	2,801
BASTROP	5	\$1,635,500.00	7,419	4,124
BEE	1	\$300,000.00	2,408	1,528
BELL	2	\$550,000.00	4,187	2,282
BOWIE	1	\$659,200.00	10	6
BREWSTER	1	\$291,368.00	242	204
BROOKS	1	\$300,000.00	5,241	3,530
BROWN	1	\$275,000.00	844	585
BURLESON	1	\$275,000.00	3,505	1,822
BURNET	1	\$350,000.00	810	442
CALDWELL	2	\$550,000.00	1,193	868
CALHOUN	1	\$263,000.00	1,335	732
CAMERON	7	\$2,369,512.00	82,219	49,045
CASS	3	\$680,000.00	29,025	12,725 *
CHAMBERS	1	\$350,000.00	460	274
CHEROKEE	2	\$550,000.00	2,038	1,091
COKE	2	\$388,765.00	2,324	1,349
COLEMAN	1	\$275,000.00	1,009	705
COMANCHE	1	\$275,000.00	2,305	1,265
CONCHO	2	\$527,000.00	218	194
COOKE	1	\$125,000.00	75	52
CROCKETT	1	\$200,000.00	3,457	1,789
CROSBY	1	\$275,000.00	36	22
DALLAM	1	\$144,787.00	66	45
DAWSON	1	\$350,000.00	2,731	1,050 *
DEWITT	2	\$413,000.00	88	61
DICKENS	1	\$275,000.00	329	182
DIMITT	2	\$399,070.00	6,359	3,929
DONLEY	1	\$275,000.00	109	94
DUVAL	2	\$800,000.00	3,674	2,250
EASTLAND	1	\$32,565.00	797	444
EDWARDS	1	\$34,250.00	506	442
EL PASO	2	\$582,736.00	615	576
ELLIS	4	\$850,635.00	3,598	2,561
ERATH	1	\$275,000.00	3,692	2,298
FALLS	2	\$600,000.00	6,648	3,849
FANNIN	3	\$375,000.00	2,320	1,363
FAYETTE	1	\$275,000.00	1,309	780
FISHER	1	\$275,000.00	1,546	835
FRANKLIN	2	\$425,000.00	1,026	546
FRIO	1	\$275,000.00	2,882	1,964
GAINES	1	\$350,000.00	188	149
GALVESTON	1	\$350,000.00	1,600	861
GONZALES	1	\$263,000.00	429	234
GRAYSON	3	\$375,000.00	197	167
GREGG	2	\$425,000.00	6,078	3,216

Program Performance

Community Development

COUNTY	No. of Awards	Amount of Awards	Total Beneficiaries	LMI Beneficiaries
GRIMES	1	\$150,000.00		**
HALE	1	\$275,000.00	169	149
HALL	1	\$275,000.00	537	315
HAMILTON	2	\$550,000.00	503	341
HANSFORD	2	\$700,000.00	4,207	1,587
HARDEMAN	1	\$275,000.00	578	545
HARRISON	1	\$350,000.00	1,510	1,368
HEMPHILL	1	\$275,000.00	43	39
HENDERSON	3	\$825,000.00	1,739	985
HIDALGO	2	\$870,000.00	967	948
HILL	2	\$347,265.00	9,402	5,113
HOCKLEY	1	\$271,250.00	1,315	745
HOPKINS	3	\$697,300.00	860	573
HOUSTON	2	\$550,000.00	1,267	685
HOWARD	1	\$254,200.00	160	88
HUNT	3	\$825,000.00	10,607	7,046
HUTCHINSON	1	\$275,000.00	1,642	923
JACK	1	\$275,000.00	375	354
JEFF DAVIS	1	\$291,368.00	687	556
JEFFERSON	3	\$825,000.00	889	559
JIM WELLS	4	\$888,655.00	6,391	3,883
JOHNSON	3	\$1,775,000.00	328	234
KARNES	4	\$863,765.00	3,452	2,340
KAUFMAN	1	\$275,000.00	416	360
KENDALL	1	\$150,000.00		**
KINNEY	2	\$251,543.00	1,981	1,285
KLEBERG	1	\$500,000.00	114	112
KNOX	1	\$262,500.00	1,467	804
LA SALLE	1	\$304,089.00	629	484
LAMAR	1	\$275,000.00	98	73
LAMPASAS	2	\$782,565.00	728	454
LEON	2	\$425,000.00	1,811	926
LIBERTY	1	\$350,000.00	741	504
LIMESTONE	2	\$600,000.00	2,629	1,373
LIPSCOMB	1	\$275,000.00	437	275
LIVE OAK	1	\$400,000.00	16	9
LLANO	1	\$275,000.00	667	352
LUBBOCK	2	\$378,120.00	854	522
LYNN	2	\$362,380.00	2,741	1,394
MADISON	1	\$275,000.00	4,013	2,056
MASON	1	\$350,000.00	1,484	484
MATAGORDA	2	\$700,000.00	6,279	3,453
MAVERICK	3	\$2,080,000.00	6,617	5,165
MCCULLOCH	3	\$725,000.00	5,492	2,940
MEDINA	2	\$625,000.00	17,845	6,240
MIDLAND	1	\$100,000.00	11,332	5,889
MILAM	1	\$275,000.00	267	207
MOORE	1	\$1,000,000.00	100	51

Program Performance

Community Development

COUNTY	No. of Awards	Amount of Awards	Total Beneficiaries	LMI Beneficiaries
MORRIS	2	\$384,775.00	2,826	1,472
NACOGDOCHES	2	\$1,025,000.00	117	64
NAVARRO	1	\$275,000.00	264	178
NOLAN	2	\$527,083.00	102	90
NUECES	3	\$387,390.00	4,641	2,487
ORANGE	2	\$550,000.00	2,473	1,408
PALO PINTO	3	\$1,444,500.00	564	355
PARKER	2	\$550,000.00	2,365	1,313
PARMER	1	\$275,000.00	473	357
PECOS	1	\$350,000.00	418	219
POLK	1	\$275,000.00	1,714	1,011
PRESIDIO	2	\$582,736.00	4,325	3,172
REAL	2	\$680,532.00	1,007	677
REEVES	2	\$532,565.00	595	423
REFUGIO	1	\$25,635.00	269	165
ROBERTSON	2	\$425,000.00	1,299	768
RUSK	2	\$625,000.00	315	226
SAN PATRICIO	6	\$2,654,405.00	13,374	7,817
SAN SABA	1	\$293,820.00	60	36
SCHLEICHER	1	\$226,000.00	1,951	1,026
SHELBY	2	\$550,000.00	164	142
SMITH	2	\$643,600.00	423	393
STARR	4	\$2,462,012.00	4,125	4,014
STERLING	1	\$200,000.00	947	486
TARRANT	2	\$623,705.00	2,558	1,569
TERRY	1	\$275,000.00	221	148
TITUS	1	\$275,000.00	120	78
TRINITY	3	\$1,300,000.00	3,744	2,089
UPSHUR	1	\$275,000.00	209	136
UVALDE	1	\$500,000.00	70	70
VAL VERDE	2	\$403,333.00	459	373
VAN ZANDT	1	\$275,000.00	3,028	1,605
VICTORIA	1	\$263,000.00	1,404	836
WALKER	1	\$150,000.00		**
WALLER	3	\$859,000.00	7,911	5,150
WARD	2	\$700,000.00	840	446
WASHINGTON	1	\$250,000.00	361	96
WEBB	1	\$481,006.00	3,535	3,115
WHARTON	1	\$350,000.00	1,278	912
WICHITA	1	\$275,000.00	196	181
WILLACY	2	\$645,456.00	10,617	6,733
WISE	3	\$825,000.00	5,918	3,138
WOOD	2	\$820,130.00	63	42
YOUNG	1	\$275,000.00	170	126
ZAPATA	2	\$804,661.00	73	64
TOTALS	247	73,471,982.00	30,962	20,003

* Includes one or more projects funded under the Urgent Need National Objective

** Beneficiaries not yet reported for Elimination of Slum and Blight National Objective

FAMILIES AND PERSONS ASSISTED

This section describes the households and persons assisted with CDBG funds.

Anticipated Persons Served with PY 2011 Funding

For contracts that were awarded with PY 2011 funds, there are 413,205 total anticipated beneficiaries, of which 58 percent were low- and moderate-income persons.

Estimated Beneficiaries, Contracts Awarded in PY 2011

FUND	Proposed Beneficiaries	Proposed LMI Beneficiaries
Community Development Fund	225,759	136,683
Colonia Construction Fund	1,830	1,738
Colonia Self-Help Centers	7,507	6,621
Colonia Economically Distressed Areas Program	1,209	1,209
Disaster Relief / Urgent Need	126,437	64,624
Texas Capital Fund	575	352
Planning / Capacity Building Fund	30,073	16,321
STEP Fund	4,809	3,182
Renewable Energy Demonstration Pilot Program	1,951	1,026
TOTAL	413,205	239,088

Actual Persons Served in PY 2011

For contracts closed during PY 2011, 757,241 persons actually received service through CDBG contracts.

Actual Beneficiaries, Contracts Closed in PY 2011

Programs	Total Beneficiaries	LMI Beneficiaries
Community Development / Community Development Supplemental Fund	195,317	118,220
Colonia Construction Fund	1,978	1,613
Colonia Planning Fund	16,758	10,100
Colonia Economically Distressed Areas Program	579	579
Colonia Self Help Centers	26,416	26,416
Disaster Relief / Urgent Need	314,096	126,376
Texas Capital Fund	61,146	27,743
Planning / Capacity Building Fund	44,399	25,711
STEP Fund	5,514	3,819
Housing Infrastructure Fund	58	32
Renewable Energy Demonstration Pilot Program	14,786	14,494
Total	681,047	355,103

A significant number of contracts assisted communities affected by natural disasters and were funded under the Urgent Need national objective. The following section addresses contracts funded under the national objective to primarily benefit low/moderate income persons.

Income Status of Persons Assisted

The CDBG program collects information on beneficiaries according to low/moderate income status. Most funding categories require applications to benefit a minimum of 51% low or moderate income persons. Colonia Planning Fund applicants can qualify for funding under the slum and blight national objective. While the Colonia Planning grant does not require a low/moderate income threshold, the strategies that result from the grant, if implemented, will benefit primarily low to moderate income persons. Similarly, Disaster Relief/Urgent Need Fund applicants can qualify under the urgent need national objective without a low/moderate income benefit; however many of these projects do benefit primarily low to moderate income persons and only those contracts are included in the CDBG low/moderate income national objective reporting and are included below. CDBG awards well over 70% of grant funds to projects benefitting primarily low or moderate-income persons.

The table below reflects contracts closed during PY 2011 that meet the low/moderate income national objective. Not included in the table below are the 44 contracts which met the urgent need or slum/blight national objectives, including the Downtown Revitalization and Main Street Program contracts in the Texas Capital Fund and certain Disaster Relief contracts, and are not included in the table below.

**Income Status of Actual Beneficiaries,
Contracts Closed in PY 2011 under the LMI National Objective***

Programs	Total Beneficiaries	LMI Beneficiaries	% LMI
Community Development / Community Development Supplemental Fund	195,317	118,220	63.4%
Colonia Construction Fund	1,978	1,613	86.0%
Colonia Planning Fund	14,124	9,036	86.9%
Colonia Economically Distressed Areas Program	579	579	73.9%
Colonia Self Help Centers	26,416	26,416	100.0%
Disaster Relief / Urgent Need	28,363	18,403	54.6%
Texas Capital Fund	10,001	5,645	56.2%
Planning / Capacity Building Fund	44,399	25,711	64.8%
STEP Fund	5,514	3,819	70.5%
Housing Infrastructure Fund	58	32	63.4%
Renewable Energy Demonstration Pilot Program	14,786	14,494	98%
Total	341,535	223,968	66%

Reported beneficiaries include only contracts meeting the low/moderate income national objective.

Racial and Ethnic Status of Persons Assisted

The racial and ethnic status of persons receiving assistance for contracts closed in PY 2011 is reported below.

Racial and Ethnic Status of Beneficiaries, Contracts Closed in PY 2011

Race / Ethnicity	Persons Assisted	Persons Assisted	Total	Percent
	Non-Hispanic	Hispanic		
White	373,397	192,157	565,554	83.04%
Black / African American	60,108	716	60,824	8.93%
Asian	2,598	115	2,713	0.40%
American Indian/Alaska Native	2,749	687	3,436	0.50%
Native Hawaiian/Other Pacific Islander	415	45	460	0.07%
Black/African American and White	1,472	48	1,520	0.22%
Asian and White	623	38	661	0.10%
American Indian/Alaska Native and White	1,109	120	1,229	0.18%
American Indian/Alaska Native and Black/African American	271	30	301	0.04%
Asian/Pacific Islander	0	14	14	0.00%
Other Race or Multi-Race	10,927	33,408	44,335	6.51%
Total	453,669	227,378	681,047	100.0%

ACCOMPLISHMENTS IN HIGH PRIORITY AREAS

This section demonstrates how activities undertaken during the program year address identified areas of high priority and objectives.

Non-Housing Community Development Priority Needs Summary Table

Priority Community Development Needs	Priority Need Level
PUBLIC FACILITY NEEDS	Medium
INFRASTRUCTURE IMPROVEMENT	High
Solid Waste Disposal Improvements	Medium
Drainage and Flood Control Improvements	High
Water System Improvements	High
Street and Bridge Improvements	High
Sewer System Improvements	High
PUBLIC SERVICE NEEDS	Medium
ECONOMIC DEVELOPMENT NEEDS	High
OTHER COMMUNITY DEVELOPMENT NEEDS	Medium
PLANNING	High

Specific Accomplishments

The following goals address the high priority needs identified above. Activities undertaken during the PY 2011 period that accomplish these goals are described.

INFRASTRUCTURE IMPROVEMENTS

Encourage projects that address basic human needs such as water, sewer and housing; projects that provide a first-time public facility or service; and projects designed to bring existing services up to at least state minimum standards as set by the applicable regulatory agency.

Overall, 64% of funds awarded by CDBG (excluding local administrative costs) address basic human needs.

- CDBG funded 160 projects under the Community Development Fund in PY 2011, obligating 68% of the total annual CDBG allocation. Over 80% CD funds awarded address basic human needs.
- The STEP Fund is designed to make a large impact by leveraging local resources and self-help volunteer labor to install needed water and sewer facilities at a cost that is affordable for the assisted communities. All STEP funds address basic human needs. CDBG awarded 6 STEP grants during this reporting period, obligating \$2,042,525 for projects to benefit 4,809 persons of which 3,182 or 66% are low and moderate income persons.

Contracts Awarded in PY 2011 by Activity – Selected Funds

Activity	CD Funds	% of CD Funds	STEP Funds	% of STEP Funds
Water/Sewer Facilities	\$ 33,188,078	73.7%	\$ 1,838,115	90%
Housing Rehabilitation	\$ 167,900	0.4%	\$ 5,350	0.3%
Drainage	\$ 1,021,068	2.3%	-	0.0%
Streets	\$ 6,975,942	15.5%	-	0.0%
Clearance/Demolition	\$ 44,250	0.1%	-	
Other Facilities	\$ 107,828	0.2%	-	0.0%
Administration	\$ 3,533,721	7.9%	\$ 199,060	9.8%
Total	\$ 45,038,787	-	\$ 2,042,525	-
Basic Human Needs	\$ 33,355,978	-	\$ 1,843,465	-
All Construction Dollars	\$ 41,505,166	-	\$ 1,843,465	-

ECONOMIC DEVELOPMENT

Provide funds for economic development and business expansion in rural communities. Fund economic development projects that create or retain jobs.

In PY 2011, CDBG funded 31 contracts under the Texas Capital Fund for a total of \$13,233,535 in CDBG assistance. The \$11,630,415 obligated for real estate and infrastructure projects is expected to create or retain 671 jobs in rural communities, with at least 51% of those jobs created or retained by low- and moderate-income workers. The estimated average cost per job created or retained through these

Program Performance

Community Development

contracts is \$17,333. An additional \$1,603,120 was awarded to contracts under the Main Street Program and the Downtown Revitalization Program.

For existing contracts that were closed during the reporting period, the Texas Capital Fund provided economic development assistance to 25 communities expending \$7,548,443 in CDBG funds and \$6,985,050 in matching funds.

Eleven of the closed contracts provided \$5,562,228 for infrastructure or real estate to create or retain 509 jobs with 332 of the new jobs created or retained by low or moderate income workers. Contracts funded under CDBG economic development are required to create or retain one job for every \$25,000 in CDBG funds expended. The 11 contracts closed during PY 2011 expended \$10,928 for each job created.

Texas CDBG administered two additional programs during PY 2011 to promote economic development. Both programs provided grant funds to communities, which in turn made loans to small businesses: the Micro-Enterprise Loan Fund targeted toward businesses with five or fewer employees, and the Small Business Loan Fund which benefits businesses with 100 or fewer employees. The loan recipients commit to creating or retaining jobs in rural communities and to ensuring that 51% or more of those are jobs filled by low or moderate income persons. Texas CDBG did not award new contracts under these funds but continued administration of fifteen contracts previously awarded under both funds.

In addition to job creation activities, the Texas Capital Fund expended \$832,462 in six communities under the Main Street Program, and \$1,153,753 in eight communities under the Downtown Revitalization Program.

HOUSING IMPROVEMENTS

Provide assistance to low to moderate income households by providing direct housing rehabilitation and infrastructure to support affordable housing.
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CDBG administers housing assistance contracts that provide rehabilitation, acquisition, clearance, new construction, and provision of other facilities through the Community Development Fund and Colonia Fund. These contracts include a maximum cost of \$25,000 for each rehabilitated housing unit or \$55,000 for each reconstructed unit, according to Texas CDBG program requirements.

In PY 2011, CDBG awarded two contracts totaling \$2,000,000 through the Colonia Self-Help centers, with \$1,555,000 of that amount going to housing rehabilitation construction. Those two contracts are expected to serve 127 households with all 559 beneficiaries being low to moderate income. In addition, CDBG closed three contracts in PY 2011, providing housing rehabilitation to 29 households, with all 70 beneficiaries being low to moderate income.

Displacement for these contracts is limited to voluntary participants and displacement costs are not eligible for reimbursement or included in project narratives. All households, businesses, or other entities impacted by a CDBG-assisted housing project, along with their needs and preferences, were identified by the locality during the homeowner application process, and only those homeowners choosing to participate were displaced in any way.

Texas CDBG also administers Housing Infrastructure Fund projects, which fund infrastructure construction in support of new affordable housing developments. During PY 2011, CDBG closed one

contract providing \$400,000 for infrastructure to support 16 new housing units benefitting 58 beneficiaries of which 32 are low to moderate income persons.

Provision of yardlines for first-time water or sewer services is the most common housing rehabilitation activity in the Texas CDBG program. During PY 2011, CDBG funded 16 contracts through four different grant programs to provide water or sewer services on private property, including installing water and sewer yardlines and on-line sewer facilities. The \$6,850,083 in CDBG assistance is expected to benefit 2,842 low to moderate income persons. Private property improvements installed to benefit persons that are not low to moderate income may be included in the project but must be funded through local or other private funds.

COLONIA IMPROVEMENTS

Provide support for colonia communities, including funding for public improvements through a Colonia Construction Fund and Colonia Economically Distressed Areas Program, funding for planning through a Colonia Planning Fund, and Self-Help Centers established in border counties.

The Colonia Fund is the second largest program administered by Texas CDBG. In 2011 CDBG funded 12 Colonia Fund-Construction (CFC) projects totaling \$5,693,655 which will benefit 1,830 persons, of which 1,738 are low- to moderate income persons.

All CFC funds awarded in PY 2011, excluding local administrative costs, address basic human needs. Ten of the CFC contracts totaling \$4,693,655 included funds for first time public water or public sewer facilities or for replacement of failing on-site sewer facilities. These ten contracts are expected to benefit 1,341 low to moderate income persons in 371 households.

CDBG coordinated with the Economically Distressed Areas Program (EDAP) on three contracts known as Colonia EDAP (CEDAP) projects, obligating \$1,208,700 to fund water and sewer connections for projects funded by the Texas Water Development Board. Beneficiaries of these CEDAP projects include 1,209 persons in 320 households, 100% of which are low to moderate income.

A rider to TDA's state appropriation retains 2.5% of the total CDBG appropriation for the operation of colonia self-help centers in seven border counties, in addition to the 10% federally mandated colonia set-aside. The activities of the self-help centers are overseen by the Texas Department of Housing and Community Affairs Office of Colonia Initiatives (TDHCA-OCI). Separately, three border field offices, operated by TDHCA-OCI staff and supported in part by CDBG funds, are located in El Paso, Edinburg and Laredo to provide technical assistance to area residents and other interested parties. The TDHCA-OCI staff continues to provide technical assistance and disseminate information regarding available programs administered by TDHCA that could assist in addressing colonia issues and other local priority needs.

Two grants were awarded through the Colonia Self-Help Center Fund in 2011 for a total of \$2,000,000. These will benefit 7,507 people, with 6,621 being low- to moderate- income. TDHCA-OCI continued to administer 5 contracts previously awarded for four-year periods.

Colonia Fund Activities, Contracts Awarded in PY 2011

Activity	CFC	CSH	CEDAP	Colonia Fund Total	% of Colonia Fund Total
Water/Sewer Facilities	\$3,408,391	-	-	\$3,408,391	37.3%
Housing Rehabilitation	-	\$60,000	-	\$60,000	0.7%
Homeownership Assistance	\$402,834	\$300,000	\$99,800	\$802,634	8.8%
Public Services	\$5,693,655	\$2,000,000	\$1,208,700	\$9,145,355	-
Planning (CFP)	\$3,408,391	-	-	\$3,408,391	37.3%
Administration	-	\$60,000	-	\$60,000	0.7%
Total	-	\$85,000	-	\$85,000	0.9%
Basic Human Needs	\$5,290,821	\$1,555,000	\$1,108,900	\$7,954,721	-
All Construction Dollars	\$5,290,821	\$,555,000	\$1,108,900	\$7,954,721	-

DISASTER RELIEF / URGENT NEED

Provide assistance for the recovery from natural disasters and fund projects that resolve threats to the public health and/or safety of local residents in rural areas.

During this reporting period, 10 grants were awarded for Disaster Relief/Urgent Need Fund projects. The \$3,137,380 obligated for these contracts will provide urgently needed assistance or alleviate the impacts of natural disasters for 126,437 Texans. An estimated 64,624 of the total beneficiaries for these projects are persons with low and moderate income.

PLANNING

Provide assistance to local governments in rural areas, emphasizing planning activities that primarily address problems in the areas of public works and housing assistance.

Texas CDBG awarded 16 grants totaling \$648,400 for planning and capacity building projects. These projects are expected to benefit 30,073 persons including 16,321 low- and moderate-income persons. The 2011 planning projects primarily address public works and housing planning elements and leverage an estimated \$96,110 in other funding.

Persons with Disabilities

TDA accomplished the following to address the needs of persons with disabilities during PY 2011:

- Localities wishing to address the needs of persons with disabilities may include removal of architectural barriers as an eligible activity in an application for CDBG assistance. In PY 2011, funds awarded under the Texas Capital Fund Main Street Program provided accessible ramps and sidewalks among other improvements, and certain housing rehabilitation projects included improvements to make the beneficiary’s home accessible.
- Texas CDBG and all grantees are required to comply with federal and state non-discrimination regulations and monitored for Section 504 compliance.

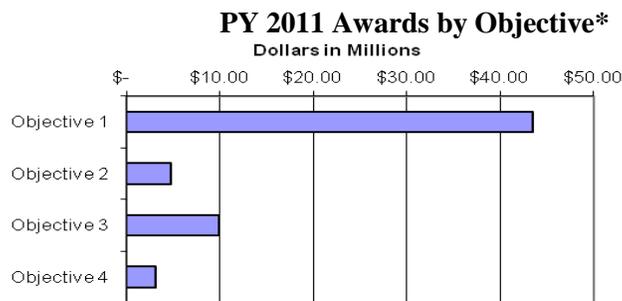
RELATIONSHIP BETWEEN USE OF FUNDS AND PROGRAM OBJECTIVES

The purpose of the Texas Community Development Block Grant Program (CDBG) continues to be the development of viable communities by providing decent housing and a suitable living environment and

by expanding economic opportunities, principally for persons of low and moderate income. The funding allocations among the CDBG programs and the activities funded within those programs reflect the following state development objectives and priorities:

The objectives of the Texas Community Development Block Grant Program are as follows:

- Objective 1: To improve public facilities to meet basic human needs, principally for low and moderate income persons.
- Objective 2: To improve housing conditions, principally for persons of low and moderate income.
- Objective 3: To expand economic opportunities by creating or retaining jobs, principally for low and moderate income persons.
- Objective 4: To provide assistance and public facilities to eliminate conditions hazardous to the public health and of an emergency nature.



* Activities may meet more than one objective.

The largest percentage of the funds obligated during this period were used to address Objective 1, the *basic human needs* of water, sewer, and housing. Objective 2 *housing conditions* is addressed through several funds according to local priorities. Objective 3 *job creation and retention* was addressed under the Texas Capital Fund. Through the Disaster Relief/Urgent Need Fund, the State continues to address Objective 4 *disaster relief* to provide assistance to meet the needs resulting from the disaster situations that impact Texas during each program year. The graph above charts CDBG funds according to the objective(s) met by funded activities.

CHANGES IN PROGRAM OBJECTIVES

The Texas Department of Agriculture (TDA) does not intend to make any changes to the program objectives described above. The changes in funding categories implemented in PY 2010 which relate to the program objectives are discussed in the next section.

The proposed 2012 Action Plan for the Texas Community Development Block Grant (CDBG) Program was presented for public comment at four (4) public hearings in the following cities: Austin, Huntsville, Bishop and Abilene. The Austin hearing was also conducted as a webinar.

The following are comments received for the proposed 2012 CDBG Action Plan relative to changing program objectives or related funding:

- CD Fund regional allocation formula – a \$1,000,000 base amount should be applied to each region before using the current regional allocation formula.
- CD Fund marginal applicants – raise the minimum amount that can compete from \$75,000 to \$100,000.
- State staff should do all of the regional scoring. They are in a better position to provide technical assistance, if necessary.
- The appeal period for Regional Review Committee Scoring disputes should be raised from 15 days to 30 days.
- The maximum application amount for the Texas Capital Fund’s Texas Main Street Program and Downtown Revitalization Program should be raised from \$150,000 to \$200,000.

PROGRAM CHANGES BASED ON EXPERIENCES

Because PY 2012 is the second year of a two-year biennial selection process there were no substantial changes to the 2012 Action Plan. The following changes were implemented during the program year to further improve the program:

Colonia Economically Distressed Areas Program (CEDAP)

To address the important issue of timely expenditure, a CEDAP applicant may not have an existing CEDAP contract open that exceeds the original contract period.

Closeout of Program Years

- Tracking: TDA focused considerable effort in PY 2011 on tracking and closing contracts funded through Program Years prior to 2000. TDA anticipates requesting closeout of PYs 1999 and 2000 with the PY 2012 PER.
- Fund Substitution: TxCDBG proposed to HUD, and received approval, to expend available funds from older Program Years by substituting those funds for funds obligated to existing contracts that are tied to more recent program years. TDA intends to use this same process to expend all remaining funds in PYs 1998 through 2000.
- Subrecipient Repayment Agreements: Certain grant recipients have been required to return some or all grant funds, which must then be re-obligated and expended to close the program year. Several repayment agreements were completed during PY 2011 and TDA is re-obligating and expending those funds promptly. The table below provides details as of January 31, 2012, for the contracts that have currently been asked to repay funds associated with PY 1996 to PY 2006.

Timeline for Anticipated Program Year Closeout Based on Current Repayment Plans

Program Year	Contract	Grantee	Fund	Total Repayment	Last Payment Due	Anticipated PY Close*
1996	716039	Asherton	CD	\$59,050.00	12/01/2014**	PER 2014
1998	No repayments, Last Anticipated Closeout: Spring 2012					PER 2012
1999	No repayments, Last Anticipated Closeout: Fall 2012					PER 2012
2000	No repayments, Last Anticipated Closeout: Fall 2012					PER 2012
2001	726046	Emory	STEP	\$69,223.50	6/01/2012	PER 2013
2002	No repayments Last Anticipated Closeout: 2014 (CSH)					PER 2014
2005	725871	Toyah	CD	\$24,498.00	TBD	
2006	726066	Morton	STEP	\$18,500.00	10/01/2014	

* Anticipated PY Close date is based on timely repayment of disallowed costs

** These contractors also have audit or other eligibility concerns and are the least likely to complete repayment

The following changes are underway or represent potential changes from existing initiatives:

- **Positive working relationships with program stakeholders.**
 - TxCDBG staff is part of an interagency workgroup with a focus on infrastructure improvement grants across Texas. The group is working toward greater cooperation among the funding agencies on complex projects requiring funding or approval from two or more sources.
 - The program continued to provide comprehensive training to the Regional Review Committees that score the CD/CDS applications, while working with the RRCs and HUD to develop a revised method of local scoring that meets all HUD requirements.
- **Technical Assistance.** CDBG staff provided training for communities and administrative consultants throughout the state, including:
 - 2009 TxCDBG Project Implementation Manual, with revisions issued in 2010 and 2011;
 - *Project Implementation Workshops* based on the current Project Implementation Manual;
 - *Environmental Issues Training Workshops*;
 - *Census and Survey Methodology Workshops*;and
 - *Application Workshops for the Community Development Fund and Planning & Capacity Building Fund*

HUD PERFORMANCE MEASURES

Texas CDBG has implemented the HUD Performance Outcome Measurement System Applications submitted in PY 2011 and closeout documents submitted under the Program Implementation Manual were required to identify the Objective (1. Creating Suitable Living Environments; 2. Providing Decent Affordable Housing; or 3. Creating Economic Opportunities) and the Outcome (1. Availability/Accessibility; 2. Affordability; or 3. Sustainability) addressed by the project.

The table below shows the performance measures identified for activities awarded in PY 2011:

Performance Measures, Activities Awarded in PY 2011

Performance Measure Identified	Proportion of Activities - Projected	Proportion of Activities - Actual
Activities to create Suitable Living Environments	-	-
through Availability/Accessibility	42.2%	50.5%
through Affordability	16.8%	0.1%
through Sustainability	29.9%	36.8%
	88.8%	88.4%
Activities to provide Decent Housing	-	-
through Availability/Accessibility	0.4%	0.1%
	0.4%	0.1%
Activities to create Economic Opportunities	-	-
through Availability/Accessibility	4.5%	4.0%
through Affordability	1.9%	0%
through Sustainability	4.5%	7.2%
	10.8%	11.2%

MINORITY OUTREACH

The Texas Department of Agriculture and its individual units have been successful in hiring qualified minority staff.

- The minority labor force percentage for all Statewide Agencies, provided by the Texas Workforce Commission in the biennial report (as of January 2011), is 37.6 percent; the Texas Department of Agriculture percentage of minority employees is 41.8 percent.
- The female labor force percentage for all Statewide Agencies is 56 percent; the Texas Department of Agriculture percentage of female employees is 50 percent.

Summary of Minority Business Enterprise Activities

The Texas Facilities Commission (TFC) provides business services including maintaining the Centralized Master Bidders List, which includes the Historically Underutilized Businesses (HUB) List, as well as a list dedicated only to HUB listings. All Contractor localities can obtain a copy of this list of minority-owned businesses through TFC. These businesses have been certified through the State’s TFC program. Contact numbers and website addresses are included in the TxCDBG Implementation Manual.

The directory can assist CDBG contractors in identifying minority- and women-owned businesses that provide goods and services in their immediate area and in the state. The online directory also provides an opportunity for local minority- and women-owned businesses to sign-up for HUB certification through the Internet.

The Texas Community Development Block Grant Program continues to require that all grantees submit Minority Business Enterprise information, including gender information, on each contract over \$10,000

as those contracts are executed. Instructions for reporting CDBG contractors are provided in the TxCDBG Project Implementation Manual. The information from these reports is compiled and reported annually to the HUD Regional Office in Fort Worth.

The state reviews the performance of all CDBG grantees and monitors the compliance with the required civil rights laws. All bid documents and contracts must contain equal opportunity provisions and Section 504 requirements must be in place to avoid discrimination on the basis of disability. The state also enhanced the existing oversight and reporting of Section 3 requirements during PY2011.

CDBG staff closely monitors the Contractor files for the following program requirements:

- Were equal opportunity guidelines followed in advertising vacancies, such as posting job vacancies and including equal opportunity language?
- Does the city/county have a written Section 3 Policy (or equivalent)? Is it followed? Has Section 3 information been properly reported?
- Did the city/county implement procedures that allow individuals with disabilities to obtain information concerning the existence and location of accessible services, activities and facilities?
- Did the city/county adopt 504 grievance procedures that incorporate due process standards and allow for prompt resolution of complaints?
- Has the city/county adopted and enforced a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individual engaged in nonviolent civil rights demonstrations, and a policy of enforcing applicable state and local laws against physically barring entrance to or exit from a facility or location which is the subject of such nonviolent civil rights demonstration within its jurisdiction?
- Has the city/county provided in the closeout reports the final beneficiaries for the project beneficiaries broken out, by race, ethnicity, gender, and low/moderate income status?

If evidence of the above program requirements was not found in the files, the locality is allowed 30 days to provide the information, or complete the activity and submit proof of compliance.

Minority Business Enterprise Participation October 1, 2010 to September 30, 2011*

Funds/Contracts	Subcontracted by CDBG Grant Recipients	Subcontracted to MBEs	% Subcontracted to MBEs
Amount of Funds	\$ 90,974,171	\$ 12,349,677	14.0%
Number of Contracts	832	106	13.0%

* Reported on a biennial basis

HOMELESS: EMERGENCY SHELTER GRANTS PROGRAM

TDHCA has administered the Emergency Shelter Grants Program since 1987. TDHCA is in the unprecedented position of administering the Emergency Shelter Grants Program and Emergency Solutions Grant Program both in the same program year. However, to administer the Emergency Solutions Grant Program, a Substantial Amendment to the 2011 One Year Action Plan must be approved by HUD. The Substantial Amendment was released for public comment from March 2, 2012 to April 2, 2012 and the Substantial Amendment will be sent to HUD after approval from TDHCA's Governing Board expected on April 12, 2012. Reporting on the Emergency Solutions Grant Program's §91.520(g) of the interim rule released by HUD in December 2011 will begin once HUD approves the Substantial Amendment. In this document, ESGP refers to the Emergency Shelter Grants Program.

The state's strategy to help homeless persons includes:

- community outreach efforts to ensure that homeless persons are aware of available services;
- providing funding to support emergency shelter and transitional housing programs;
- helping homeless persons make the transition to permanent housing and independent living through comprehensive case management;
- providing assistance to prevent homelessness; and
- supporting efforts to address and prevent homelessness.

Emergency shelter and transitional housing needs of homeless persons are addressed by utilizing ESGP grant funds to provide support to organizations that provide emergency services, shelter, and transitional housing to homeless persons and families. ESGP subrecipients assess the needs of homeless persons and those persons assisted to prevent homelessness through a case management system. To ensure that homelessness prevention funds are used appropriately and efficiently, ESGP subrecipients are encouraged to maximize all community resources when providing homelessness prevention assistance.

The objectives of the ESGP program are to:

- help improve the quality of emergency shelters for the homeless;
- make additional emergency shelters available;
- help meet the cost of operating and maintaining emergency shelters;
- provide essential services so that homeless individuals have access to the assistance they need to improve their situations; and
- provide emergency intervention assistance to prevent homelessness.

DESCRIPTION OF RESOURCES

This section describes ESGP funding that was available for PY 2011.

PY 2011 Funding

The following ESGP resources were made available in PY 2011.

PY 2011 State ESGP Allocation

Total 2011 State ESGP Allocation	\$ 5,171,449
5% State Administration (\$)	
Shared Administration	\$ 4,000
Reserved State Administration	\$ 245,572
Regional Obligation	\$ 4,916,877
Reobligated 2010 ESGP funds	\$ 259,978
Total Funds Obligated	\$ 5,176,855

PY 2011 Activities

The following activities are performed with ESGP PY 2011 funding:

- Renovation, major rehabilitation, or conversion of buildings for use as emergency shelters for the homeless.
- Provision of essential services*, including (but not limited to):
 - a. assistance in obtaining permanent housing;
 - b. medical and psychological counseling and supervision;
 - c. employment counseling;
 - d. nutritional counseling;
 - e. substance abuse treatment and counseling;
 - f. assistance in obtaining other federal, state, and local assistance;
 - g. other services such as child care, transportation, job placement, and job training; and
 - h. staff salaries necessary to provide the above services.
- Payment of maintenance, operation, and furnishings costs, except that not more than 10 percent of the amount of any ESGP grant may be used to pay operation staff costs.
- Developing and implementing homeless prevention activities as per Sec. 414 of the McKinney Act as amended by Sec. 832 of the Cranston-Gonzalez National Affordable Housing Act.

*Services must be provided pursuant to Sec. 414 of the McKinney Act as amended by Sec. 832 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. Sec. 11374), which requires ESGP-funded services to be provided in a non-discriminatory manner.

INVESTMENT OF RESOURCES

This section describes ESGP funding commitments that were made with PY 2011 funds.

PY 2011 Funding Commitments

ESGP funds received for PY 2011 were awarded in May 2011. The State ESGP contracts using PY 2011 funds began on September 1, 2011, and will end August 31, 2012, corresponding with the Texas State Fiscal Year (FY). For PY 2011, ESGP committed \$5,171,449 (FY 2011 funds) through 44 grants, including shared administrative funds.

The Department is anticipating receiving a second PY 2011 Emergency Solutions Grants Program allocation totaling \$2,908,940. HUD will not award these funds until the Substantial Amendment to the State's 2011 Consolidated Plan is approved. This second allocation is not included in this report.

ESGP PY 2011 Funding Commitments

Funding Commitments	State FY 2011
Contract Dates	9/1/11-8/31/12
Number of Grant Recipients, Statewide	44
State ESGP Allocation	\$ 5,171,449
State Administration	\$ 254,572*
Funds Committed	\$ 4,916,877
Reobligated FY 2008 ESGP funds	\$ 259,978
Total Allocated	\$ 5,176,855

* Excludes \$4,000 of the State administration funds shared with units of local government.

PY 2011 ESGP Funding Commitments by Activity (SFY'10 2/1/11-8/31/11 and SFY'11 9/1/11-1/31/12)

Activity	Funding Amount	Percentage
Rehabilitation	\$ 0	0%
Maintenance, Operations	\$ 2,120,904	45.90%
Essential Services	\$ 1,207,274	26.12%
Homeless Prevention	\$ 921,009	19.93%
Operations Administration	\$ 367,246	7.95%
Administration shared w/local govts	\$ 4,504	.10%
Total Funds Committed	\$ 4,620,937	100%

Includes ESG expenditures from two contract periods: State Fiscal Year (SFY) 2010 and SFY 2011

Matching Requirements

Section 576.51 of the ESGP regulations states that each grantee must match the funding provided by HUD. These matching funds must be provided after the date of the grant award to the grantee. TDHCA passes this match requirement along to each recipient. Match must be provided in an amount equal to or greater than the grant award. ESGP applicants identify the source and amount of match they intend to

provide if they are chosen for funding. They report monthly on the amount of match provided. ESGP monitors review the match documentation during each monitoring visit. TDHCA conducts a desk review at the close out of each contract to ensure that each ESGP recipient has provided an adequate amount of match during the contract period. The table on the following page reflects match contributions for PY 2011 funds.

Match Contributions for PY 2011 funds
(SFY'10 2/1/11-8/31/11 and SFY'11 9/1/11-1/31/12)

Source	Dollar Value
Donations (cash)	\$ 4,730,740
Lease Or Rent	\$ 1,427,013
Salaries	\$ 902,455
Volunteers (@ \$5/Hour)	\$ 455,310
Other (Various Sources of Grant Funds, In-Kind Donations, etc.)	\$ 824,648
Total	\$ 8,340,166

Includes match expenditures from two contract periods, FY 2010 and FY 2011.

Continuum of Care Activities and Input on Performance Measures

Historically, Texas has not received all of the Continuum of Care (CoC) funds HUD targeted for the State due to a lack of viable applications. To address this, TDHCA provided General Revenue funds to the Texas Homeless Network (THN) to provide statewide technical assistance and training to organizations and communities interested in forming homeless coalitions and in applying for CoC funds. As a direct result of this investment, in April 2011 THN was notified that three new project proposals were awarded HUD CoC funds from the 2010 competition for a total of \$2,843,951. Awardees were Salvation Army of Lubbock for the “Red Shield Home Transitional Housing Program” for \$845,133, City of Texarkana “Texarkana Homeless Coalition: Doorways Home: \$907,635, Odessa Links for “Project HOPE” \$735,060 and Family Promise of East Bell County “Transitional Housing” \$356,143. These projects will provide transitional and permanent supportive housing for over 180 individual and family members.

In November 2011, THN submitted a Continuum of Care application to HUD requesting \$2,152,498 on behalf of 7 organizations submitting 13 project applications. As of December 20, 2011, HUD has awarded CoC renewal funds to Woman Inc. “Beacon Place” \$104,168; Gulf Coast Center for the following projects “Transitional Housing-2011, PSH II-2011, HMIS I-2011 and HMIS II-2011” for a total of \$923,249; The Children’s Center, Inc. for the following projects “Gulf Coast Housing Initiative-2011, Family Home 2011, Housing for Disabled Adults” for a total of \$437,522; Community Healthcore “Fredonia Place” for \$123,480; Community Healthcore “Project Success Transitional Housing” for \$124,397; and City of Longview’s Shelter + Care project for \$283,632. The Texas Balance of State CoC project representatives are still awaiting notification of award determination for seven agencies that applied for new HUD Supportive Housing Program funding in the amount of \$3,252,606.

As part of the ESGP Application requirements, organizations applying for ESGP funds must describe their involvement in homeless coalitions coordinating the provision of services to the homeless and at-risk populations in their area. The increase in 2011 HUD CoC renewal and new project applications further demonstrate that local care providers are making great strides in coordinating their efforts and adopting comprehensive “continuum of care” practices.

Program Performance

Homeless

A majority of ESGP applicants include case management and information and referral in their range of services, while a significant number of communities have formed local homeless coalitions and social services coordinating councils as part of the Continuum of Care concept.

In FY 2011, the Department revised the performance reporting requirements for ESGP subrecipients to collect data as reported in HUD's Integrated Disbursement Information System (IDIS).

As part of the ESGP Application requirements, organizations applying for ESGP funds must describe their involvement in homeless coalitions coordinating the provision of services to the homeless and at-risk populations in their area. The 2011 applications once again demonstrated that local care providers continue to make great strides in coordinating their efforts and adopting a more comprehensive "continuum of care" approach to service. A majority of ESGP applicants include case management and information and referral in their range of services, while a significant number of communities have formed local homeless coalitions and social services coordinating councils as part of the Continuum of Care concept.

To prepare for the Emergency Solutions Grant Program, TDHCA started consulting with CoCs regarding performance standards. On December 9, 2011, TDHCA shared a list of the proposed performance standards for activities funded under Emergency Solutions Grant Program's 2011 Second Allocation with the 16 CoCs in the State of Texas. The performance standards included short-term outputs and long-term outcomes including measuring the percentage of clients exiting into permanent housing destinations, the percentage of clients with higher program income at program exit and the percentage of clients with higher non-cash benefits at program exit. A total of 22 organizations from 7 different CoCs provided their input. TDHCA carefully reviewed all input received and revised the performance measures for the 2011 Emergency Solutions Grant Program Notice of Funding Availability (NOFA) contracts based on the comments received.

On January 19, 2012, TDHCA shared with 16 CoCs in the State of Texas a list of proposed performance standards for 2012 Emergency Solutions Grant Program activities and provided them an opportunity to provide comment. The performance standards included short-term outputs and long-term outcomes. A total of 38 organizations from 11 different CoCs provided their input. The Department reviewed all input received and revised the performance standards based on the comments received. In addition, because of the compressed timeline for the 2011 Emergency Solutions Grant Program Second Allocation, when TDHCA finalizes the performance measures for the 2011 Second Allocation contracts, TDHCA will consider input on performance measures received from the CoCs during the development of the 2012 Emergency Solutions Grant Program Notice of Funding Availability input on the proposed outcomes.

HMIS Requirements

In the FY 2011 TDHCA ESGP application, applicants were required to certify that the applicant organization will meet HUD's standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information. As of August, 2004, TDHCA has required all ESGP subrecipients receiving HUD McKinney-Vento Act program funds, which includes ESGP funds, which are located in a Continuum of Care jurisdiction to participate in an HMIS. ESGP subrecipients located in a Continuum of Care jurisdiction must coordinate and report client-level data to

the administrator for the Continuum of Care coalition in their area. Furthermore, TDHCA has notified ESGP subrecipients that failure to coordinate with appropriate contacts to facilitate the HMIS implementation may result in withholding of ESGP contract funds. TDHCA is providing Community Services Block Grant funds to the Texas Homeless Network to assist ESGP subrecipients in implementing an HMIS reporting system in the Balance of State areas. The Department will continue to monitor ESGP subrecipients progress at implementing an HMIS reporting system.

DISTRIBUTION OF INVESTMENTS

This section reports on how PY 2011 funds were distributed and the location of ESGP awards. TDHCA administers the E-11-DC-48-0001 ESGP funds in a manner consistent with the McKinney-Vento Homeless Assistance Act, as amended (42 USC Sec 11371 et seq.). According to 24 §576.35, states must make funds available (i.e., award funds to successful applicants) to units of general local government or nonprofit organizations within 65 days of the date of the grant award issued by HUD. This regulation also requires states to obligate (i.e. implement a contract) all ESGP funds within 180 days of the date of the grant award. In order to comply with these deadlines, TDHCA begins the application and award process several months in advance of receiving the dated grant award from HUD. All contracts are issued for a 12 month period in order to ensure that the full allocation is spent within 24 months of the time the funds are awarded to grant recipients (required by 24 §576.35). If any funds remain unexpended after the contract period, they are reobligated to current recipients after the second quarter of their contract period to ensure recipients of additional funds have demonstrated appropriate expenditure rates and are free from monitoring concerns.

Fund Distribution Methodology

TDHCA obligates ESGP funds through a statewide competitive application process. TDHCA funded 44 projects with FY 2011 (9/1/11-8/31/12) ESGP funds. TDHCA reserved ESGP funds for each of the 13 TDHCA Uniform State Service Regions using a formula based on the percentage of poverty population in each region (as reported by the US Census Bureau's 2009 Small Area Income and Poverty Estimates [SAIPE]). TDHCA awarded funds to units of general local government and to private nonprofit organizations that have local government approval to operate a project that assists homeless individuals. TDHCA established funding guidelines at a minimum of \$30,000 and a maximum of \$100,000, with collaborative projects awarded up to \$600,000. In awarding ESGP funds, TDHCA makes available up to 30 percent of the total ESGP allocation for homeless prevention activities, 30 percent for the provision of essential services, 10 percent for operations administration, 5 percent for state administration which is shared with subrecipients that are cities or counties, and the remainder of the funds for rehabilitation, maintenance or operations.

PY 2011 Regional Funding Distribution

TDHCA Service Region		Percent of Poverty Population	Number of Counties	Fund Distribution per Region*
1	High Plains	3.93%	41	178,701
2	Northwest Texas	2.01%	30	0
3	Metroplex	23.09%	19	1,134,243
4	Upper East Texas	4.39%	23	260,000
5	Southeast Texas	3.25%	15	135,820
6	Gulf Coast	21.81%	13	1,071,284
7	Capital	5.82%	10	280,000
8	Central Texas	4.86%	20	274,284
9	Alamo	8.50%	12	404,000
10	Coastal Bend	3.34%	19	199,999
11	South Texas Border	13.22%	16	593,671
12	West Texas	2.04%	30	125,000
13	Upper Rio Grande	4.34%	6	259,875
Total		100%	254	4,916,877

* This represents the amount of PY 2011 ESG funds awarded in PY 2011. The final percentage allocated to each region does not coincide with the original percentage of poverty population, because of award process and ineligible applications in some regions. The amount does include \$4,000 of state administration funds shared with local government.

Award Locations

PY 2011 ESGP awards were made in the following areas of the state. The amounts reported reflect actual allocation of 2011 ESGP funds, contract cycle 9/1/12-8/31/13.

PY 2011 ESGP Awards by Region

Legal Name of Applicant	Region	City	Home County	Award Amount
Advocacy Outreach	11	Elgin	Bastrop	100,000.00
Bexar County	9	San Antonio	Bexar	100,000.00
Bishop Enrique San Pedro Ozanam Center, Inc., The	11	Brownsville	Cameron	100,000.00
Bread of Life, Inc.	6	Houston	Harris	190,940.00
Catholic Charities of the Rio Grande Valley	11	San Juan	Hidalgo	100,000.00
Center Against Family Violence, Inc.	13	El Paso	El Paso	60,000.00
East Texas Crisis Center	4	Tyler	Smith	100,000.00
Families In Crisis, Inc.	8	Killeen	Bell	99,980.00
Family Abuse Center	8	Waco	McLennan	85,031.00
Family Crisis Center, Inc.	11	Harlingen	Cameron	94,559.00
Family Violence Prevention Services, Inc.	9	San Antonio	Bexar	100,000.00
Grayson County Juvenile Alternatives, Inc.	3	Sherman	Grayson	599,988.00
Hope, Inc.	3	Denton	Denton	89,800.00
Humble Area Assistance Ministries	6	Humble	Harris	100,000.00
Johnson County Family Crisis Center	3	Cleburne	Johnson	100,000.00

Legal Name of Applicant	Region	City	Home County	Award Amount
La Posada Home, Inc.	13	El Paso	El Paso	99,875.00
Loaves & Fishes of the Rio Grande Valley, Inc.	11	Harlingen	Cameron	100,000.00
Love I.N.C. of Nacogdoches	5	Nacogdoches	Nacogdoches	100,000.00
Matagorda County Women's Crisis Center, Inc.	6	Bay City	Matagorda	99,970.00
Mid-Coast Family Services, Inc.	10	Victoria	Victoria	99,999.00
Mission Granbury, Inc.	3	Granbury	Hood	93,755.00
Montrose Counseling Center, Inc.	6	Houston	Harris	100,000.00
Northwest Assistance Ministries	6	Houston	Harris	80,374.00
Panhandle Crisis Center, Inc.	1	Perryton	Ochiltree	78,701.00
Presbyterian Night Shelter	3	Fort Worth	Tarrant	100,000.00
Safe Haven of Tarrant County	3	Fort Worth	Tarrant	51,950.00
Salvation Army - Big Spring	12	Big Spring	Howard	50,000.00
Salvation Army - Corpus Christi	10	Corpus Christi	Nueces	100,000.00
Salvation Army - McAllen	11	McAllen	Hidalgo	99,780.00
Salvation Army - Odessa	12	Odessa	Ector	75,000.00
Salvation Army - San Antonio	9	San Antonio	Bexar	100,000.00
Salvation Army - S.T.A.R.T. Program	3	Fort Worth	Tarrant	98,750.00
Salvation Army - Tyler	4	Tyler	Smith	100,000.00
SEARCH	6	Houston	Harris	400,000.00
Seton Home	9	San Antonio	Bexar	100,000.00
Shelter Agencies For Families in East Texas, Inc.	4	Mt. Pleasant	Titus	60,000.00
Travis County Domestic Violence and Sexual Assault Survival Center dba SafePlace	7	Austin	Travis	80,000.00
Twin City Mission	8	Bryan	Brazos	89,273.00
Westside Homeless Partnership	6	Houston	Harris	100,000.00
Wintergarden Women's Shelter, Inc.	11	Carrizo Springs	Dimmit	99,332.00
Women's Protective Services of Lubbock, Inc.	1	Lubbock	Lubbock	100,000.00
Women's Shelter of East Texas, Inc.	5	Lufkin	Angelina	35,820.00
Youth and Family Alliance dba LifeWorks	7	Austin	Travis	100,000.00
Sin Fronteras Organizing Project	13	El Paso	El Paso	100,000.00
TOTAL				\$4,912,877

FAMILIES AND PERSONS ASSISTED

This section describes the households assisted with ESGP funds.

Anticipated Households Served with PY 2011 Funding

The ESGP Program does not project the number of households to be served. Please see the next section for information on the actual number of persons served in PY 2011.

Actual Households Served in PY 2011

This section reports on the actual households served in PY 2011 (February 1, 2011, though January 31, 2012) through current contracts encompassing two state fiscal years: SFY 2010 and SFY 2011. These contracts were originally awarded in 2010 and 2011, and assisted persons during the PY 2011 reporting period. There were 52,503 total beneficiaries reported in PY 2011 through these contracts. Of those served, 43,309 were homeless and 6,194 persons received non-residential services/assistance.

Persons Assisted in PY 2011

ESGP Activity	Total Estimated Beneficiaries	Total Funding
Homelessness Prevention	6,194	\$ 921,009
Essential Services	46,309	\$ 1,207,274
Total	52,503	\$ 2,128,283

The table below reports program performance measures as required by HUD CPD guidelines. HOME Program eligible activities are categorized in the table below according to the CPD objectives and outcomes standard.

ESGP Performance Measures, PY 2011

Outcomes and Objectives	Performance Indicators	Expected Number	Actual Number
SL-1 Availability/ Accessibility and Create a Suitable Living Environment	Provide funding to support the provision of emergency and/or transitional shelter to homeless persons	28,000	46,309
DH-2 Affordability and Provide Decent Housing	The provision of non-residential services including homelessness prevention assistance	72,000	6,194

Racial and Ethnic Status of Persons Assisted

The racial and ethnic status of the 52,503 total individuals receiving assistance in PY 2011 is reported below.

Racial and Ethnic Status of Persons Assisted in PY 2011

Ethnicity	Persons Assisted	Percent
White	35,707	68.00
Black /African American	14,378	27.38
Asian	277	.53
American Indian/Alaska Native	241	.46
Native Hawaiian/Other Pacific Islander	35	.07
American Indian and White	38	.07
Asian and White	14	.03
Black/African American and White	171	.33
American Indian/Alaska Native And Black/African American	89	.17
Other Multi-Race	1,553	2.96
Total	52,503	100

Of 52,503 total persons, 21,595 persons, or 41 percent, are of Hispanic or Latino origin. The breakdown of this population is below.

Hispanic Origin of Persons Assisted in PY 2011

Ethnicity	Persons Assisted	Percent
Hispanic and White	20,893	96.75
Hispanic and Black /African American	584	.132
Hispanic and Asian	3	.01
Hispanic and American Indian/Alaska Native	41	.15
Hispanic and Native Hawaiian/Other Pacific Islander	12	.06
Hispanic and American Indian and White	0	0
Hispanic and Asian and White	0	0
Hispanic and Black/African American and White	36	.17
Hispanic and American Indian/Alaska Native And Black/African American	64	.29
Hispanic and Other Multi-Race	262	1.21
Total	21,595	100

Income Status of Persons Assisted

Of the 52,503 persons assisted, approximately 88 percent would be extremely low income and 12 percent would be very low income. The persons with incomes in the very low income range would primarily be persons receiving assistance with rent or utilities to prevent homelessness, thus they would be part of the 6,194 persons receiving homelessness prevention assistance.

Income Status of Persons Assisted in PY 2011

Income Level	Persons Assisted	Percent
Extremely Low Income (0-30% AMFI)	43,309	88.20
Very Low Income (31-50% AMFI)	6,194	11.80
Low Income (51-80% AMFI)	0	0
Moderate Income (81-95%)	0	0
Higher than 95%	0	0
Total	52,502	100%

ACCOMPLISHMENTS IN HIGH PRIORITY AREAS

This section demonstrates how activities undertaken during the program year address identified areas of high priority and objectives.

Homeless Populations Needs Summary Table

Populations	Priority Need Level
Homeless Population	H
Families	H
Chronic Substance Abusers	H
Seriously Mentally Ill	H
Persons with HIV/AIDS	H
Victims of Domestic Violence	H
Youth	H
Rural	H
General Homeless	H

Subpopulations of Persons Assisted

Populations	Priority Need Level
Chronic Substance Abusers	2,379
Severely Mentally Ill	2,429
Persons with HIV/AIDS	145
Victims of Domestic Violence	20,057
Chronically Homeless	7,188
Persons with Other Disabilities	3,165
Veterans	1,177
Elderly (age 62 and over)	1,101

Note: Persons may be reported in multiple categories.

The following 2011 ESGP (9/1/2011-8/31/2012) award recipients are targeting several of the priority homeless populations identified above. The table reflects the primary target population; however, the majority of the subrecipients serve other populations. The exception would be subrecipients who serve domestic violence victims or youth.

Priority Homeless Populations Served by 2011 Award Recipients

Target Population	Number of Subrecipients	Percent
All Homeless	12	27.27%
At-Risk Homeless	10	22.72%
Domestic Violence Victims	16	36.36%
Youth	2	4.55%
AIDS Victims	0	0%
Mentally Ill	0	0%
Other single Women who are Homeless	2	4.55
Other Asylum seekers, Asylees, Immigrants	2	4.55
Homeless Families	0	0%
Other Homeless Men	0	0%
Other Women and Children	0	0%
Total Subrecipients	44	100%

Specific Accomplishments

The Department considers all homeless populations to be a priority and the awards process does not give preference to any particular population; ESGP funds are awarded on a competitive basis. The services provided by ESGP subrecipients during the FY 2011 period addressed the high priority needs identified above. The information in the table reflects the primary target population of FY 2011 ESGP subrecipients. Most ESGP subrecipients also serve other populations and most of the shelters serving all homeless populations would include persons who are mentally ill, persons who are chronic substance abusers, and persons with HIV/AIDS.

Persons with Disabilities

In order to meet the needs of persons with disabilities, the Department's ESGP subrecipients must make their facilities accessible to persons with disabilities.

ESGP subrecipients submit an annual Integrated Disbursement and Information System (IDIS) Report, and in that report, agencies state the percentage of persons assisted on an average day who meet a variety of identified characteristics, including the percentage of persons who are physically disabled. However, because a percentage is reported by each subrecipient and is not aggregated by the Department, the Department is unable to report a statewide number or percent of disabled persons assisted.

HOUSING: HOME INVESTMENT PARTNERSHIPS PROGRAM

The purpose of the HOME Program is to expand the supply of decent, safe, and affordable housing for extremely low, very low, and low income households and to alleviate the problems of excessive rent burdens, homelessness, and deteriorating housing stock. HOME strives to meet both the short-term goal of increasing the supply and the availability of affordable housing and the long-term goal of building partnerships between State and local governments and, private and nonprofit organizations to strengthen their capacity to meet the housing needs of low income Texans.

DESCRIPTION OF RESOURCES

This section describes HOME funding that was available for PY 2011.

PY 2011 Activities

For PY 2011, TDHCA was allocated \$39,180,788 by HUD. Program Income in the amount of \$3,858,538 was received in PY 2011 and was utilized in funding additional HOME activities. While not all of the received funds are reflected in the funding plan below, they are included in awards and expenditures detailed throughout this report.

PY 2011 HOME State Allocation and Funding Plan

Plan	Funding Amount	Percentage
Total HOME Allocation for PY 2011	\$39,180,788	100%
Less Administration Funds (10 percent of Allocation)	\$3,918,079	10%
Less CHDO Project Funds Set Aside (15 percent of Allocation) (Subject to Regional Allocation Formula)	\$5,877,188	15%
Less CHDO Operating Expenses Set Aside (5 percent of CHDO Set Aside)	\$293,856	1%
Less Persons with Disabilities Housing Programs	\$1,959,039	5%
Less Set Aside for Contract for Deed (CFD) Conversions	\$2,000,000	5%
Less Set Aside for Rental Housing Development Program (Subject to Regional Allocation Formula)	\$5,000,000	11%
Remaining Project Funds Subject to Regional Allocation Formula	\$20,132,696	51%
Estimated Program Income ¹	\$3,000,000	
Total HOME Funds subject to the Regional Allocation Formula (includes Estimated Program Income)	\$34,009,884.00	

¹Preliminary estimate included in the 2011 One-Year Action Plan, Funding Plan. These funds were programmed with 2011 the Homeowner Rehabilitation Assistance Activity (formerly known as Owner-Occupied Housing Assistance Activity).

Summary of Project Funds Subject to Regional Allocation Formula (RAF)

Activity	Funding Amount	% of Available Funding
Homebuyer Assistance	\$ 3,019,904	15%
Homeowner Rehabilitation (Includes Program Income)	\$ 14,092,877	70%
Tenant-Based Rental Assistance	\$ 3,019,904	15%
Total Estimated Funding Available for Distribution	\$ 20,132,696	100%

PY 2011 Activities

HUD regulations allow the HOME Program to serve a variety of activities such as homeowner rehabilitation, homebuyer assistance, tenant-based rental assistance, and rental housing development assistance. The PY 2011 allocation funded the following activities.

Homeowner Rehabilitation Assistance

Rehabilitation or reconstruction cost assistance, in the form of grants or loans, is provided to eligible homeowners for the repair or reconstruction of their existing homes. The homes must be the principal residence of the homeowner. Housing that is constructed or rehabilitated with HOME funds must meet all applicable local codes, rehabilitation standards, ordinances, and zoning ordinances at the time of project completion. In the absence of a local code for new construction or rehabilitation, HOME-assisted new construction or rehabilitation must meet, as applicable, the International Residential Code (IRC), Texas Minimum Construction Standards (TMCS) and be in compliance with the basic access standards in new construction, established by §2306.514, Texas Government Code. This statutory requirement applies to any applicants utilizing federal or state money administered by TDHCA in the construction of affordable single-family homes for low and very-low income families and individuals.

Homebuyer Assistance With or Without Rehabilitation

Down payment closing cost, rehabilitation, and contract for deed conversion assistance may be provided to homebuyers for the acquisition of affordable single-family housing. This activity may also be used for the following:

- Construction costs associated with architectural barrier removal in assisting homebuyers with disabilities by modifying a home purchased with HOME assistance to meet their accessibility needs.
- Acquisition and rehabilitation costs associated with contract for deed conversions to serve colonia residents.
- Construction costs associated with the rehabilitation of a home purchased with HOME assistance.

Program Performance

Housing

Eligible homebuyers may receive loans up to \$20,000 per household for down payment and closing costs, in the form of a 2nd or 3rd lien, at zero percent interest, with a 10-year deferred-forgivable loan term. Homebuyer assistance loans are to be repaid at the time of resale of the property, transfer of any interest in the property, lease of the property, default under the terms of the loan, refinance of the first lien, or repayment of the first lien. The Department has elected to utilize the recapture provision under 24 §92.254(a)(5)(ii) as its method of recapturing HOME funds.

At the completion of the assistance, all properties must meet the Texas Minimum Construction Standards, Housing Quality Standards, or local building codes, as applicable. Compliance with the basic access standards in new construction, established by §2306.514, Texas Government Code, is also required for any applicants utilizing federal or State money administered by TDHCA in the construction of affordable single family homes for low and very-low income families and individuals.

Tenant-Based Rental Assistance

Rental subsidy and security and utility deposit assistance is provided to tenants, in accordance with written tenant selection policies, for a period not to exceed twenty-four months, but may be renewed, subject to availability of HOME funds. TBRA assistance is portable which allows the assisted tenant to live in the dwelling unit of their choice with a right to continued assistance with the condition that assisted families participate in a self-sufficiency program.

Rental Housing Development

Awards for eligible applicants are to be used for acquisition, construction, and rehabilitation of affordable multifamily rental housing. Owners are required to make the units available to extremely low, very low, and low income families, and must meet long-term rent restrictions and maintain property standards.

Rental Housing Preservation

Awards for eligible applicants are to be used for the acquisition and/or rehabilitation for the preservation of existing affordable or subsidized rental housing. Owners are required to make the units available to extremely low, very low, and low income families and must meet long-term rent restrictions and maintain property standards.

CHDO Set-Aside

A minimum of 15 percent of the annual HOME allocation, is reserved for CHDOs. CHDO set-aside projects are owned, developed, or sponsored by the CHDO, and result in the development of rental units or homeownership. Development includes projects that have a construction component, either in the form of new construction or the rehabilitation of existing units.

Contract for Deed Conversions Set-Aside

In 2009, the 81st Legislature passed Appropriations Rider 6 to TDHCA's appropriation, which requires TDHCA to spend no less than \$4 million for the biennium on contract for deed conversions for families that reside in a colonia, and earn 60 percent or less of the applicable area median family income (AMFI) and the home converted must be their primary residence. The intent of this program is to help colonia residents become property owners by converting their contracts for deeds into traditional mortgages.

Properties proposed for this initiative must be located in a colonia as defined in Chapter 2306, Texas Government Code or as published in the Department's program rules.

Colonia Model Subdivision Program Set-Aside

Subchapter GG of Chapter 2306, Texas Government Code, created this program to provide low interest rate or possibly interest-free loans to promote the development of new, high-quality, residential housing, that provide alternatives to substandard colonias, and housing options affordable to individuals and families of extremely low and very low income who would otherwise move into substandard colonias. TDHCA will make loans to CHDOs certified by TDHCA for the types of activities and costs described under the previous section regarding CHDO development loans.

Persons with Disabilities Set-Aside

Pursuant to §2306.111 (c)(2), Texas Government Code, in its administration of federal housing funds provided to the state under the Cranston-Gonzalez National Affordable Housing Act, five percent of these funds shall be expended for the benefit of persons with disabilities who live in any area of the state. Approximately \$2 million of directed assistance for persons with disabilities is eligible for activities including Rental Housing Development, TBRA, and HBA with optional rehabilitation activities.

INVESTMENT OF RESOURCES

This section describes HOME funding commitments that were made with PY 2011 funds.

TDHCA receives an annual HOME allocation from HUD, which is then awarded to units of local government, public housing authorities (PHAs), community housing development organizations (CHDOs), and other nonprofits and for-profits eligible to receive HOME funds from the State. TDHCA provides technical assistance through application and implementation workshops to all recipients of HOME funds to ensure that all participants meet and follow the State implementation guidelines and federal regulations, and continues to provide technical assistance during the implementation of HOME awards.

HOME funds are reserved for persons at or below 80 percent of the area median family income as defined by HUD. By HUD regulations, 15 percent of TDHCA's total HOME allocation must be set aside for CHDOs.

PY 2011 Funding Commitments

During 2011, TDHCA utilized a total of \$39,180,788 in HOME funds including program income and additional de-obligated funds in the following manner:

Total Amount of Funding Committed for PY 2011

Activity	HOME Allocation	Project Funds Awarded¹	Admin/Oper Exp. Funds Awarded
2011 HUD HOME Allocation and Reprogrammed Funds Committed	\$39,180,788	\$24,910,783.72	\$987,650.33
Less Administration (10% of Allocation) 2	\$3,918,079	—	—
Less CHDO (15% of Allocation)	\$5,877,118	\$681,600.00	
Less CHDO Operating Exp. (5% of CHDO)	\$-293,856		\$50,000.00
Total HUD Mandated deductions	\$-10,089,053	—	—
Sub-Total Available SF and MF Non-CHDO funds	\$29,091,735	—	—
Less MF Rental Housing Development Program	\$-5,000,000	\$17,018,578.00	\$ 0
Less Contract for Deed	\$-2,000,000	\$0.00	\$0.00
Persons with Disabilities set Aside	\$-1,959,039	\$1,694,659.54	\$122,692.76
Total State Set Asides	\$-8,959,039	—	—
Total Available SF and MF Non-CHDO Funds	\$20,132,696	—	—
Plus Estimated Program Income	\$3,000,000	—	—
Total Project Funds Subject to Reg. Alloc Formula	\$21,132,695	—	—

Summary of Project Funds Subject to Regional Allocation Formula for PY 2011

Activity	HOME Allocation	Project Funds Awarded	Admin/Oper Exp. Funds Awarded
Homebuyer Assistance	\$3,019,904	\$1,624,969.72	\$42,535.53
Homebuyer Rehabilitation(Includes Program Income and Homeowner Rehabilitation Disaster Relief)	\$ 14,092,887	\$21,354,758.00	\$790,630.32
Tenant Based Rental Assistance	\$3,019,904	\$3,464,715.54	\$277,177.24

Award of HOME Funds by Activity PY 2011

Activity	Amount	Percentage
Homebuyer Assistance (All Activities)	\$1,657,855.24	3.58%
Homeowner Rehabilitation Assistance	\$22,151,475.00	47.77%
Tenant-Based Rental Assistance	\$3,748,849.54	8.08%
CHDO Rental Housing Development	\$ 0	0%
CHDO Operating Expense	\$50,000.00	0.11%
Rental Housing Development	\$18,079,578.00	38.99%
CHDO Single Family Development	\$681,600.00	1.47%
Total	\$46,369,357.78	100.00%

(Includes Administration and Reprogrammed Funds)

Matching Requirements

TDHCA provides matching contributions from several sources for HOME funds drawn down from the State HOME Investment Trust Funds Treasury account within the PY. The following sources may be utilized:

- Proceeds from the sale of single or multifamily mortgage revenue bonds issued by TDHCA.
- Match contributions from TDHCA non-federal funds to affordable housing projects that are not HOME-assisted but that meet the requirements as specified in 92.219(b)(2).
- Eligible match contributions from State recipients and subrecipients, as specified in 24 §92.220.

TDHCA annually submits a separate HOME match report, HUD 40107-A, which lists matching funds and sources provided by each HOME project.

DISTRIBUTION OF INVESTMENTS

This section reports on how PY 2011 funds were distributed and the location of HOME awards.

Allocation Formula

Section 2306.111(c), Texas Government Code mandates that TDHCA is to allocate no less than 95 percent of HOME funds to applicants that serve households located in a non-participating jurisdiction. The Department may use 5 percent of the HOME funds in participating jurisdictions, that serve persons with disabilities¹ and for multifamily developments, adhere to TDHCA's Integrated Housing Rule.

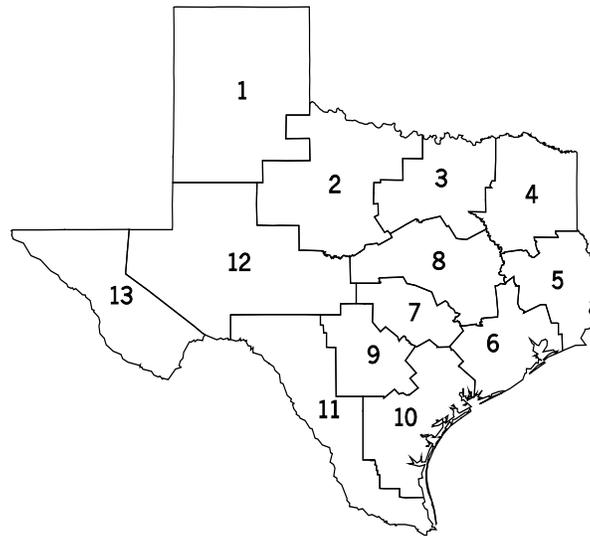
In the One Year Action Plan, TDHCA had a goal of allocating a minimum of 20 percent of the annual HOME allocation to applicants serving persons with special needs. Persons with "special needs" include homeless persons, elderly persons, persons with disabilities, persons with AIDS and AIDS-related diseases, victims of domestic violence, persons with alcohol and/or drug addictions, colonia residents, and migrant farmworkers. Eligible applicants include nonprofits, units of general local government, and PHAs with documented histories of working with special needs populations. Eligible activities include homebuyer assistance, homeowner rehabilitation, and tenant-based rental assistance.

Regional Allocation Formula

Section 2306.111(d), Texas Government Code, mandates that TDHCA allocate housing funds awarded in the HOME, Housing Trust Fund, and Housing Tax Credit (HTC) programs to each Uniform State Service Region using a formula developed by TDHCA. As a result, a large portion of the HOME funds were awarded using the Regional Allocation Formula (RAF) that responds to Section 2306.111. PY 2011 funding associated with the following set-asides was not distributed through the RAF: Contract for Deed Conversions and Persons with Disabilities Set-Aside.

Section 2306.1112, Texas Government Code established TDHCA's Executive Award and Review Advisory Committee. HOME funding recommendations were presented to this committee prior to recommendation to TDHCA's Governing Board.

State Service Regions Map



Regional Award of HOME Funds PY 2011
 (Includes Administration and Reprogrammed¹ Funds)

Region	Amount	% of Total Amount	Proposed Units to be Assisted	% of Total Units to be Assisted
1	\$4,997,200.00	10.78%	59	5.35%
2	\$3,494,615.00	7.54%	48	4.35%
3	\$3,054,697.24	6.59%	111	10.06%
4	\$4,876,916.00	10.52%	61	5.53%
5	\$2,011,325.00	4.34%	56	5.08%
6	\$5,281,761.00	11.39%	146	13.24%
7	\$5,699,193.00	12.29%	196	17.77%
8	\$93,945.00	0.20%	2	0.18%
9	\$4,423,277.54	9.54%	207	18.77%
10	\$5,747,748.00	12.40%	90	8.16%
11	\$1,957,513.00	4.22%	30	2.72%
12	\$4,106,975.00	8.86%	66	5.98%
13	\$300,192.00	0.65%	11	1.00%
Multiregional	\$324,000.00	0.70%	20	1.81%
Total	\$46,369,357.78	100.00%	1,103	100.00%

¹includes program income and deobligated monies

Award Locations

PY 2011 HOME awards were made in the following areas of the state. These numbers include administration dollars awarded to the contractor.

PY 2011 HOME Awards and Estimated Units by Region

Regions Served	Administrator Name	Counties Served	Amount	Total Units
1	Dickens County	DICKENS	\$425,000.00	5
1	Oasis Cove, Ltd	HEMPHILL	\$900,000.00	13
1	Motley County	MOTLEY	\$425,000.00	5
1	City of Ralls	CROSBY	\$451,000.00	5
1	City of Petersburg	HALE	\$541,200.00	6
1	Floyd County	FLOYD	\$451,000.00	5
1	City of Abernathy	HALE	\$451,000.00	5
1	City of Roaring Springs	MOTLEY	\$451,000.00	5
1	City of Matador	MOTLEY	\$451,000.00	5
1	City of Spur	DICKENS	\$451,000.00	5
2	Central Texas MHMR Services dba The Center for Life Resources	EASTLAND	\$20,242.00	5
2	Central Texas MHMR Services dba The Center for Life Resources	BROWN	\$31,173.00	7
2	City of Miles	RUNNELS	\$541,200.00	6
2	Burkburnett Seniors, LLC	WICHITA	\$2,000,000.00	20
2	City of Roscoe	NOLAN	\$451,000.00	5
2	City of Electra	WICHITA	\$451,000.00	5
3	Affordable Housing of Parker County	PARKER	\$145,661.00	20
3	Affordable Housing of Parker County	WISE	\$68,426.00	9
3	City of Honey Grove	FANNIN	\$90,572.00	1
3	City of Terrell	KAUFMAN	\$385,100.00	5
3	Easter Seals of Central Texas	DALLAS	\$101,500.00	5
3	Habitat for Humanity of South Collin County	COLLIN	\$21,238.24	1
3	Mabank Residential Apartments, LP	KAUFMAN	\$300,000.00	40
3	City of Corsicana	NAVARRO	\$451,000.00	5
3	Midlothian DMA Housing, LP	ELLIS	\$950,000.00	19
3	City of Josephine	COLLIN, HUNT	\$541,200.00	6
4	Affordable Caring Housing, Inc.	HENDERSON	\$19,656.00	4
4	City of Clarksville	RED RIVER	\$419,502.00	6
4	Affordable Caring Housing, Inc.	GREGG	\$10,932.00	3
4	City of Kilgore	GREGG, RUSK	\$451,000.00	5
4	City of Elkhart	ANDERSON	\$541,200.00	6
4	City of Bogata	RED RIVER	\$541,200.00	6
4	SilverLeaf at Gun Barrel City, LP	HENDERSON	\$2,000,000.00	20
4	City of Mount Vernon	FRANKLIN	\$541,200.00	6
4	PK Countrywood Apartments, LP	LAMAR	\$352,226.00	5
5	Spindletop Center	JEFFERSON	\$4,188.00	1
5	Buckner Children & Family Ser., Inc., dba Buckner Family Place	ANGELINA	\$147,284.00	15

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Regions Served	Administrator Name	Counties Served	Amount	Total Units
5	Crockett Pine Ridge Manor, LP	HOUSTON	\$400,000.00	14
5	City of Center	SHELBY	\$541,200.00	6
5	City of Center	SHELBY	\$274,600.00	10
5	PK Southwood Apartments, LP	SAN JACINTO	\$644,053.00	10
6	Affordable Caring Housing, Inc.	WALKER	\$15,468.00	6
6	Buckner Children & Family Ser., Inc., dba Buckner Family Place	MONTGOMERY	\$88,962.00	5
6	City of Eagle Lake	COLORADO	\$541,200.00	6
6	City of Wallis	AUSTIN	\$541,200.00	6
6	City of Columbus	COLORADO	\$541,200.00	6
6	City of Hitchcock	GALVESTON	\$541,200.00	6
6	Service of the Emergency Aid Resource Center for the Homeless	HARRIS	\$324,000.00	60
6	Southeast Texas Housing Finance Corporation	AUSTIN, BRAZORIA, CHAMBERS, GALVESTON, HARRIS, LIBERTY, MATAGORDA, WALKER, WALLER, WHARTON	\$197,331.00	9
6	Mariposa Calder Drive LP	GALVESTON	\$1,950,000.00	36
6	City of Palacios	MATAGORDA	\$541,200.00	6
7	Texas Department of Housing and Community Affairs	HAYS	\$14,999.00	1
7	Easter Seals of Central Texas	WILLIAMSON	\$18,035.00	1
7	City of Taylor	WILLIAMSON	\$68,297.00	1
7	Combined Community Action, Inc.	BASTROP	\$3,684.00	1
7	Easter Seals of Central Texas	TRAVIS	\$20,000.00	1
7	Texas Department of Housing and Community Affairs	TRAVIS	\$276,313.00	15
7	Texas Department of Housing and Community Affairs	WILLIAMSON	\$354,074.00	20
7	Travis County Housing Finance Corporation	TRAVIS	\$112,071.00	7
7	Easter Seals of Central Texas	TRAVIS	\$241,720.00	14
7	GS Old Denton Housing, LP	LEE	\$1,800,000.00	18
7	Main St Commons Senior, LP	WILLIAMSON	\$1,000,000.00	15
7	Kyle DMA Housing, LP	HAYS	\$800,000.00	19
7	Riverwood Commons, LP	BASTROP	\$990,000.00	36
8	Affordable Caring Housing, Inc.	CORYELL	\$4,296.00	1
8	City of Belton	BELL	\$89,649.00	1
9	Ellis Community Resources, Inc.	COMAL	\$719,975.00	71
9	Housing Authority of the City of New Braunfels	COMAL	\$487,315.54	44
9	Housing Authority of the City of New Braunfels	COMAL	\$683,688.00	67
9	HVM Jourdanton, Ltd	ATASCOSA	\$1,042,299.00	12
9	ARDC Dilley, Ltd	FRIO	\$1,490,000.00	13
10	Coastal Bend Center for Independent Living	NUECES	\$97,348.00	9

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Regions Served	Administrator Name	Counties Served	Amount	Total Units
10	Town of Bayside	REFUGIO	\$541,200.00	6
10	Crockett Estates, Ltd	BEE	\$850,000.00	11
10	City of Refugio	REFUGIO	\$541,200.00	6
10	Jim Wells County	JIM WELLS	\$541,200.00	6
10	San Patricio County	SAN PATRICIO	\$541,200.00	6
10	Refugio County	REFUGIO	\$541,200.00	6
10	City of Gregory	SAN PATRICIO	\$541,200.00	6
10	City of Woodsboro	REFUGIO	\$541,200.00	6
10	Robstown Village, LP	NUECES	\$450,000.00	22
10	City of Taft	SAN PATRICIO	\$562,000.00	6
11	San Benito Housing Authority	CAMERON	\$10,000.00	1
11	Community Development Corporation of Brownsville	CAMERON	\$77,735.00	1
11	Community Development Corporation of Brownsville	CAMERON	\$96,650.00	6
11	Community Development Corporation of Brownsville	CAMERON	\$318,528.00	5
11	City of Carrizo Springs	DIMMIT	\$562,000.00	6
11	CDCB/CCHA Paloma, LP	CAMERON	\$161,000.00	3
11	Architecture for Charity of Texas, Inc.	CAMERON	\$50,000.00	
11	Architecture for Charity of Texas, Inc.	CAMERON	\$681,600.00	8
12	Buckner Children & Family Ser., Inc., dba Buckner Family Place	MIDLAND	\$180,725.00	10
12	City of Midland	MIDLAND	\$40,000.00	1
12	Midland Community Development Corporation	MIDLAND	\$42,450.00	2
12	City of Coahoma	HOWARD	\$541,200.00	6
12	City of Stanton	MARTIN	\$541,200.00	6
12	Loving County	LOVING	\$451,000.00	5
12	City of Bronte	COKE	\$541,200.00	6
12	Pecos County	PECOS	\$541,200.00	6
12	City of Fort Stockton	PECOS	\$541,200.00	6
12	Midland Habitat for Humanity	MIDLAND	\$124,800.00	12
12	City of Andrews	ANDREWS	\$562,000.00	6
13	El Paso Collaborative for Community and Economic Development	EL PASO	\$123,900.00	9
13	AYUDA, Inc.	EL PASO	\$85,992.00	1
13	El Paso Collaborative for Community and Economic Development	EL PASO	\$90,300.00	1
Multiregional	Community Action Corporation of South Texas	ARANSAS, BROOKS, DUVAL, JIM WELLS, KENEDY, KLEBERG, SAN PATRICIO, JIM HOGG, WEBB, ZAPATA	\$324,000.00	20
Total			\$46,369,357.78	1,056

FAMILIES AND PERSONS ASSISTED

This section describes the households assisted with HOME funds.

Anticipated Households Served with PY 2011 Funding

For contracts that were awarded with PY 2011 funds, there are 1,056 total anticipated units.

PY 2011 Estimated Funds and Units (Including Administration and Reprogrammed Funds) ¹

Activity	Total Estimated Units	Total Funding
Owner -Homebuyer Assistance (all activities)	92	\$1,657,855.24
Owner-Homeowner Rehabilitation Assistance	250	\$22,151,475.00
Owner – CHDO Development Single Family	8	\$681,600.00
Renter - Tenant-Based Rental Assistance	380	\$3,748,849.54
CHDO Operating	0	\$50,000.00
Renter - Rental Housing Development	326	\$18,079,578.00
Total:	1,056	\$46,369,357.78

¹ includes program income and deobligated monies

Actual Households Served in PY 2011

This section reports on the actual units completed in PY 2011 (February 1, 2010, though January 31, 2011) through current contracts. These contracts were originally awarded in 2006 through 2011, and units were completed during the PY 2011 reporting period. There were 1,173 total units completed in PY 2011 through these contracts.

Actual Units Completed in PY 2011 by Activity

Activity	Total Units	Total Disbursed
Owner - Homebuyer Assistance	125	\$2,430,793.83
Homeowner Rehabilitation Assistance	208	\$13,661,037.18
Owner - CHDO Single Family Development	3	\$272,838.08
Renter - Tenant-Based Rental Assistance	308	\$2,313,130.83
Renter - CHDO Rental Development	96	\$8,600,000.00
Renter - Rental Development	433	\$22,032,921.83
Total	1,173	\$49,310,721.75

Special Needs Population Assisted Units Completed in PY 2011

Special Needs Group	Units Assisted	% of Units
Alcohol & Drug Addiction	1	0.10%
Colonias	10	0.99%
Elderly Populations	703	69.33%
Homeless Populations	38	3.57%
Migrant Farmworkers	0	0.00%
People With Disabilities	251	24.75%
Persons with HIV/AIDS	3	0.30%
Victims of Domestic Violence	8	0.79%
Total	1,014	100.00%

Race	Units Assisted	Percent of Units
American Indian/Alaska Native	1	0.09%
American Indian/Alaska Native & Black/African American	1	0.09%
American Indian/Alaska Native & White	0	0.00%
Asian	2	0.17%
Asian & White	0	0.00%
Black/African American	156	13.30%
Black/African American & White	3	0.26%
Native Hawaiian/Other Pacific Islander	0	0.00%
Other Multi Racial	18	1.53%
White	463	39.47%
Unknown	529	45.10%
Total	1,173	100.00%

Racial Composition of Assisted Units Completed in PY 2011
Hispanic Origin of Assisted Units Completed in PY 2011

Ethnicity	Units Assisted	Percent of Units
Hispanic and American Indian/Alaska Native & Black/African American	1	0.09%
Hispanic and Other Multi Racial	12	1.02%
Hispanic and White	188	16.03%
Not Hispanic and American Indian/Alaska Native	1	0.09%
Not Hispanic and Asian	2	0.17%
Not Hispanic and Black/African American	156	13.30%
Not Hispanic and Black/African American & White	3	0.26%
Not Hispanic and Other Multi Racial	6	0.51%
Not Hispanic and White	275	23.44%
Unknown	529	45.10%
Total	1,173	100.00%

Income Status of Owner/Renter Units Completed in PY 2011

Income Category	Number of Units	% of Units
Extremely Low Income (0-30% AMFI)	440	37.51%
Very Low Income (31-50% AMFI)	79	6.73%
Low Income (51-60% AMFI)	422	35.98%
Low Income (61-80% AMFI)	232	19.78%
Total	1,173	100.00%

Income Status of Units Completed in PY 2011 by Activity

Income Status	AMFI 0-30%	AMFI 31-50%	AMFI 51-60%	AMFI 61-80%	Total
Owner Homebuyer Assistance	5	10	24	81	120
Homeowner Rehabilitation	85	48	47	33	213
Owner - CHDO Single Family Development	0	0	1	2	3
Renter - Tenant-Based Rental Assistance	270	21	12	5	308
Renter - Rental Housing Development	80	0	338	111	529
Total	440	79	422	232	1,173

CPD Outcome Performance Measurement

The table below reports program performance measures as required by HUD CPD guidelines. HOME Program eligible activities are categorized in the table below according to the CPD objectives and outcomes standard and represent actual activities closed-out during PY 2011, including those from contracts awarded from 2007 through 2008. The table delineates 1) the number of anticipated units based on the award of dollars during the Program Year and 2) the number of actual units produced (closed activities) during the Program Year. Typically TDHCA HOME contracts are for 24 months and extended if necessary to complete construction, therefore the unit is not reported as a completed unit until 2 to 3 years after award. Additionally, actual units from closed contracts may include partial units on a contract or units from a previous year, de-obligated and reprogrammed funds, or units funded with program income. It is difficult to measure progress of actual units from closed contracts with either the units proposed in the Funding Plan or those anticipated units awarded during the Program Year, as the data is not necessarily related. Additionally, the chart reflects revised performance measures for the number of units anticipated for PY 2011.

HOME Program Performance Measures, PY 2011

Outcomes and Objectives	Performance Indicators	Expected Number	Actual Number	Percentage
DH-2	No. of rental units assisted through new construction and rehabilitation	262	433	165%
DH-2	No. of tenant-based rental assistance units	124	308	248%
DH-2	No. of existing homeowners assisted through homeowner rehabilitation assistance	173	208	120%
DH-2	No. of first-time homeowners assisted through homebuyer assistance	187	125	67%

ACCOMPLISHMENTS IN HIGH PRIORITY AREAS

This section demonstrates how activities undertaken during the program year address identified areas of high priority and program objectives.

Housing Priority Summary Needs Table
H=High, M=Medium, L= Low, N=No Such Need

Priority Housing Needs		Priority Need Level 0-30%	Priority Need Level 31-50%	Priority Need Level 51-80%
Renter Elderly HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Renter Small Related HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Renter Large Related HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
All Other HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Owner	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H

Specific Accomplishments

This section describes specific HOME Program activities undertaken during PY 2011 that address high priority needs. Please see the “Goals and Objectives” section for detailed information about HOME Program goals and objectives, which also address these needs.

The purpose of the HOME Program is to expand the supply of decent, safe, and affordable housing for extremely low, very low, and low income households and to alleviate the problems of excessive rent burdens, homelessness, and deteriorating housing stock. The HOME Program funds are awarded utilizing either an open (first-come, first-served) or a competitive application cycle, as defined in each Notice of Funding Availability (NOFA). Threshold and/or scoring criteria are included in each NOFA to meet statutory or federal requirements and program or Department goals, such as income and special population assistance targets. Additionally, the HOME Program addresses high priority needs areas by making available ninety-five percent (95%) of its annual HUD allocation to rural areas of Texas, pursuant to §2306.111(c)(1) of the Texas Government Code.

Persons with Disabilities

In order to address the needs of Persons with Disabilities, the HOME Program accomplished the following during PY 2011.

Pursuant to §2306.111(c)(2), five percent (5%) of HOME Program funds, were made available to persons with disabilities living in any area of the state. As a part of the 2011 allocation, the Department established a Persons with Disabilities (PWD) set-aside equal to 5% of the HOME award, to support the housing needs of this community in Texas.

ON-SITE INSPECTIONS

On-site monitoring reviews of affordable HOME rental developments are conducted in accordance with 24CFR §92.504(d) of the HOME Final Rule. The Department is committed to ensuring all rental developments funded with HOME are in compliance with federal and state rules and regulations. The Department’s compliance monitoring rules are in 10TAC, Chapter 60, Subchapters A and C.

While onsite, monitors review resident files to ensure that households are income eligible under the HOME program and that rents are properly restricted. In addition a Uniform Physical Condition Standards inspection is performed. If noncompliance is identified, owners are notified in writing and provided a 90 day corrective action period.

Possible sanctions for non-compliance include default, foreclosure, receivership and the extension of the LURA’s affordability period.. However, the Department strives to work cooperatively with owners to restore compliance. Before imposing sanctions, alternative solutions are considered such as restructuring debt, intensive in-depth technical assistance, and/or requiring changes in management companies. In addition to the sanctions listed above prior to awarding any new funding, the Compliance and Asset Oversight Division conducts a previous participation review to determine if an applicant has control of an existing HOME development with any uncorrected noncompliance. If any issue(s) are identified during this review, the HOME administrator is notified in writing and provided a 5 day period to submit all

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necessary corrective action documentation to correct noncompliance. If the HOME administrator does not correct, the application for funding will be terminated.

The Department's enforcement provisions in 10 TAC, Subchapter C §60.309 established monetary penalties for owners who do not correct noncompliance violations at the end of the corrective action period. The Department has successfully brought developments into compliance through the administrative penalties process. The Department is continuing to conduct informal hearings with owners to address their compliance violations and restore compliance.

The following table reflects the results of on-site reviews and inspections conducted on HOME rental developments from February 1, 2011 through January 31, 2012. During this time period, TDHCA monitored 140 HOME rental developments. Eighty six of those reviews have been closed. Forty six of the reviews are still open either because the Department is reviewing or awaiting corrective action responses from owners. Eight of the properties monitored either have already been or will be referred to the Department's Administrative Penalty Committee for enforcement. The Department has made significant progress this year in ensuring HOME rental developments are in compliance. This success can be attributed to the Administrative Penalty and Enforcement Committee, technical assistance provided to owners and property staff and HOME training provided by the Department. The table below outlines the developments monitored during this time period and their current.

PY 2011 HOME Program Property Inspections

HOME	CMTS	Development	Last Onsite (month/yr)	Description of Noncompliance	Status of Noncompliance
536294	2686	Leaning Oaks Senior Apts	2/8/2011	Owner failed to correctly complete or document tenant's annual income recertification; UPCS Violation	Corrected
536270	2725	Tanner Point Apts.	2/15/2011	None	N/A
536268A	2611	Chateau Apartments	2/17/2011	UPCS Violation	Corrected
542069	3390	Pecan Creek Apartments	2/18/2011	UPCS Violation	Corrected
530677	18	Heatherwilde Park Retirement Apts.	3/7/2011	Household income above income limit upon initial occupancy; UPCS Violations	Corrected
1000656	4396	Picadilly Estates	3/7/2011	Owner failed to correctly complete or document tenant's annual income recertification; UPCS Violation	Corrected
532325	2710	Colonian Del Valle Project	3/10/2011	Household income above income limit upon initial occupancy; Gross rent(s) exceed rent limits; Failure to provide affirmative marketing plan. UPCS Violation	Uncorrected. Owner has been referred to the Department's Administrative Penalty Committee for enforcement.
1000652	4402	Skyline Terrace	3/25/2011	None	N/A
535248	2675	Olten Multifamily (Running Water Day Apts).	3/28/2011	Household income above income limit upon initial occupancy; Failure to provide Affirmative Marketing Plan	Corrected
531099	3263	La Mirage Apartments	3/30/2011	UPCS Violation	Corrected
1000238	4098	La Mirage Villas	3/30/2011	None	N/A

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HOME	CMTS	Development	Last Onsite (month/yr)	Description of Noncompliance	Status of Noncompliance
539115/534389	2606	Bentcreek Apts.	3/30/2011	Household income increased above 80% at recertification and owner failed to properly determine rent; UPCS Violation	Corrected
535259	2702	West Gate Apartments	3/30/2011	None	N/A
531105	2619	Garden Terrace	3/31/2011	UPCS Violation	Corrected
1000417	4312	Spring Terrace	4/11/2011	UPCS Violation	Corrected
1000655	4380	El Paraiso Apts	4/11/2011	None	N/A
1000884	4494	Bluffs Landing Senior Village	4/11/2011	Owner failed to correctly complete or document tenant's annual income recertification; UPCS Violation	Corrected
1000881	4487	Shady Oaks Apartments	4/12/2011	Failure to provide Affirmative Marketing Plan; UPCS Violation	Corrected
533308	2701	Webb Street Revitalization	4/13/2011	None	N/A
536293	2601	Autumn Springs	4/13/2011	Owner failed to correctly complete or document tenant's annual income recertification; Failure to provide Affirmative Marketing Plan	Corrected
530727	180	Raintree Apartments	4/14/2011	None	N/A
533504	1858	Heritage at Dartmouth	4/14/2011	Owner failed to correctly complete or document tenant's annual income recertification; UPCS Violation	Corrected; UPCS noncompliance uncorrected and owner has been referred to the Department Administrative Penalty Committee for enforcement
1000965	4514	Buena Vida Apartments	4/15/2011	None	N/A
1000648	4271	Los Ebanos Apartments	4/19/2011	None	N/A
537078	1747	Westwind Village Apts.	4/20/2011	UPCS Violation	Corrected

HOME	CMTS	Development	Last Onsite (month/yr)	Description of Noncompliance	Status of Noncompliance
530201	4002	Villa De Reposo - Encinal	4/20/2011	UPCS Violation	UPCS noncompliance uncorrected and owner has been referred to the Department Administrative Penalty Committee for enforcement
1000242	4059	Vista Hermosa Apartments	4/20/2011	None	N/A
535253	2731	Villa De Reposo	4/20/2011	Household income above income limit upon initial occupancy; UPCS Violations	Corrected; UPCS noncompliance uncorrected and owner has been referred to the Department Administrative Penalty Committee for enforcement
539110	2730	Villa de Reposa San Luis	4/20/2011	Owner did not properly calculate utility allowance	Action plan submitted; all will be corrected by 4.1.2012
534501	4204	Mineola Seniors Community	4/21/2011	None	N/A
537070	1596	Granada Apartments Limited	4/21/2011	UPCS Violation	Corrected
1000651	4351	LULAC Amistad Apts	4/26/2011	None	N/A
534031	2618	Rincon Point Apartments	4/26/2011	Failure to provide Affirmative Marketing Plan; UPCS Violation	Corrected; UPCS Corrective Action due 3.20.2012
531102	4005	Country Villa	4/27/2011	UPCS Violation	Corrective Action due 4.5.2012
1000882	4479	Kingsville LULAC Manor Apartments	4/27/2011	Failure to provide Affirmative Marketing Plan	Corrected
530707	2610	Casa De Manana	4/28/2011	UPCS Violation	Corrected
531100	369	La Villita	5/9/2011	None	N/A
535031	2652	Parkview Place	5/10/2011	Owner failed to correctly complete or document tenant's annual income recertification; Gross rent exceeds limit	Corrected
537076	3407	Palestine Seniors Community	5/17/2011	None	N/A
530200	4001	St. John Colony	5/17/2011	UPCS Violation	Corrective Action due 4.3.2012

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HOME	CMTS	Development	Last Onsite (month/yr)	Description of Noncompliance	Status of Noncompliance
532318	2711	Railroad St. Rental	5/17/2011	Household income above income limit upon initial occupancy; Failure to provide Affirmative Marketing Plan; UPCS Violation	Corrected
536272	2634	Lockhart Elderly Housing	5/17/2011	Failure to provide Affirmative Marketing Plan	Uncorrected and owner has been referred to the Department Administrative Penalty Committee for enforcement
537079	1785	San Augustine Seniors Apt.	5/18/2011	UPCS Violation	Corrective Action due 3.16.2012
539119	1922	Asbury Place Apartments	5/18/2011	UPCS Violation	Uncorrected and owner has been referred to the Department Administrative Penalty Committee for enforcement
533186	2631	Lincoln Courts	5/18/2011	Household income above income limit upon initial occupancy; UPCS Violations	Corrected
537073	2649	Panola Seniors Community II	5/18/2011	None	N/A
539116	2713	Riverview Apts.	5/18/2011	None	N/A
536264	1525	Commonwealth Apartments	5/19/2011	UPCS Violation	Corrected
536265	1574	Sunrise Village II	5/19/2011	None	N/A
538621	2214	Commonwealth, Phase II	5/19/2011	UPCS Violation	Corrected
1000998	4552	First Huntington Arms	5/19/2011	Failure to provide Affirmative Marketing Plan; UPCS Violation	Corrected; UPCS Corrective Action due 3.26.2012
1001113	4580	Encino Pointe	5/19/2011	None	N/A
1000441	781	East Texas Apartments	5/19/2011	UPCS Violation	UPCS Corrective Action due 4.3.2012
532336	2722	Sunrise Village Phase I	5/19/2011	None	N/A
533288	2667	Ranchland Apts.	5/19/2011	Household income above income limit upon initial occupancy; UPCS Violations	File noncompliance uncorrected and owner has been referred to the Department Administrative Penalty Committee for enforcement; UPCS Corrective Action due 4.18.2012

HOME	CMTS	Development	Last Onsite (month/yr)	Description of Noncompliance	Status of Noncompliance
859003	2653	Pineywoods Home Team Affordable Housing	5/19/2011	Gross rent exceeds limit; Owner failed to correctly complete or document tenant's annual income recertification; UPCS Violations	Corrected; UPCS Corrective Action due 3.26.2012
1000986	4542	Creekside Villas Senior Village	5/20/2011	UPCS Violation	Corrected
1000771	4446	Prospect Point	5/20/2011	UPCS Violation	UPCS Corrective Action due 5.6.2012
1000879	4499	Constellation Ranch	5/20/2011	Owner failed to correctly complete or document tenant's annual income recertification	Corrected
535004	2685	Jourdanton Elderly Hsing	5/20/2011	None	N/A
534339	2718	SW Village Apts.	5/24/2011	Owner failed to correctly complete or document tenant's annual income recertification	Corrected
536266	1616	Brentwood Oaks Apartments	5/26/2011	UPCS Violation	Corrective Action due 3.9.2012
1000990	879	Fredericksburg Seniors	5/26/2011	Household income above income limit upon initial occupancy	Corrected
1000084	4207	Canal Street Apartments	6/8/2011	None	N/A
538613	2655	Britton's Place	6/10/2011	UPCS Violation	UPCS Corrective Action due 3.14.2012
1001114	4591	Mineral Wells Pioneer Crossing	6/14/2011	None	N/A
538006	1952	Tierra Socorro, Ltd.	6/15/2011	Owner failed to correctly complete or document tenant's annual income recertification; Household income increased above the recertification income limit and an available unit was rented to a market-rate household; UPCS Violation	File noncompliance uncorrected and owner has been referred to the Department Administrative Penalty Committee for enforcement; UPCS Corrective Action due 4.3.2012
1001106	4573	Woodmont Apartments	6/15/2011	None	N/A

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HOME	CMTS	Development	Last Onsite (month/yr)	Description of Noncompliance	Status of Noncompliance
537601	2643	Notre Dame Hills	6/15/2011	Owner failed to correctly complete or document tenant's annual income recertification; UPCS Violation	Corrected; UPCS Corrective Action due 3.12.2012
538625	2659	Prado II	6/15/2011	UPCS Violation	Corrective Action due 4.3.2012
537602	2623	Hero Housing	6/16/2011	Owner failed to correctly complete or document tenant's annual income recertification	Corrected
542072	3383	Pine Meadows Apartments	6/17/2011	Failure to provide Affirmative Marketing Plan; UPCS Violation	Corrected; UPCS Corrective Action due 6.3.2012
542073	3384	Green Manor Apartments	6/22/2011	UPCS Violation	Corrective Action due 4.10.2012
1000246	4069	Lexington Court	6/22/2011	None	N/A
1000977	4543	Lexington Court Phase II	6/22/2011	UPCS Violation	Corrected
536279	1641	Crestview Apartments Partnership	6/23/2011	UPCS Violation	Corrected
1001006	4554	SilverLeaf at Chandler	6/23/2011	UPCS Violation	Corrected
532304	1369	Longview Commons	6/24/2011	None	N/A
530657	2614	Danville Estate	6/24/2011	Failure to provide Affirmative Marketing Plan	Corrected
542071	3387	Willowchase Apartments	6/27/2011	UPCS Violation	UPCS Corrective Action due 5.16.2012
535028	1406	Jefferson Square Apartments	6/30/2011	UPCS Violation	Corrected
542070	3385	Bayou Bend Apartments	6/30/2011	UPCS Violation	UPCS Corrective Action due 5.15.2012
1000243	679	Shady Oaks Apartments	6/30/2011	UPCS Violation	UPCS Corrective Action due 6.6.2012
532316/533316	2728	Town Creek Homes	7/5/2011	Gross rent exceeds limit; UPCS Violation	File noncompliance uncorrected and owner has been referred to the Department Administrative Penalty Committee for enforcement; UPCS Corrective Action due 3.14.2012

HOME	CMTS	Development	Last Onsite (month/yr)	Description of Noncompliance	Status of Noncompliance
533303	2676	Colorado City Homes I	7/5/2011	Owner failed to correctly complete or document tenant's annual income recertification; Household income above income limit upon initial occupancy; UPCS Violation	Corrected; UPCS Corrective Action due 3.20.2012
534341	2677	Colorado City Homes II	7/5/2011	Household income above income limit upon initial occupancy; Owner failed to correctly complete or document tenant's annual income recertification;	Corrected; UPCS Corrective Action due 3.20.2012
1000991	4523	Cambridge Crossing	7/20/2011	UPCS Violation	Corrected
532305	2636	Freeport Apartments	7/20/2011	Owner failed to correctly complete or document tenant's annual income recertification; Household income above income limit upon initial occupancy; Failure to provide Affirmative Marketing Plan; UPCS Violations	Corrected; UPCS Corrective Action due 4.2.2012
1000239	720	Golden Manor Apartments	7/21/2011	UPCS Violation	Corrective Action due 4.11.2012
1000428	4303	Bayshore Manor Apartments	7/21/2011	UPCS Violation	Corrective Action due 3.9.2012
530737	178	Parkside Place Apts.	7/22/2011	UPCS Violation	Corrective Action due 4.3.2012
538620	2206	May Road Apartments	7/22/2011	Owner failed to correctly complete or document tenant's annual income recertification; UPCS Violation	Corrected; UPCS Corrective Action due 3.27.2012
1000244	4093	Briarwood Apartment	7/22/2011	None	N/A
1000430	4298	Park Place Apartments	7/22/2011	UPCS Violation	Corrective Action due 4.10.2012
1000431	4255	Pecan Village	7/22/2011	UPCS Violation	Corrective Action due 4.27.2012
1000437	4288	Windvale Park	7/22/2011	None	N/A

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HOME	CMTS	Development	Last Onsite (month/yr)	Description of Noncompliance	Status of Noncompliance
538092	2604	Alpine Retirement Community	8/23/2011	Failure to provide Affirmative Marketing Plan; Household income increased above 80% at recertification and owner failed to properly determine rent	Corrected
531001	4003	Cedar Ridge II	8/25/2011	None	N/A
534284	2671	Cedar Ridge Apts.	8/25/2011	Household income above income limit upon initial occupancy; UPCS Violations	Corrected
538263	3326	Santa Lucia Housing	9/8/2011	Owner failed to correctly complete or document tenant's annual income recertification; UPCS Violation	File noncompliance uncorrected and owner has been referred to the Department Administrative Penalty Committee for enforcement; UPCS Corrective Action due 4.10.2012
1000433	4302	Hamilton Manor Apartments	9/8/2011	None	N/A
532321	2700	Warren House	9/12/2011	Failure to provide Affirmative Marketing Plan; UPCS Violation	Corrected; UPCS Corrective Action due 4.6.2012
536292	2724	Sutton Square Duplexes	9/23/2011	UPCS Violation	Corrective Action due 4.6.2012
537603	2732	West Ave Apts.	9/23/2011	UPCS Violation	Corrective Action due 3.20.2012
530687	3200	Alamo Plaza	9/27/2011	Owner failed to correctly complete or document tenant's annual income recertification; Failure to provide Affirmative Marketing Plan; UPCS Violation	File noncompliance uncorrected and owner has been referred to the Department Administrative Penalty Committee for enforcement; Corrected
100639	4222	Cypress Creek at River Bend	10/6/2011	Owner failed to correctly complete or document tenant's annual income recertification; UPCS Violation	Some file noncompliance uncorrected and owner has been referred to the Department Administrative Penalty Committee for enforcement; UPCS Corrective Action due 4.30.2012

HOME	CMTS	Development	Last Onsite (month/yr)	Description of Noncompliance	Status of Noncompliance
539099	2654	Grandview Retirement Village	10/17/2011	Household income above income limit upon initial occupancy	Uncorrected and owner has been referred to the Department Administrative Penalty Committee for enforcement
1000586	4314	Floresville Square Apartments	10/19/2011	None	N/A
1000969	4515	Floresville Senior Housing	10/19/2011	None	N/A
536268	2626	Keystone	10/20/2011	None	N/A
1001000	4546	Brookhollow Manor	10/28/2011	UPCS Violation	Corrected
539114	2695	St. Michael Estates	11/8/2011	None	N/A
530717	156	Eagle Lake Gardens	11/9/2011	None	N/A
1000415	4274	Spring Garden V	11/9/2011	None	N/A
531301	4007	Spring Garden IV	11/9/2011	None	N/A
532303	2696	Sterling Park	11/10/2011	Owner did not properly calculate utility allowance; UPCS Violation	File Corrective Action due 4.2.2012; UPCS Corrective Action due 4.6.2012
542076	4202	Bridgeport Estates Phase II	11/10/2011	None	N/A
1000608	4333	Estates of Bridgeport IV a	11/10/2011	None	N/A
1001002	4548	Quail Run Apartments	11/10/2011	UPCS Violation	UPCS Corrective Action due 5.15.2012
1001112	4572	Costa Mariposa	12/10/2011	UPCS Violation	UPCS Corrective Action due 5.7.2012
532302	1100	Pecan Place	12/12/2011	None	N/A
530647	2693	Special Needs/ Denton Aff. Housing	12/13/2011	still under review	
531101	370	Seven Points Apartments	12/15/2011	UPCS Violation	Corrected
1000660	4408	Gardens of Mabank	12/15/2011	Failure to provide Affirmative Marketing Plan	File Corrective Action due 4.6.2012; Corrected
1001003	4549	Chisum Trail Apartments	12/15/2011	None	N/A
1000646	4394	Victoria Place Phase II	12/16/2011	UPCS Violation	UPCS Corrective Action due 5.25.2012

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HOME	CMTS	Development	Last Onsite (month/yr)	Description of Noncompliance	Status of Noncompliance
539109	2605	Angelica Homes (Angels Oak)	12/16/2011	Household income above income limit upon initial occupancy; Owner failed to correctly complete or document tenant's annual income recertification; Household income increased above the recertification income limit and an available unit was rented to a market-rate household; Failure to provide Affirmative Marketing Plan;	File Corrective Action due 5.9.2012; UPCS Corrective Action due 4.9.2012
532334	2715	San Jacinto Elderly	12/21/2011	Household income above income limit upon initial occupancy; Failure to provide Affirmative Marketing Plan;	File Corrective Action due 4.1.2012
536263	1473	The Brownwood Apartments	12/22/2011	Household income above income limit upon initial occupancy; Failure to provide Affirmative Marketing Plan; UPCS Violation	File Corrective Action due 3.30.2012; UPCS Corrective Action due 5.21.2012
538622	2063	Brownwood Apartments II	12/22/2011	Failure to provide Affirmative Marketing Plan; Owner did not properly calculate utility allowance; UPCS Violation	File Corrective Action due 5.13.2012; UPCS Corrective Action due 5.21.2012
536286	2719	Temple College Housing	1/10/2012	None	N/A
533029	2726	Tembell Homes	1/10/2012	UPCS Violation	Corrected
1001143	4665	Leander Station Senior Village	1/17/2012	None	N/A
532339	2681	Ebenezer Senior Housing	1/19/2012	None	N/A
1000245	4089	Bahia Palms Apartments	1/25/2012	Failure to provide Affirmative Marketing Plan	Corrected

HOME	CMTS	Development	Last Onsite (month/yr)	Description of Noncompliance	Status of Noncompliance
1000659	4387	Evergreen at Rockwall	1/26/2012	None	N/A
535003	1404	Llano Square, Ltd.	1/26/2012	UPCS Violation	Corrected
1000432	4301	Bel Aire Manor Apartments	1/26/2012	Failure to provide Affirmative Marketing Plan; UPCS Violation	File Corrective Action due 5.21.2012; UPCS Corrective Action due 5.21.2012
1001075	4593	Park Ridge Apartments	1/26/2012	None	N/A
539122	2640	Center Park Apartments	9/13/2011	Owner failed to correctly complete or document tenant's annual income recertification; UPCS Violation	Corrected

AFFIRMATIVE MARKETING AND MINORITY OUTREACH

Department staff ensures that HOME Program administrators comply with the fair housing, accessibility, and affirmative marketing requirements of the program. The following actions are taken by TDHCA to ensure compliance.

- An application guide, which discusses these issues and includes guidance regarding the affirmative marketing plan requirements, is provided at the time of application.
- HOME Program administrators must submit an Affirmative Marketing Plan at application.
- A technical guide, which discusses these issues, is provided to all HOME Program administrators.
- TDHCA conducts training workshops for HOME administrators. These workshops include guidance regarding compliance with the Fair Housing, accessibility, and affirmative marketing requirements of the program.

In accordance with HOME regulations at 24 §92.351 (a) and (b) and in furtherance of Texas' commitment to nondiscrimination and equal opportunity in housing, TDHCA has established procedures to affirmatively market units assisted under the HOME Investment Partnerships Program. These procedures are intended to further the objectives of Title VIII of the Civil Rights Act of 1968, the Fair Housing Amendments of 1988, and Executive Order 11063.

Affirmative Marketing Actions

For applications consisting of five or more HOME-assisted units, the applicant is required to submit an Affirmative Marketing Plan in accordance with the HOME Final Rule (24 §92.351). The Department's Compliance and Asset Oversight Division monitors for compliance with the requirements specified in the HOME Final Rule (24 §92.351) and also delineated in 10 Texas Administrative Code §60.112. Affirmative marketing steps consist of actions to provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, sex, religion, familial status, or disability.

The marketing program outlines procedures by which applications will be solicited from eligible potential program participants, maintain records of efforts to affirmatively market program activities or available housing opportunities, and to develop a system for evaluating the affirmative marketing efforts.

Specific Actions

- Program administrators must ensure that the public, including potential beneficiaries of HOME-assisted housing, is informed that the HOME program is administered under an established, affirmative marketing policy; applicable federal Fair Housing laws; and other applicable federal, state, and local housing laws. This policy must be promoted in the community through media and other outlets, and communicated to beneficiaries of housing that will be or has been assisted with HOME funds.

- Program administrators shall affirmatively market available housing in local newspapers and using other appropriate methods. All forms of program marketing should depict the Equal Housing Opportunity logo.
- To help ensure that available housing is affirmatively marketed to persons not likely to apply for such housing, Program administrators are encouraged to make HOME information available in non-English languages spoken by minority groups residing in or near the community. Furthermore, Program administrators are encouraged to distribute marketing materials to area social service agencies that work with minorities, disabled individuals, or other protected groups.

Affirmative Marketing Record Keeping

Program administrators are required to develop an affirmative marketing plan to identify persons who are the least likely to apply and how to reach those persons. Administrators must maintain documentation of their affirmative marketing activities. Program administrators must update their affirmative marketing plan annually throughout the contract term or period of affordability. TDHCA collects Fair Housing Sponsor Report data from each rental housing development program administrator annually. Program administrators use this information in preparing their affirmative marketing plan update.

Minority Outreach

Information on the number and dollar value of contracts for HOME projects completed during the reporting period to Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) is provided below.

HOME Projects Completed by Minority Business Enterprises, PY 2011

Contractor or Subcontractor Business Racial/Ethnic Code	Contracts Total	Contracts Percent of Total	Contracts Amount	Subcontracts Total	Subcontracts Percent of Total	Subcontracts Amount
American Indian/Alaska Native	0	0.00%	\$0.00	13	1.29%	\$47,000.00
Asian	0	0.00%	\$0.00	1	0.10%	\$3,000.00
Black/African American	0	0.00%	\$0.00	14	1.39%	\$377,808.00
Hispanic	24	15.19%	\$19,546,923.00	62	6.15%	\$2,156,666.42
White	134	84.81%	\$37,515,222.53	918	91.07%	\$41,897,702.41
Total	158	100.00%	\$57,062,145.53	1008	100.00%	\$44,482,176.83

HOME Projects Completed by Women Business Enterprises, PY 2011

Gender Business Code	Contract Total	Contract Percent of Total	Contract Amount	Subcontracts Total	Subcontracts Percent of Total	Subcontracts Amount
Man Owned	154	97.47%	\$56,816,213.87	1004	99.60%	\$44,321,231.02
Woman Owned	4	2.53%	\$245,931.66	4	0.40%	\$160,945.81
Totals	158	100.00%	\$57,062,145.53	1008	100.00%	\$44,482,176.83

OTHER ACTIONS

This section describes actions by the Texas Department of Agriculture (TDA) and Texas Department of Housing and Community Affairs (TDHCA) to address the following: Obstacles to Meeting Underserved Needs and Developing Affordable Housing, Public Housing Resident Initiatives, Lead-Based Paint Hazards, Poverty-Level Households, Compliance, Gaps in Institutional Structure, Enhancing Coordination, Reducing and Ending Homelessness, and Furthering Fair Housing. The Department of State Health Services has reported on these topics in Part II.

MEETING UNDERSERVED NEEDS AND DEVELOPING AFFORDABLE HOUSING

The Departments have identified various obstacles that may affect the ability to meet underserved needs in Texas. They include the lack of affordable housing, lack of organization capacity, lack of organizational outreach, local opposition to affordable housing, regulatory barriers to affordable housing, and area income characteristics (particularly in rural areas). The Departments take actions to mitigate these obstacles such as effectively using existing resources to administer programs, providing information resources to individuals and local areas, and coordinating resources. The following paragraphs outline specific actions taken by the program areas to meet underserved needs and develop affordable housing.

CDBG

Texas CDBG encourages affordable housing projects using several methods in the allocation of CDBG funds to the eligible communities that can participate in its programs, including favorable state scoring and regional prerogative to prioritize funding for housing infrastructure and rehabilitation. Each region is encouraged to set aside a percentage of the regional allocation for housing improvement projects, and housing applications are scored as high priority projects at the state level.

Currently, the primary method of promoting and supporting affordable housing is by providing the water and wastewater infrastructure for residential housing. The CDBG funding provides a cost savings for housing when CDBG funds are used to provide first-time water and wastewater services by installing water and sewer yardlines and paying impact and connection fees for qualifying residents.

CDBG funding also helps cities and counties study affordable housing conditions. The plans produced through a CDBG planning contracts provide both valuable data concerning a city's or county's affordable housing stock and planning tools for expanding their affordable housing.

The most commonly cited obstacle to meeting the underserved community development needs of Texas cities (aside from inadequate funding) is the limited administrative capacity of the small rural towns and counties the CDBG program serves. Rural areas may also have difficulty finding interested contractors who have the financial stability to wait a minimum of two weeks for payment after the work is complete. Contractors can earn more working in metropolitan areas with larger projects and without the location costs required to transport materials and equipment to rural communities. Texas CDBG staff offers technical assistance to communities to promote successful CDBG projects.

Other Actions

Underserved Needs

Another obstacle to meeting underserved needs applies to colonias projects. There have been cases when a county applies to provide water service to an area, but more than one water supply corporation or city may have a Certificate of Convenience and Necessity (CCN) in that territory (CCNs have been issued which have overlapping territories). In these cases, a dispute over which water supply corporation/city has the right to serve the territory (and therefore collect the revenues) may arise. A public hearing process may be necessary to resolve this issue, which can then delay projects for months. Texas CDBG works with regulatory agencies as appropriate to resolve issues in project areas in a timely manner.

The physical size and the diversity of the State of Texas can present challenges to understanding and meeting underserved needs in local communities. The TDA Field Offices have been established to better serve these communities by providing technical assistance and support in Bédias, Bishop, Uvalde, and La Grange, Kountze, and Nacogdoches. The Colonia Self-Help Centers continue to address affordable housing needs in border counties by assisting qualifying colonia residents to finance, refinance, construct, improve or maintain a safe, suitable home in suitable areas.

HOME and ESGP

The HOME Program provides grant funds, deferred forgivable loans, and repayable loans to units of local government, nonprofit and for-profit organizations, community housing development organizations (CHDOs), and public housing authorities (PHAs). These funds are primarily used to foster and maintain affordable housing by providing rental assistance, rehabilitation, or reconstruction of owner-occupied housing units, down payment and closing cost assistance for the acquisition of affordable single family housing, and funding for rental housing development or preservation of existing affordable or subsidized rental housing.

HOME funds may also be used in conjunction with the Housing Tax Credit Program to construct or rehabilitate affordable multifamily housing.

Regarding ESGP, while TDHCA encourages the use of ESGP funds to provide affordable transitional housing, the majority of funds are utilized to provide emergency shelters and to assist persons at-risk of homelessness with rental assistance. These funds meet the needs of local homeless populations.

PUBLIC HOUSING RESIDENT INITIATIVES

The future success of PHAs will center on ingenuity in program design, emphasis on resident participation towards economic self-sufficiency, and partnerships with other organizations to address the needs of this population. While the Departments do not have any direct or indirect jurisdiction over the management or operations of PHAs, it is important to maintain relationships with these service providers.

CDBG

Texas CDBG serves public housing areas through various funding categories as residents of PHAs qualify as low- to moderate-income beneficiaries for CDBG projects.

HOME and ESGP

TDHCA publishes all Notices of Funding Availability on its website and sends notification of funding availability statewide through the Department's email subscriber lists. Because PHAs are eligible applicants under the HOME Program, application processes related to all HOME activities are discussed in detail at application workshops. As PHAs have received homebuyer assistance and tenant-based rental assistance funds, information is provided to enable them to transition families toward homeownership or provide additional households with rental assistance and services to increase self-sufficiency.

Finally, PHAs, including those receiving HOME funds and those with Section 8 Homeownership programs, are targeted by TDHCA's Texas Statewide Homebuyer Education Program for training to provide homebuyer education opportunities and self-sufficiency tools for PHA residents.

PHA residents are eligible to receive assistance and services from ESGP grantees.

In addition to HOME and ESGP activities related to PHAs, TDHCA performs certifications of consistency. TDHCA, as required by 24 §903.15, continues a certification process to ensure that the annual plans submitted by PHAs in an area without a local Consolidated Plan are consistent with the State of Texas's Consolidated Plan. For the PY 2011 reporting period, February 1, 2011, through January 31, 2012, TDHCA processed and approved 41 PHA certifications of consistency in a fair and impartial manner.

LEAD-BASED PAINT HAZARDS

The health risks posed by lead-based paint to young children are the most significant health issue facing the housing industry today. Lead-based paint can be found in housing built prior to 1978. According to the 2006-2010 American Community Survey, there are approximately 4.5 million homes in Texas built before 1980. This makes up approximately 47 percent of the total housing stock in Texas. Because these homes are older housing stock, they are typical to low-income neighborhoods, and the potential for exposure increases as homeowners and landlords defer maintenance. This older housing stock is the target of rehabilitation efforts and is often the desired “starter home” of a family buying their first home.

Title X calls for a three pronged approach to target conditions that pose a hazard to households: 1) Notification of occupants about the existence of hazards so they can take proper precautions, 2) Identifications of lead-based paint hazards before a child can be poisoned and, 3) control of these lead-based paint hazards in order to limit exposure to residents. Title X mandated that HUD issue “The Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing” (1995) to outline risk assessments, interim controls, and abatement of lead-based paint hazards in housing. Section 1018 required EPA and HUD to promulgate rules for disclosure of any known lead-based paint or hazards in target housing offered for sale or lease. These rules came into effect on March 6, 1996 in 40 CFR Part 745/24 CFR Part 35.

While TDHCA monitors its properties for compliance with these regulations, at the state level, the Texas Department of State Health Services (DSHS) has been charged with oversight of the Texas Environmental Lead Reduction Rules (TELRR). These rules cover areas of lead-based paint activities in target housing (housing constructed prior to 1978) and child-occupied facilities, including the training and certification of persons conducting lead inspections, risk assessments, abatements, and project design. For all projects receiving over \$25,000 in federal assistance, contractors need to follow inspections and abatements standards overseen by DSHS. By following these standards, the state is increasing the access to housing without lead-based paint hazards. The adherence to inspection and abatement standards is related to the extent of lead-based paint in that a majority of the housing in need of rehabilitation is likely housing built before 1978.

CDBG

In accordance with CDBG state regulations and the Lead-Based Paint Poisoning Prevention Act, TxCDBG has adopted a policy to eliminate as far as practicable the hazards of lead poisoning due to the presence of lead-based paint in any existing housing assisted under the TxCDBG. In addition, this policy prohibits the use of lead-based paint in residential structures constructed or rehabilitated with federal assistance. Abatement procedures should be included in the housing rehabilitation contract guidelines for each project and must appear in the approved work write-up documentation for all homes built prior to 1978 that will be rehabilitated, as outlined in the TxCDBG Project Implementation Manual.

HOME and ESGP

The HOME Program increases the awareness of the hazards of lead-based paint by requiring screening for TBRA, homebuyer assistance and homeowner rehabilitation. Furthermore, single-family and multifamily development activities in HOME increase the access to lead-based-paint-free housing because they create new housing.

The HOME Program requires lead screening in housing built before 1978 for all HOME-eligible activities. Rehabilitation activities fall into three categories: 1) Requirements for federal assistance up to and including \$5,000 per unit; 2) Requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit; and 3) Requirements for federal assistance over \$25,000 per unit.

Requirements for federal assistance up to and including \$5,000 per unit are: distribution of the pamphlet “Protect Your Family from Lead in Your Home” is required prior to renovation activities; notification within 15 days of lead hazard evaluation, reduction, and clearance must be provided; receipts for notification must be maintained in the administrator file; paint testing must be conducted to identify lead based paint on painted surfaces that will be disturbed or replaced or administrators may assume that lead based paint exist; administrators must repair all painted surfaces that will be disturbed during rehabilitation; if lead based paint is assumed or detected, safe work practices must be followed; and clearance is required only for the work area.

Requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit include all the requirements for federal assistance up to and including \$5,000 per unit and the following: a risk assessment must be conducted prior to rehabilitation to identify hazards in assisted units, in common areas that serve those units and exterior surfaces or administrators can assume lead based paint exist and; clearance is required for the completed unit, common areas which serve the units, and exterior surfaces where the hazard reduction took place.

Requirements for federal assistance over \$25,000 per unit included all the requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit and the following: if during the required evaluations lead-based paint hazards are detected on interior surfaces of assisted units, on the common areas that serve those units or on exterior surfaces including soils, then abatement must be completed to permanently remove those hazards; and if lead based paint is detected during the risk assessment on exterior surfaces that are not disturbed by rehabilitation then interim controls may be completed instead of abatement.

For ESGP, TDHCA requires subrecipients to evaluate and reduce lead-based paint hazards for conversion, renovation, or rehabilitation projects funded with ESGP funds, and tracks work in these efforts as required by Chapter 58 of the Environmental Protection Act. During the annual contract implementation training, TDHCA provides ESGP subrecipients with Department requirements and information related to lead-based paint regulations. The Department will require ESGP funded subrecipients to determine if a housing unit was built prior to 1978, for households seeking ESGP funded rent or rent deposit assistance whose household has a family member(s) 6 year of age or younger. If the housing unit is built prior to 1978, the ESGP subrecipient will notify the household of the hazards of lead-based paint.

Other Actions

Lead-Based Paint

ESGP subrecipients utilizing ESGP funds for renovation, rehabilitation or conversion must comply with the Lead Based Paint Poisoning and Prevention Act and the Residential Lead-Based Paint Hazard Reduction Act of 1992. Through renovation, rehabilitation or conversion, ESGP increases access to shelter without lead-based paint hazards.

POVERTY-LEVEL HOUSEHOLDS

According to the American Community Survey for 2006 to 2010, Texas had a poverty rate of 16.8 percent during this time period compared to the national poverty rate of 13.8 percent. The federal government defined the poverty threshold in 2011 is \$23,050 for a family of four. Many of these poverty-level households can have worst-case housing needs such as severe cost burden, substandard housing and involuntary displacement. Poverty can be self-perpetuating, creating barriers to education, employment, health, and financial stability.

TDA, TDHCA, and DSHS have an important role in addressing Texas poverty. These agencies seek to reduce the number of Texans living in poverty, thereby providing a better future for all Texans. This means trying to provide long-term solutions to the problems facing people in poverty and targeting resources to those with the greatest need.

CDBG

A substantial majority of Texas CDBG funds are obligated to cities and counties under the funding competitions meeting the national objective to “principally benefit low and moderate income persons”. Texas CDBG encourages the funding of communities with a high percentage of persons in poverty through its application scoring. The CDBG projects funded under this national objective are required to serve 51% low to moderate income persons. In addition, the CDBG allocation formula used to distribute Community Development funds among regions includes a variable for poverty in the community distress scoring. The percentage of persons in poverty for each region is factored into the allocation formula in order to target funding toward communities with the greatest need.

In PY 2011, TxCDBG awarded 247 contracts under the National Objective of benefiting primarily low to moderate income persons. The \$73,471,982 in funds obligated for this National Objective in PY 2011 benefits 413,205 persons, of whom 239,088 are low to moderate income persons.

The TxCDBG economic development funds are instrumental in creating infrastructure and jobs. By creating and retaining jobs through assistance to businesses and then providing lower income people access to these jobs, CDBG can be a very effective anti-poverty tool. Providing jobs that offer workplace training and education, fringe benefits, opportunities for promotion, and services such as child care can further maximize the potential benefits. In addition, programs that improve infrastructure affords the opportunity to upgrade existing substandard housing (such as in the colonias) and build new affordable housing where none could exist before.

In accordance with Section 3 of the Housing and Urban Development Act of 1968, as amended, Grant Recipients using CDBG funding for housing or other public construction are required, to the greatest extent feasible, to provide training and employment opportunities to lower income residents and contracting opportunities to businesses in the project area when those opportunities are “triggered” by HUD funding. TxCDBG provides Technical Assistance and program guidance on methods to be employed to attain Section 3 goals and monitors closely monitors the results of those efforts. During PY 2011, TxCDBG enhanced the oversight and reporting of Section 3 requirements, with reporting now required both on an annual basis as well as when construction and non-construction contracts are executed.

HOME and ESGP

Through the HOME Tenant-Based Rental Assistance Program, TDHCA assists households with rental subsidy and security and utility deposit assistance for a period not to exceed twenty four months. As a condition to receiving rental assistance, households must participate in a self-sufficiency program, which can include job training, GED classes, or drug dependency classes. The HOME Program enables households to receive rental assistance while participating in programs that will enable them to improve employment options and increase their economic independence and self-sufficiency. Rental assistance maybe extended beyond the 24-month period based on availability of funds.

The ESGP Program funds activities that provide shelter and essential services for homeless persons, as well as intervention services for persons threatened with homelessness. Essential services for homeless persons include medical and psychological counseling, employment counseling, substance abuse treatment, transportation, and other services. In PY 2011, ESGP committed \$1,381,550 for essential services for homeless persons. These services are intended to help homeless individuals and those with poverty-level incomes improve their conditions and achieve self-sufficiency.

For individuals threatened with homelessness, homelessness prevention funds can be used for short-term subsidies to defray rent and utility arrearages for households receiving late notices, security deposits, and payments to prevent foreclosure. In PY 2011, ESGP committed \$1,288,750 for homelessness prevention activities. These services are intended to assist very low income households and those with poverty-level incomes avoid becoming homeless.

COMPLIANCE

TDA and TDHCA ensure compliance with program and comprehensive planning requirements through various compliance measures.

CDBG

The monitoring function of TDA has four components: project implementation, contract management, audit, and monitoring compliance.

Project Implementation: Prior to the award of funds, each community is evaluated for compliance in prior contracts. The application scoring process at the state level includes a scoring factor for past performance on CDBG contracts. In addition, once a funding recommendation has been made the contract is routed through the Program Development, Operations, Legal, and Fiscal Operations Departments to verify that no outstanding issues in previously awarded contracts prevent the contract execution for the recommended award.

Contract Management: All open CDBG projects are assigned to a specific Regional Coordinator who is responsible for contract compliance and project management. All projects have formal contracts that include all federal and state requirements. Regional Coordinators monitor progress and compliance through formal reporting procedures. Program Specialists for Labor Standards and Environmental

compliance also exist under the Project Management function. Additionally, all reimbursement requests require complete supporting documentation before payment is made.

Audit: The audit function is authorized by OMB A-133, which requires that governmental units and nonprofit organizations spending more than \$500,000 in either federal or state funds during their fiscal years ending after December 31, 2003, submit a copy of a Single Audit to the Agency. A Single Audit is required for desk review by TDA regardless of whether there are findings noted in the audit pertaining to CDBG funds, since it is an additional monitoring tool used to evaluate the fiscal performance of grantees.

Monitoring Compliance: The on-site programmatic reviews are conducted on every CDBG contract prior to close-out to ensure the contractual obligations of each grant are met. In September 2011, the CDBG program implemented a new risk assessment methodology covering compliance monitoring of funded projects. The new process uses an objective risk assessment tool to evaluate the programmatic compliance risk of CDBG-funded projects.

Contracts scored according to the risk assessment tool will be grouped into a range of three categories: High Risk, Medium Risk, and Low Risk. Monitoring staff will conduct a risk assessment of CDBG contracts to determine whether monitoring reviews are to be performed onsite or as desk reviews. All High Risk contracts are to be monitored onsite. Medium Risk and Low Risk contracts are to be monitored as desk reviews, unless otherwise directed by TxCDBG management.

In addition, the risk category is used to determine the timeframe for monitoring the project. Contracts will be selected for monitoring according to their risk category and according to the approximate percentage of total CDBG funds drawn.

The areas reviewed include procurement procedures paid with CDBG funds or with match dollars, accounting records including copies of cancelled checks, bank statements and general ledgers (source documentation is reviewed at the time of draw requests), equipment purchases and/or procurement for small purchases, on-site review of environmental records, review of any applicable construction contracts, file review of any applicable client files for rehabilitation services, review of labor standards and/or a review of local files if internal staff used for construction projects, and a review of documentation on hand pertaining to fair housing and civil rights policies.

In addition to the formal monitoring function described above, the staff of the Compliance unit communicates with the staff of the Project Management unit as needed to evaluate issues throughout the contract implementation phase of CDBG contracts in order to identify and possibly resolve contract issues prior to the monitoring phase of the project.

HOME and ESGP

TDHCA has established oversight and monitoring procedures within the Compliance and Asset Oversight and Community Affairs divisions to ensure that activities are completed and funds are expended in accordance with contract provisions and applicable state and federal rules, regulations, policies, and related statutes. TDHCA's monitoring efforts are guided by both its responsibilities under the HOME and ESG programs and its affordable housing goals for the State of Texas. These monitoring efforts include the following:

- Identifying and tracking program and project results

Other Actions

Compliance

- Identifying technical assistance needs of subrecipients
- Ensuring timely expenditure of funds
- Documenting compliance with program rules
- Preventing fraud and abuse
- Identifying innovative tools and techniques that support affordable housing goals
- Ensuring quality workmanship in funded projects
- Long-term compliance

Identifying and Tracking Program and Project Results

HOME contract and project activities are tracked through the TDHCA Contract Database (CDB) system, including pending projects, funds drawn, and funds disbursed through the internet-based system, HUD's IDIS, and other reports generated as needed. The CDB provides information necessary to track the success of the program and identify process improvements and administrator training needs. IDIS tracks HOME Program data such as commitment and disbursement activities, the number of units developed, the number of families assisted, the ongoing expenditures of HOME funds, and beneficiary information.

Other resources utilized by TDHCA to track project results include asset management and a loan servicing division. If either of these areas identifies problems, steps are taken to resolve the issue, including project workouts and oversight of reserve accounts.

ESGP project and contract activities are tracked through TDHCA's website, which maintains an Oracle-based reports system. This system maintains funds drawn, funds expended, performance data, and other reports as needed. ESGP data such as commitment and disbursement activities, number of persons assisted, ongoing expenditures, and program activities are also tracked through HUD's IDIS.

Identifying Technical Assistance Needs of Subrecipients

Identification of technical assistance needs for HOME and ESGP subrecipients is performed through analysis of administrator management practices, analysis of sources used by TDHCA to track technical assistance such as information captured in the Housing Contract System and division's database, review of documentation submitted, desk reviews based on the requirements identified in the Compliance Supplement and State Affordable Housing Program requirements, project completion progress, results of on-site audits and monitoring visits, and desk reviews conducted by Department staff.

Ensuring Timely Expenditure of Funds

TDHCA ensures adequate progress is made toward committing and expending HOME and ESGP funds. Regular review of internal reports and data from IDIS is performed to assess progress of fund commitment and to ensure that all funds are committed within 24 months from the last day of the month in which HUD and TDHCA enter into an agreement. Performance deadlines for spending and matching funds are reviewed on a quarterly basis to track expenditure totals. HOME benchmark and set-aside requirements are also tracked.

Documenting Compliance with Program Rules

Compliance with program rules is documented through contract administration and formal monitoring processes. Staff documents compliance issues as part of their ongoing contract management reviews and notifies administrators of any noncompliance and required corrective action.

Contract monitoring is on-going throughout the contract period and/or the construction period. Monitoring reviews are scheduled and planned based on risk. Areas tested include specific program requirements, such as eligibility and program match. Federal cross-cutting requirements, such as financial, procurement, environmental, labor and fair housing are also included in the monitoring scope.

On-site monitoring reviews are conducted every one to three years as determined by federal requirements. HOME rental developments may be monitored more frequently if a development continues to have uncorrected noncompliance, change in ownership, or any other risk factors determined by the Division. An on-site monitoring file review consists of reviewing 20% percent of the HOME units or a minimum of 5 units. During a file review staff confirms household eligibility, rent restrictions, income recertification requirements and that lease agreements contain required tenant protections. In addition, the development's resident selection criteria, program forms, waiting list, affirmative marketing plan and utility allowance documentation is reviewed to ensure compliance with the program. Technical assistance is provided to on-site staff during the review. A Uniform Physical Conditions Standards (UPCS) inspection of the development, buildings, and units is also completed. The UPCS inspection is typically conducted one to three months after the onsite file review. UPCS inspections are conducted by Department staff or by outside inspectors contracted by the Department. All on-site monitoring reviews are completed in accordance with the requirements of the HOME Program and Department policies and procedures, as described in the Financing/Loan Agreements, Deed Restrictions, and Regulatory and Land Use Restriction Agreements.

TDHCA has developed a set of standards for HOME administrators to follow to ensure that subcontractors and lower-tiered organizations entering into contractual agreements with administrators perform activities in accordance with contract provisions and applicable state and federal rules, regulations, policies, and related statutes.

TDHCA has taken a proactive approach to ensure HOME administrators with any uncorrected noncompliance are not eligible to receive any additional funding. The Department maintains a database to document an administrator's compliance history with rental housing developments. Prior to the award of any new funding the Compliance and Asset Oversight Division conducts a previous participation review to determine if an applicant has control of an existing HOME development with any uncorrected noncompliance. If any issues are identified during this review, the HOME administrator is notified in writing and provided a 5 day period to submit all necessary corrective action to correct noncompliance. If the HOME administrator does not correct noncompliance, the application for funding will be terminated. TDHCA adopted this rule which is outlined in further detail in 10 TAC, Chapter 60, Subchapter A, §60.124. The compliance history is considered by TDHCA's Board prior to finalizing awards.

Preventing Fraud and Abuse

TDHCA monitors for potential fraud and mismanagement of funds through the assistance of written agreements with HOME administrators and review of supporting documentation throughout the HOME

Other Actions

Compliance

contract period to ensure that activities are eligible, through information gathered from outside sources and Department staff, and through onsite monitoring visits of HOME and ESGP subrecipients. If fraud or mismanagement of funds is found, sanctions are enforced and disallowed costs are refunded to TDHCA. Also, if fraud or mismanagement of funds is suspected, TDHCA will make referrals and work closely with HUD, the State Auditor's Office, the Inspector General, the Internal Revenue Service, and local law enforcement agencies as applicable.

Identifying Innovative Tools and Techniques that Support Affordable Housing Goals

Staff identifies innovative tools and techniques to support affordable housing goals by attending trainings and conferences, maintaining contact with other state affordable housing agencies, and through the HUD internet listserv and HUD website.

Ensuring Quality in Funded Projects

Ensuring the administrator provides the committed product, amenities, and compliance with accessibility is a Departmental priority. Division staff monitors for the quality of workmanship in HOME-funded projects through the inspection process. TDHCA staff, in conjunction with Manufactured Housing Inspectors, conducts inspections to substantiate compliance with program standards and application commitments and representations. Deficiencies and concerns are identified at pre-construction plan review, mid construction and final construction inspections. Construction inspections examine a sample of units based on size of the development, unit type and related risk factors. If any deficiencies or concerns are identified during these inspections, the HOME administrator is notified in writing and provided a corrective action period. In addition, technical assistance is available and provided during the entire construction process. All identified deficiencies require correction prior to retainage release and final inspection clearance for all HOME rental developments.

TDHCA staff is trained in the design standards and technical requirements of Section 504, Rehabilitation Act of 1973, Fair Housing Act, and Model Construction Codes including Energy Efficiency Standards. The tools and training provided to field inspection staff include comprehensive inspection checklist, annual training class and one-on-one training in the field to ensure accuracy and consistency. TDHCA is committed to ensuring all inspectors are trained thoroughly on the Division's procedures, expectations, and accessibility requirements.

Long-Term Compliance

The Compliance and Asset Oversight Division (CAO) is responsible for long term monitoring of HOME rental developments. Long-term monitoring begins at the commencement of leasing. CAO monitors performance by conducting desk reviews and performing on-site monitoring and on-site physical inspections reviews. Desk reviews are required to be submitted electronically through the Department's web-based Compliance Monitoring and Tracking System (CMTS) throughout the affordability period. All HOME rental developments are required to submit electronic quarterly desk reports during the initial lease up phase. Once a development has achieved 100% occupancy and is in compliance with all program rules and regulations, the development's reporting schedule is changed to an annual basis. All HOME rental developments are required to submit an electronic annual desk report and an Annual Owner's Compliance Report (AOCR) March 1 of each year.

At the commencement of leasing all HOME rental developments are scheduled for an on-site monitoring review. HOME developments are monitored throughout the affordability period. An on-site monitoring review consists of reviewing 20% percent, or 5 minimum, resident files to ensure compliance with income and rent restrictions and all other federal requirements. In addition, a physical inspection of the development, buildings, and units is completed. In 2007, the Division adopted HUD's Uniform Physical Condition Standards (UPCS) to ensure all rental developments are decent, safe, sanitary, in good repair and suitable for occupancy. The UPCS inspections are completed by Department Contractor, or Inspection staff. The physical inspection is not limited to health and safety issues, but also includes an on-going limited accessibility inspection with the construction requirements of Section 504, Rehabilitation Act of 1973, and Fair Housing.

All on-site monitoring reviews are completed in accordance with the requirements of the HOME Program and Department policies and procedures, as described in the Financing/Loan Agreements, Deed Restrictions, and Regulatory and Land Use Restriction Agreement. If a HOME rental development fails to comply with requirements as listed above, the Department has implemented enforcement procedures and administrative penalties described in 10 TAC, Compliance Rules, Subchapters A and C.

Risk Management

HOME contracts are monitored based on a risk assessment model that is updated on a quarterly basis or more frequently if required. Some of the elements of the Risk Assessment Model may include the type of activity, existence of a construction component, Davis-Bacon requirements, results of previous on-site visits, status of the most recent monitoring report, amount committed/expended, previous administrator experience, entity type, and Single Audit status. In addition to the results of the risk assessment survey, referrals from division staff are considered when determining in depth monitoring reviews or required technical assistance. An emphasis is placed on monitoring of contracts within the current draw period and contracts with projects in the affordability period as defined by HUD.

If complaints are received by TDHCA, they are considered a risk management element and will be reviewed in detail. Supplemental monitoring activities will be performed to ensure program compliance and detection of possible fraud or mismanagement.

The Risk Assessment Model is also implemented for ESGP program. Some of the elements of the Risk Assessment Model include the following: length of time since last on-site visit, results of last on-site visit, status of most recent monitoring report, timeliness of grant reporting, total amount funded during assessment period, total amount funded for all TDHCA contracts during assessment period, number of TDHCA contracts funded during assessment period, and Single Audit Status. In addition to the results of the risk assessment survey consideration is also given to recommendations made from other TDHCA divisions regarding performance with other TDHCA funded programs. Based on this assessment, higher ranking ESGP subrecipients are prioritized for monitoring. This occurs on an annual basis.

Sanctions

Based on the results of ongoing HOME monitoring, sanctions are imposed for noncompliance issues based on the severity of noncompliance, which may include delays in project set-ups, draw request processing, questioned/disallowed costs, suspension of the contract, or contract termination. When necessary, the Executive Director executes a referral to the State Auditor's Office for investigation of

Other Actions

Compliance

fraud as required by Section 321.022(a) of the Texas Government Code. Sanctions imposed affect future application requests and scoring. In addition, if fraud or mismanagement of funds is suspected, TDHCA will make referrals and work closely with HUD, the State Auditor's Office, the Inspector General, and local law enforcement agencies as applicable.

The results of ongoing ESGP monitoring will also determine if sanctions are imposed for noncompliance issues. Sanctions range from the use of the cost reimbursement method of payment, deobligation of funds, suspension of funds, and termination of the contract. TDHCA's legal staff is notified and referrals are made to the Attorney General's Office. Sanctions imposed affect the future consideration of ESGP applications for funding.

INSTITUTIONAL STRUCTURE

Understanding that no single entity will be able to address the enormous needs of the State of Texas, TDA, TDHCA, and DSHS support the formation of partnerships in the provision of housing, housing-related, and community development endeavors. This is especially important considering that the limited amount of financial resources available for affordable housing, community service, and community development activities can be a major obstacle for a single agency to try to address the needs of the state, partnering with other organizations, as well as fund layering and leveraging, helps to stretch those funds that are available.

TDA, TDHCA, and DSHS are primarily pass-through funding agencies and distribute federal funds to local entities that in turn provide assistance to households. Because of this, the agencies work with many housing and community development partners, including consumer groups, community based organizations, neighborhood associations, community development corporations, councils of governments, community housing development organizations, community action agencies, real estate developers, social service providers, local lenders, investor-owned electric utilities, local government, nonprofits, faith-based organizations, property managers, state and local elected officials, and other state and federal agencies.

There are many benefits to these partnerships: risk and commitment are shared; the principle of reciprocity requires that local communities demonstrate an awareness of their needs and a willingness to participate actively in solving problems, therefore local communities play an active role in tailoring the project to their needs; partners are able to concentrate specifically on their area of expertise; and a greater variety of resources insure a well targeted more affordable product.

CDBG

CDBG funds are awarded to non-entitlement units of general local government thereby providing these communities with financial resources to respond to its community development needs. Such may include planning; constructing community facilities, infrastructure, and housing; and implementing economic development initiatives. Each applicant to the CDBG program is required through its citizen participation process to inform local housing organizations of its intention to apply for CDBG funding through the Texas CDBG and invite their input into the project selection process. Texas CDBG continues to coordinate with the Texas Department of Housing and Community Affairs, the Texas Water Development Board, Annual State Agency Meeting on Rural Issues, and the 24 Regional Councils of Governments to further its mission and target beneficiaries of CDBG funds through programs such as the Colonia Self-Help Centers, the Colonia Economically Distressed Areas Program, the Housing Tax Credit Program, and the Texas Capital Fund.

HOME and ESGP

The HOME Program encourages partnerships in order to improve the provision of affordable housing. Organizations receiving HBA funds are required to provide homebuyer education classes to households directly, or coordinate with a local organization that will provide the education. In addition, organizations receiving TBRA funds must provide self-sufficiency services directly, or coordinate with a local organization that will provide the services. HOME staff also participates in workgroups with

Other Actions

Institutional Structure

representatives from many organizations. The workgroups focus on rural housing, disability, and health related issues around the state.

TDHCA welcomes collaborative applications and in the 2011 Notice of Funding Availability has included additional points for true collaborative. The Department also encourages all ESGP subgrantees to develop partnerships with service providers in their area. ESGP subgrantees are also required to participate in the local HMIS system and are awarded points if an applicant can demonstrate participation in the local Continuum of Care.

REDUCING AND ENDING HOMELESSNESS

HUD's interim rule released in December 2011 directly relates to the replacement of the Emergency Shelter Grants Program with the Emergency Solutions Grant Program. However, part of the new regulations affect the other three programs, such as the requirement to report on efforts to reduce and end homelessness (§91.520(c)). These reporting requirements include (1) Reaching Out to Homeless Persons, (2) Emergency and Transitional Housing Needs, (3) Transition to Permanent Housing, and (4) Efforts to Avoid Homelessness.

As part of the implementation process for this interim rule, TDHCA was required to submit a Substantial Amendment to the 2011 One Year Action Plan (the plan on which this document reports). The Substantial Amendment will be sent to HUD after approval from TDHCA's Governing Board expected on April 12, 2012. After receiving Emergency Solutions Grant funding, TDHCA will administer the Emergency Solutions Grant Program and make appropriate adjustments to HOME and CDBG for the new requirements. After these adjustments have been made, the State will report on §91.520(c) for all programs.

AFFIRMATIVELY FURTHERING FAIR HOUSING

Detail on the state's progress to affirmatively further fair housing and address impediments identified in the Analysis of Impediments to Fair Housing Choice are included below followed by actions taken by TDA and TDHCA's programs included in the CAPER to affirmatively further fair housing.

Impediments to Fair Housing

The State is in the process of updating its 2003 Analysis of Impediments to Fair Housing Choice ("AI") in two phases, known as Phase 1 and Phase 2. The *Analysis of Impediments to Fair Housing: Phase I Hurricane Impacted Communities* ("Phase 1") was approved by HUD on May 13, 2011 and addressed the sixty-two (62) county area affected by hurricanes Ike and Dolly, as provided for in a HUD-approved Conciliation Agreement resolving a fair housing complaint. The Phase 1 AI identified 16 impediments to fair housing choice in four basic categories: education, training, planning, and enforcement. The following impediments were identified in Phase 1.

1. Protected classes may experience disparities in home mortgage lending and high cost loans.
2. There is inadequate information available to the real estate community, governments and the public about fair housing requirements and enforcement procedures.
3. The public is not sufficiently aware of their Fair Housing rights and how to obtain the assistance necessary to protect those rights.
4. "Not in my Backyard" (NIMBY) may be an impediment to fair housing in Texas communities.
5. Certain governmental policies and practices may not meet current HUD policy concerning affirmatively furthering fair housing. Jurisdictions should act to ensure that their policies affirmatively further fair housing, address mal-distribution of resources, and that they do not unnecessarily impact housing choice.
6. Governmental entities at all levels do not appear to have been proactive in the enforcement of both the Fair Housing Act and the obligation to affirmatively further fair housing. The State and subrecipients should implement a robust and effective structure for identifying and pursuing suspected violations.
7. Many local jurisdictions have zoning codes, land use controls, and administrative practices that may impede free housing choice and fail to affirmatively further fair housing.
8. Inadequate planning for re-housing after an emergency situation creates a situation where persons who are uninsured or under insured, low income, or special needs can be displaced for long periods of time.
9. There are impediments in public and private actions and private attitudes to housing choice for persons with disabilities.
10. There are barriers to mobility and free housing choice for Housing Choice Voucher holders including: inadequate tenant counseling services and mobility assistance, failure of PHAs to apply for the FMR pilot demonstration, and government policies, procedures, and regulations that tend to decrease participation

by private housing providers and to restrict available housing to “racially or low-income populated neighborhoods” with little access to economic, educational, or other opportunity.

11. Loss of housing stock in Hurricanes Dolly and Ike compounded the shortage of affordable housing in disaster recovery areas. This shortage is particularly acute in safe, low poverty neighborhoods with access to standard public services, job opportunities and good schools.

12. Lack of financial resources for both individuals and housing providers limits Fair Housing choice. Using an effective program under Section 3 of the Housing and Urban Development Act of 1968 may help members of protected classes gain economic opportunities necessary to allow them to exercise fair housing choice.

13. Location and lack of housing accessibility and visitability standards within political jurisdictions limits fair housing choice for persons with disabilities.

14. Many colonias residents live in developments that have insufficient infrastructure and protections against flooding and are impacted by flooding beyond events like Hurricanes Dolly and Ike.

15. Minority neighborhoods in disaster areas are primarily served by non-regulated insurance companies that do not adhere to underwriting guidelines and may be discriminated against in the provision of insurance. Texas has passed aggressive statutes to prevent insurance “redlining.” National research indicates that protected classes face unwarranted disparities in the cost of insurance, the amount of coverage, and cancellation of policies without notice to the homeowner.

16. Many jurisdictions do not have adequate Analysis of Impediments to Fair Housing or Fair Housing Plans, and do not keep sufficient records of their activities.

Although these impediments were only identified in the sixty-two (62) county area affected by hurricanes Ike and Dolly, the state has begun to take actions statewide, particularly in the areas of education and training. Highlights include:

- Assigning staff to the fair housing and Section 3 activities, and coordinating statewide affirmatively furthering fair housing activities;
- Drafting a Memorandum of Understanding with the Texas Workforce Commission Civil Rights Division to streamline fair housing referrals;
- Developing web pages dedicated to fair housing now available on TDHCA’s website;
- Providing fair housing training for staff as well as administrators as well as staff;
- Developing and distributing Texas Fair Housing Educational Materials at events; and
- Implementing a fair housing marketing strategy that includes articles to be published in housing industry publications as well as public service announcements.

Details on the recommended actions steps related to the 16 impediments, specific actions taken to date to address the impediments, the timeline for actions to be taken, and the state agencies taking the action, are updated regularly and available on TDHCA’s Fair Housing webpage page at <http://www.tdhca.state.tx.us/program-services/fair-housing/analysis-impediments-2010-1.htm>. Future planned actions include wider distribution of fair housing materials, additional training for TDHCA awardees and staff on the basics of fair housing and availability of resources, and Fair Housing Act

Other Actions

Affirmatively Furthering Fair Housing

training requirements for awardees, consultants, and local officials. Many of these actions will follow completion of the Phase 2 AI to allow for consistency across the state related to action steps under the updated Analysis of Impediments.

Preliminary work on Phase 2, the *State of Texas Plan for Fair Housing Choice: Analysis of Impediments*, began in late 2011 and in-depth research and widespread outreach will occur statewide throughout 2012. The scope of work for Phase 2 requires the development of a plan that is cohesive with Phase 1, so that they work together as two parts for a whole AI for the state of Texas. For example, each of the 16 impediments identified in the 63-county area covered under Phase 1 will be analyzed to determine whether they are found statewide. Additional tasks under Phase 2 include conducting a review of state and local policies, practices, and codes that limit choice, zoning and land use practices, state access to housing through transportation, policies related to siting of new affordable housing, environmental issues in minority impacted areas, analysis of policies and statutes which perpetuate discrimination, public opposition about affordable housing, foreclosure patterns, and related items.

In summer 2011, TDHCA procured a qualified firm to prepare Phase 2 – BBC Research & Consulting, Inc. (BBC). BBC has experience in the development of over thirty (30) AIs nationwide and has extensive consolidated planning, housing strategy, and market study experience. The BBC team has significant experience in the State of Texas, and more than 10 years of experience conducting AIs and has developed a good working relationship with several cities and agencies in the state, as well as with HUD.

The state has also taken action to ensure that the Phase 2 AI will be drafted through a clear, transparent, and open process. Stakeholders were involved early in the process to ensure that a broad, representative sample of Texans has the opportunity to participate. Beginning in February of 2012, residents were surveyed about their experiences with fair housing issues. The random-sample survey will be supplemented with an oversample of protected classes, as well as online and paper surveys for further input from citizens of Texas. The State also undertook a process of reaching out to as many stakeholders as possible. This outreach will lead to a stakeholder survey, to be fielded in April and May. Furthermore, ten online focus groups will take place between April and June, followed by ten in-person focus groups in June and July, giving stakeholders more chances to have their say. In order to ensure depth and breadth in the results, individual stakeholders will also be interviewed throughout the public outreach process. Finally, five public hearings will be conducted around Texas in November after the release of the draft Phase 2 AI in order to receive public comment before the final product is submitted in December of 2012.

The state is committed to ensuring that the process for updating the AI will be inclusive and comprehensive, and that the resulting AI will include realistic recommendations to address fair housing barriers in Texas. Throughout the development of the AI, TDHCA will post updates on the progress on TDHCA's fair housing webpage.

To see the 2003 Analysis of Impediments to Fair Housing report, entire 2010 Phase 1 AI, details on the progress to address impediments in the Phase 1 AI, and recent activities related to Phase 2, go online at <http://www.tdhca.state.tx.us/program-services/fair-housing/analysis-impediments.htm>. Actions taken to address impediments in the fully updated AI will be reported in CAPER once the update of the AI is complete.

Texas Workforce Commission Civil Rights Division

Background

The Texas Workforce Commission-Civil Rights Division (TWCCRD), formerly known as the Texas Commission on Human Rights (TCHR), which was created by the Texas Legislature in 1983, was the first state agency to receive interim substantial equivalency certification by the U.S. Department of Housing and Urban Development (HUD) in 1990 under the 1988 amendments to the Federal Fair Housing Laws. The duties, functions, and responsibilities of TCHR were transferred to TWCCRD on March 1, 2004.

TWCCRD implements the Fair Housing Assistance Program (FHAP) throughout the state of Texas. Working with HUD and local FHAPS in Austin, Corpus Christi, Fort Worth, Dallas, and Garland. TWCCRD is directly involved in preventing and eliminating discriminatory housing practices through the enforcement of the Texas Fair Housing Act, as amended. Codified in Texas Property Code, Chapter 301, this statute prohibits discrimination in housing transactions because of race, color, religion, sex, familial status, national origin and disability.

TWCCRD also conducts education and outreach programs in an effort to increase the public's knowledge and awareness of fair housing rights and responsibilities, improve complaint processing, and reduce housing discrimination.

Summary of Impediments

Discrimination based on Fair Housing for those with Disabilities

In state fiscal year 2011, 41.1% of cases closed statewide (includes cases closed cooperatively by HUD, TWCCRD and local FHAP agencies) were closed with no cause findings, 22.9% were successfully conciliated, and 25.3% were withdrawals with settlement.

TWCCRD, exclusive of HUD and local FHAP agencies, closed 31.4% of its cases with reasonable cause findings, 32.4% were successfully conciliated, and 17.8% were withdrawals with settlement.

However, more than half (50.2%) of cases closed by TWCCRD and more than 48% of cases closed statewide were closed with merit resolutions, which are defined as cases with outcomes favorable to complainants. Housing merit resolutions include reasonable cause findings, successful conciliations, and withdrawals with settlement.

An analysis of the cases closed with merit resolutions shows that 44% were based on disability. Although age is not a protected basis under the Texas Fair Housing Act, many of our Complainants who filed based on disability were elderly.

Efforts to Alleviate Discrimination Based on Disabilities

Making housing unavailable or constructing multi-family housing that is not accessible to people with disabilities is prohibited under the Texas Fair Housing Act. Refusing to allow a person with a disability to make reasonable modifications or refusing to make a reasonable accommodation in rules, policies, practices, or services that may be necessary to afford the person full enjoyment of the property is also prohibited.

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Merit resolutions on disability cases included benefits to housing consumers such as making housing available that was otherwise unavailable; retrofitting properties to make them accessible and usable; amending housing policies, procedures, and practices to ensure housing consumers are treated fairly; and attending fair housing training to ensure housing consumers are not harmed by discriminatory practices.

Specific Discrimination Based on Fair Housing for Seniors with Disabilities

Although age is not a protected basis under the Texas Fair Housing Act, many of the complaints resolved by TWCCRD based on disability were filed by the elderly. The U.S. Census Bureau's 2010 American Community Survey on Disability estimates 11.6% of Texans have a disability. The estimates by age show as the population ages, the percentage of Texans who are disabled increases. For the population under the age of five, 0.6% are estimated to be disabled; between 5 and 17 years, 5.5% are estimated to be disabled; and for 18 to 64 years, 10.1% are estimated to be disabled. Texans 65 years and older have the highest estimate at 40.6%.

According to Texas Department of Aging and Disability Services (DADS) Texas State Plan on Aging Fiscal Years 2011-2013, DADS conducted a comprehensive update of a 2004 assessment survey of older Texans in 2008. Included among the issues identified as priority needs was safe, affordable housing.

At some point in their lives, many Texans will either experience or have a loved one experience a disability and as a result, their equal access to housing opportunities may be affected.

TWCCRD will continue to collaborate with TDHCA in its enforcement and outreach efforts to housing consumers, housing providers, state and local government agencies, and other fair housing stakeholders. Special emphasis will be placed on prevention of housing discrimination based on disability and in the areas of making housing available, compliance with design and construction requirements, reasonable modifications, and reasonable accommodations.

Discrimination based on Fair Housing and Race

The Texas Fair Housing Act prohibits discrimination in the setting of different terms, conditions, or privileges in rental and sales transactions because of race. Refusing to sell or rent because of race after a bona fide offer is also prohibited.

An analysis of the fiscal year 2011 cases closed by TWCCRD with merit resolution shows that 31% were based on race, Black or African American.

In the cases closed with merit resolutions based on race, benefits to housing consumers consisted of making housing available that was otherwise unavailable; amending housing policies, procedures, and practices to ensure housing consumers are treated fairly; and attending fair housing training to ensure housing consumers are not harmed by discriminatory practices.

TWCCRD will continue to collaborate with TDHCA in its enforcement and outreach efforts to housing consumers, housing providers, state and local government agencies, and other fair housing stakeholders. Special emphasis will be placed on prevention of housing discrimination based on race and in the area of and terms, conditions, and privileges. Special emphasis will also focus on refusal to rent or sell.

Discrimination Based on Ignorance of Fair Housing Laws

The TWCCRD continues to encounter housing consumers and housing providers who are unaware of fair housing rights and responsibilities.

Efforts to Alleviate Discrimination Based on Ignorance of Fair Housing Laws

From 2005 to 2008, TWCCRD utilized outreach grant funding from HUD to educate people living in Texas cities and counties throughout the state. Partnering with state and local governments, agencies, private housing providers, public housing providers, and other fair housing partners, TWCCRD distributed fair housing informational materials throughout the state in both English and Spanish. These materials informed housing consumers about their fair housing rights and housing providers about their fair housing responsibilities. TWCCRD continues to use available resources to provide education and outreach to housing consumers and housing providers by partnering with TDHCA, state and local government agencies, and other fair housing stakeholders.

Discrimination Based On Homeowner Insurance Industry

During fiscal year 2011, there were no homeowner insurance complaints filed with TWCCRD.

CDBG FAIR HOUSING ACTIVITIES

In compliance with 24 CFR §570.487, other applicable laws and related program requirements, the state has completed the required actions to affirmatively further fair housing. The State of Texas conducts training and provides educational material to the participating units of general local government on federal and state fair housing laws and procedures, including technical assistance. The following are examples of this performance:

Contractor Certifications

All applicants for the CDBG funds must certify that they will take action to affirmatively further fair housing. This certification must be signed and submitted with the initial application for funding and is also included in the contract, if awarded. This certification is discussed at the application workshops and is clearly noted in the application guides.

Civil Rights and Fair Housing Technical Assistance

The Texas Community Development Block Grant Program has assigned a staff member to be responsible for the fair housing and civil rights requirements of the program. Staff addresses questions from the grantees and general public regarding civil rights and makes any appropriate referrals on an on-going basis. TDA implemented a fair housing training for all staff including an overview of all related civil rights and fair housing laws, regulations and executive orders; discussion of fair housing activities that can be accomplished to comply with fair housing requirements and certifications; record keeping requirements; and the procedures to use regarding fair housing complaints.

Project Implementation Manual

A copy of the TxCDBG Project Implementation Manual was made available to all new grantees to assist them in the administration of project activities and to inform them of all the applicable laws and regulations. This manual includes a chapter regarding fair housing and a chapter on equal opportunity with detailed information, forms and checklists to ensure compliance with all regulations. This manual

Other Actions

Affirmatively Furthering Fair Housing

includes clear instructions so that city and county employees are able to understand and complete the necessary forms and requirements. TxCDBG Project Implementation Manual workshops held across the state provided opportunities for grantees and administrative consultants to clarify these requirements as needed. Beginning in PY 2009, administrative consultants must have attended a TxCDBG Project Implementation Manual Workshop in order to be certified to administer TxCDBG contracts.

Pre-Funding Site Visits

TDA staff conducted pre-funding site visits to all localities that were recommended for funding under the Community Development Fund. All CDBG grantees (contractor localities) are informed that they are required to conduct at least one fair housing activity during the contract period. During this personal visit the localities are informed of the Project Implementation Manual available on the TDA website. A list of acceptable fair housing activities, samples of Fair Housing Ordinances (also contained in the manual) and a checklist of reporting and record keeping requirements of the CDBG program are provided in the Manual. Grantees are encouraged to pass fair housing ordinances and to update existing fair housing ordinances to include all federally protected classes. The fair housing ordinance must include a penalty clause and the locality must have the staff and the capacity to enforce the ordinance.

Availability of Fair Housing Posters and Brochures

The Texas Community Development Block Grant Program provided copies of civil rights laws, various samples of public service announcements and fair housing ordinances, fair housing brochures, and technical assistance upon request. Staff is encouraged to deliver posters to grantees to increase awareness of fair housing laws..

Fair Housing Expenses

The CDBG utilizes funds from the technical assistance funding for the cost of providing fair housing technical assistance. This includes the cost of reproducing/printing fair housing brochures and memorandums; related postage; and the purchase of office supplies and materials. Additional funds were utilized on travel expenses to conferences and workshops as well as staff time.

Affirmatively Furthering Fair Housing (AFFH) Consultants and Guidance Resources

The agency in conjunction with the delivery of the disaster recovery funds has contracted with Fair Housing consultants. These consultants provide an additional Fair Housing resource to both agency staff and jurisdictions and their consultants. In addition, the agency has added many resources on Fair Housing resources to its web page to provide further guidance. These include copies of the HUD Fair Housing Planning Guide, links to the HUD Fair Housing Equal Opportunity web page, and links to EEOC laws and guidance.

Monitoring of Civil Rights Requirements

Texas CDBG administers on average between 800 and 1,000 open CDBG contracts throughout the year. Program Monitors review each contractor for civil rights requirements using a detailed checklist on civil rights and fair housing requirements. A review of the files includes, but is not limited to, the following:

- All bid documents and contracts must contain equal employment opportunity provisions including an equal opportunity plan.

Affirmatively Furthering Fair Housing

- All Grant Recipients are required to publish a notice of non-discrimination in a general circulation newspaper in the affected community and complete a Section 504 self-evaluation review.
- Grant Recipients with 15 or more employees must have appointed a Section 504 coordinator, adopted grievance procedures, and notified all CDBG project participants that they must not discriminate on the basis of an individual's disability.
- The Texas Community Development Block Grant Program requires that each Grant Recipient appoint a Fair Housing/Equal Opportunity Officer to be responsible for the fair housing and civil rights program requirements, and to take any possible complaints and make referrals, as necessary.
- Each Grant Recipients is monitored closely to ensure that at least one fair housing activity was completed within the contract period.
- The project completion report must include a description of the fair housing activities conducted during the contract period.
- The project completion report also contains the documentation of beneficiaries by income level, gender, race, and ethnicity.

Evidence of the civil rights and fair housing activities performed must be well-documented and available for review at the locality. This evidence is reviewed by Program Monitors when conducting on-site monitoring visits. If documentation of these activities is not available at the time of the monitoring visit, the locality is provided with a written request for these documents and instructed to provide the evidence within 30 days. Contracts are not administratively closed until the civil rights and fair housing requirements are met.

Staff Outreach, Training, Conferences, and Workshops

The Texas Health and Human Services Commission's Civil Rights Office has the responsibility to investigate claims of discrimination; to conduct new, periodic, and special compliance reviews of offices, programs and contractors; to provide training and guidance; and to take other appropriate steps to ensure that programs and services do not discriminate.

The staff members of the TDA Field Offices attended various workshops to provide technical assistance regarding TDA programs and fair housing issues. These staff members provide technical assistance in housing, community and economic development, and capacity building, and provide health-care related information for the rural areas. The staff members also provide limited information on TDHCA's housing programs and refer communities to the appropriate office.

In addition to TDA Field Offices, Border Field Offices, operated by TDHCA's Office of Colonia Initiatives (OCI) and supported in part by CDBG funds, promote fair housing in border counties. The OCI staff provides one-on-one training and technical assistance on their housing and community affairs programs and services including Contract for Deed Conversion, Texas Bootstrap Loan Program, First-Time Homebuyer, and Contract for Deed Consumer Education. Furthermore, CDBG provides grants for colonia self-help centers in seven border counties. The centers provide on-site technical assistance and conduct community development activities, housing activities, public service activities, infrastructure improvements, outreach and education.

HOME FAIR HOUSING ACTIVITIES

This section describes TDHCA's efforts to affirmatively further fair housing through the HOME Program.

Monitoring and Compliance of the Fair Housing Requirements

TDHCA's Compliance and Asset Oversight division is responsible for on-site monitoring of HOME administrators and all HOME rental developments. Compliance staff utilizes comprehensive checklists to review compliance with accessibility, fair housing, and affirmative marketing requirements.

- Accessibility requirements are monitored throughout the affordability period. All rental housing developments must comply with Section 504 of the Rehabilitation Act of 1973, the Fair Housing Act and the Texas Accessibility Standards (TAS). Prior to the commencement of construction or rehabilitation, a plan review is conducted by Department staff to assess compliance with the above mentioned accessibility standards. HOME rental developments also receive a mid-construction inspection. At the completion of construction or rehabilitation, a final construction inspection is performed to ensure compliance with Section 504 and the design and construction requirements of the Fair Housing Act. If any deficiencies are noted, the owner is provided an opportunity to cure. Final retainage is not released until all deficiencies are corrected. A limited accessibility inspection is conducted with each on-site monitoring review to ensure the development's amenities and common areas continue to comply with applicable Fair Housing and Section 504 accessibility requirements.
- Fair Housing requirements are monitored by reviewing pertinent development documents. The property's written leasing criteria is reviewed to ensure objective standards are used for selecting tenants and for establishing applicant household eligibility to receive HOME assistance. Objective standards would include household income, rental history, credit history and criminal history. The criteria is also reviewed to ensure preferences do not discriminate on the basis of race, color, religion, sex, national origin, disability, or familial status.
- The Affirmative marketing plan and documentation of outreach efforts are reviewed in-depth during the on-site monitoring review. All HOME assisted properties containing 5 or more units must operate under an Affirmative Marketing Plan. The plan is reviewed to ensure it is property-specific and describes actions and marketing steps that will be utilized to provide information to attract eligible persons from all racial, ethnic, and gender groups in the housing market. The plan is required to be in writing and must specify methods for soliciting potential program applicants; such as persons least likely to apply and persons with disabilities. These groups must be identified and marketed to appropriately. Records of the Affirmative Marketing Plan is reviewed during the on-site monitoring visit to ensure the development is appropriately marketing to persons with disabilities and service agencies that serve minority groups. Owners are also encouraged to make marketing materials in Non-English languages spoken by minority groups residing in or near the community of the property.

Fair Housing Conferences and Workshops

TDHCA hired a Fair Housing Coordinator in May 2011. Responsibilities include coordinating and conducting Fair Housing and AFFH training, and coordinating actions to address identified impediments. During FY 2011, TDHCA's Fair Housing Coordinator conducted several training sessions for TDHCA administrators and staff members, as well as training for applicants and administrators of TDHCA programs.

Fair Housing Training

State rules regarding developments require owners and architects to attend at least five hours of Fair Housing training. The Department maintains a list of entities that provide ongoing training to ensure that training opportunities are shared with developers, architects, and TDHCA staff. In addition, the Department added additional Fair Housing information in training presentations including TDHCA's 1st Thursday income eligibility training, multi and single-family application and implementation workshops, and training provided for staff. The Department posts Fair Housing Training opportunities online on TDHCA's Fair Housing website and requires rental housing administrators to sign a certification that the Applicant has read and understands the Department's fair housing educational materials posted on the Department's website.

Public Education and Outreach

The Department developed a webpage dedicated to Fair Housing issues that is now available on the Department's website. The site contains several fair housing resources that the general public, elected officials, housing developers, mortgage brokers, and leasing/loaning agents can all benefit from to provide them with a greater understanding of the Federal and Texas Fair Housing Acts, and their impact on daily activities. TDHCA also designed a fair housing outreach campaign that includes articles slated for publication in April 2012, as well as educational material that can be provided during training events that TDHCA hosts or attends.

Efficient Use of Funds

To address the limited availability of funding for affordable housing, the Department enforces contract performance standards that allow the Department to deobligate funds from non-performing contracts and reprogram these funds for low-income housing. The Department is also working collaboratively with the state agencies administering HUD programs to update the State of Texas Plan for Fair Housing Choice: Analysis of Impediments. The result will be a comprehensive, complete document representative of fair housing impediments across the state.

ESGP FAIR HOUSING ACTIVITIES

This section describes TDHCA's efforts to affirmatively further fair housing through ESGP.

ESGP subrecipients, in providing rental assistance to homeless persons or persons who are at risk of homelessness due to a foreclosure or eviction or due to loss of utilities, ensure that owners or renters are not discriminated against. The Department's ESGP subrecipient contracts include a provision on non-discrimination and equal opportunity and non-discrimination and equal opportunity as follows: Title VI of the Civil Rights Act of 1964, (42 U.S.C. Sec. 2000d et seq.); 24 C.F.R. Part 1, "Nondiscrimination in Federally Assisted Programs of the Department of Housing and Urban Development – Effectuation of

Other Actions

Affirmatively Furthering Fair Housing

Title VI of the Civil Rights Act of 1964"; Title VIII of the Civil Rights Act of 1968, "The Fair Housing Act of 1968" (42 U.S.C. Sec. 3601 et seq.) and implementing regulations; Executive Order 11063, as amended by Executive Order 12249, and 24 C.F.R. Part 107, "Nondiscrimination and Equal Opportunity in Housing under Executive Order 11063". The failure or refusal of the Subrecipient to comply with the

requirements of Executive Order 11063 of 24 C.F.R. Part 107 shall be a proper basis for the imposition of sanctions specified in 24 C.F.R. Sec. 107.60.; The prohibitions against discrimination on the basis of age under the Age Discrimination Act of 1975 (42 U.S.C. Sec. 6101 et seq.) and implementing regulations at 24 C.F.R. Part 146; The prohibitions against discrimination against otherwise qualified individuals with disabilities under Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. Sec. 794) and implementing regulations at 24 C.F.R. Part 8. For purposes of the Emergency Shelter Grants Program, the term "dwelling units" in 24 C.F.R. Part 8 shall include sleeping accommodations; and, the affirmative action requirements of Executive Order 11246 and the regulations issued under the Order at 41 C.F.R. Chapter 60.

Finally, the Department's monitoring of subrecipients includes a process where we review compliance with provisions of the McKinney-Vento Homeless Assistance Act, the ESGP contract, and other federal or State regulations.

GOALS AND OBJECTIVES

This section describes those goals and objectives that pertain to the Community Development, Homeless, Housing activities. Non-Homeless Special Needs goals and objectives are included in Part II of this document.

COMMUNITY DEVELOPMENT GOALS AND OBJECTIVES:
CDBG

The following includes the reported outputs of key and non-key measures for CDBG goals as reported to the Legislative Budget Board for Fiscal Year 2011.

Number of new community and economic development contracts awarded.

FY 2011 Target:	335
FY 2011 Actual:	375

Number of projected beneficiaries from new contracts awarded.

FY 2011 Target:	517,000
FY 2011 Actual:	821,881

Number of programmatic monitoring visits conducted.

FY 2011 Target:	295
FY 2011 Actual:	318

Number of jobs created/retained through contracts awarded.

FY 2011 Target:	700
FY 2011 Actual:	608

Number of Single Audit reviews conducted.

FY 2011 Target:	150
FY 2011 Actual:	161

HOMELESS AND HOUSING GOALS AND OBJECTIVES

ESGP and HOME

The goals below, taken from the TDHCA Strategic Plan, reflect program performance during State Fiscal Year 2011 based upon measures developed with the State’s Legislative Budget Board and Governor’s Office of Budget and Planning. The goals are also based upon Riders attached to the Department’s Appropriations. State Fiscal Year 2011 covers the period September 1, 2010 to August 31, 2011. The following performance measures are distinct from the HUD Performance Indicators reported in each program section of this document, which measure performance during the 2011 Program Year, February 1, 2011 through January 31, 2012.

GOAL 1: TDHCA will increase and preserve the availability of safe, decent and affordable housing for very low-, low- and moderate-income persons and families.

Strategy 1.1

Provide mortgage financing and homebuyer assistance through the Single-Family Mortgage Revenue Bond Program

Strategy Measure	2011 Target	2011 Actual	% of Goal	2012 Target
Number of single-family households assisted through the First Time Homebuyer Program	2,000	2,414	120.70%	2,002

Explanation of Variance: Due to fewer entities offering down payment assistance resources, more and more consumers are utilizing the Department’s assisted Single Family MRB funds.

Strategy 1.2

Provide funding through the HOME Program for affordable single family housing

Strategy Measure	2011 Target	2011 Actual	% of Goal	2012 Target
Number of single-family households assisted with HOME funds	952	582	61.13%	580

Explanation of Variance: (1) Lower than expected demand for homebuyer assistance and tenant based rental assistance funds; since both of these activities have low per unit cost, decreased participation greatly impacts performance. The decreased demand for homebuyer assistance is due largely to economic conditions. (2) TDHCA has increased the allowable per unit cost under home rehabilitation, resulting in fewer persons served through funding reserved for this activity. Another important factor is a “lag” in reporting resulting from a new funding mechanism TDHCA has introduced. While the majority of TDHCA HOME funds continue to be made available through awards, a portion of HOME single family funds are now available through a reservation system. Under the award system, households are reported at the time of the award while under the reservation system, households are reported when a specific household is being served. As this is a new process, TDHCA anticipates that the majority of households served through these funds will be reported after State Fiscal Year 2011.

Strategy 1.3

Provide funding through the HTF program for affordable single family housing

Strategy Measure	2011 Target	2011 Actual	% of Goal	2012 Target
Number of single-family households assisted through the Housing Trust Fund	344	191	55.52%	206

Explanation of Variance: Due primarily to statutory limitations on the Texas Bootstrap Loan Program as relates to targeted areas, TDHCA experienced lower than anticipated performance. Senate bill 922, 82nd Regular Session, addresses these limitations and will assist in meeting upcoming fiscal targets. Non-Bootstrap performance was also lower than anticipated due to

stricter underwriting guidelines for FHA and Conventional mortgage loans. The Department continues to provide outreach and education to qualified buyers for both programs through targeted marketing efforts. Another factor affecting performance under this measure is the current shortage of funds in the US Department of Agriculture Section 502 Loan Program. The lack of USDA funds has stalled activity under the Housing USDA 502 Direct Loan Program. TDHCA anticipates that activity under this program will resume when additional funds are made available by USDA. The Housing Trust Fund is currently marketing the 2010-2011 Homebuyer Assistance Program.

Strategy 1.4

Provide tenant-based rental assistance through Section 8 certificates

Strategy Measure	2011 Target	2011 Actual	% of Goal	2012 Target
Number of households assisted through Statewide Housing Assistance Payments Program	1,100	1,048	95.27%	1,100

Explanation of Variance: None needed.

Strategy 1.5

Provide federal tax credits to develop rental housing

Strategy Measure	2011 Target	2011 Actual	% of Goal	2012 Target
Number of multifamily households assisted with Housing Tax Credits	10,874	5,250	48.28%	5,657

Explanation of Variance: The overall economy and financial market has limited private investment in the tax credit industry. While there was a slight increase in equity pricing compared to last year, on the whole equity pricing across the state still increased the amount of credit needed per unit.

Strategy 1.6 (2011)

Provide funding through the HOME Program for affordable multifamily housing

Strategy Measure	2011 Target	2011 Actual	% of Goal	2012 Target
Number of multifamily households assisted with HOME funds	262	340	129.77%	*

*This strategy has been removed from the performance measures requested by the Legislative Budget Board for 2012 and 2013 and not included in the FY2012-2013 LAR. Please see Strategy 1.6 (2012) for the replacement.

Explanation of Variance: The HOME Program exceeded its annual target by 78 units and anticipates continued high demand for multifamily products.

Strategy 1.6 (2012)

Federal Mortgage Loans through the Multifamily Mortgage Revenue Bond Program

Strategy Measure	2011 Target	2011 Actual	% of Goal	2012 Target
Number of Households Assisted through the Multifamily Mortgage Revenue Bond Program	1,611	0	0%	750

*This strategy has replaced Strategy 1.6 from 2011. Previously Strategy 1.8.

Explanation of Variance: Economic conditions in the equity markets made it difficult for developers to submit a financially feasible application for 4% credits and private activity bonds.

GOAL 2: TDHCA will promote improved housing conditions for extremely low-, very low- and low-income households by providing information and technical assistance.

Goals and Objectives

ESGP and HOME

Strategy 2.1

Provide information and technical assistance to the public through the Public Affairs Division and the Housing Resource Center

Strategy Measure	2011 Target	2011 Actual	% of Goal	2012 Target
Number of information and technical assistance requests completed	5,000	8,405	168.10%	5,000

Explanation of Variance: The number of informational and technical assistance requests handled by the Housing Resource Center (HRC) varies based on economic conditions across the state. Throughout State Fiscal Year 2011, HRC has experienced a higher volume of requests than usual due in large part to the continuing economic downturn.

Strategy 2.2

To provide technical assistance to colonias through field offices

Strategy Measure (A)	2011 Target	2011 Actual	% of Goal	2012 Target
Number of on-site technical assistance visits conducted annually from the field offices	900	993	110.33%	900

Explanation of Variance: The Office of Colonia Initiatives (OCI) exceeded its projected performance measures through on-site technical assistance visits due to the continued marketing efforts of the Programs offered by the Department to nonprofit organizations and units of local governments. In addition, Border Field Office staff continues to provide technical assistance for the Texas Bootstrap Self-Help Housing Technical Assistance Program and Colonia Self-Help Center program.

GOAL 3: TDHCA will improve living conditions for the poor and homeless and reduce the cost of home energy for very low-income Texans.

Strategy 3.1

Administer homeless and poverty-related funds through a network of community action agencies and other local organizations so that poverty-related services are available to very low-income persons throughout the state.

Strategy Measure (A)	2011 Target	2011 Actual	% of Goal	2012 Target
Number of persons assisted through homeless and poverty related funds	531,498	831,801	156.50%	599,032

Explanation of Variance: Persons assisted through homeless and poverty-related funds is impacted by the number of persons assisted through the Community Services Block Grant (CSBG) and Emergency Shelter Program (ESGP) as well as 10,093 persons served through the Homelessness Prevention and Rapid Re-Housing Program (HPRP), which is funded by the 2009 American Recovery and Reinvestment Act (Recovery Act). CSBG-funded organizations also received other Recovery Act funding which enabled them to serve many more persons and those numbers are reflected in the number of persons served through CSBG.

Strategy Measure (B)	2011 Target	2011 Actual	% of Goal	2012 Target
Number of persons assisted that achieve incomes above poverty level.	2,800	1,145	40.89%	1,200

Explanation of Variance: The variance is due to the increased difficulty in transitioning persons out of poverty during the economic downturn and period of high unemployment.

Strategy 3.2

Administer the state energy assistance programs by providing grants to local organizations for energy related improvements to dwellings occupied by very low-income persons and for assistance to very low-income households for heating and cooling expenses and energy related emergencies.

Strategy Measure (A)	2011 Target	2011 Actual	% of Goal	2012 Target
Number of households assisted through Community Energy Assistance Program	48,152	207,913	431.78%	47,653

Explanation of Variance: Federal Low Income Home Energy Assistance Program funding increased, allowing assistance for more households than expected.

Strategy Measure (B)	2011 Target	2011 Actual	% of Goal	2012 Target
Number of dwelling units weatherized through Weatherization Assistance Program	2,257	36,656	1,624.10%	2,610

Explanation of Variance: TDHCA received federal stimulus funding, allowing additional households to be served. Households reported include 7,504 served through the 2009 American Recovery and Reinvestment Act Department of Energy Weatherization Assistance Program funds.

GOAL 4: TDHCA will ensure compliance with the Texas Department of Housing and Community Affairs' federal and state program mandates.

Strategy 4.1

The Compliance and Asset Oversight Division will monitor and inspect for Federal and State housing program requirements.

Strategy Measure	2011 Target	2011 Actual	% of Goal	2012 Target
Total number of onsite reviews conducted	959	983	102.50%	914

Explanation of Variance: None needed.

Strategy 4.2

The Compliance and Asset Oversight Division will administer and monitor federal and state subrecipient contracts for programmatic and fiscal requirements.

Strategy Measure (A)	2011 Target	2011 Actual	% of Goal	2012 Target
Total number of contract monitoring reviews conducted	248	249	100.40%	150

Explanation of Variance: Additional monitoring reviews will be completed in the following quarter within required deadlines.

GOAL 5: To protect the public by regulating the manufactured housing industry in accordance with state and federal laws.

Strategy 5.1

Provide titling and licensing services in a timely and efficient manner.

Strategy Measure	2011 Target	2011 Actual	% of Goal	2012 Target
Number of manufactured housing statements of ownership and location	80,000	60,126	75.16%	70,000

Goals and Objectives

ESGP and HOME

Strategy Measure	2011 Target	2011 Actual	% of Goal	2012 Target
issued				

Explanation of Variance: This measure is under the targeted amount due to the high number of applications received incomplete, currently about 39%. These statements of ownership and location are returned to the applicant for additional information and will be resubmitted and reviewed for issuance.

Strategy 5.2

Conduct inspections of manufactured homes in a timely manner.

Strategy Measure (A)	2011 Target	2011 Actual	% of Goal	2012 Target
Installation Reports conducted	13,000	10,739	82.61%	4,600

Explanation of Variance: Performance is under the targeted projection due to receiving fewer installation reports than projected.

Strategy 5.3

To process consumer complaints, conduct investigations and take administrative actions to protect the general public and consumers.

Strategy Measure #1	2011 Target	2011 Actual	% of Goal	2012 Target
Number of complaints resolved	850	588	69.18%	550

Explanation of Variance: The Department has received fewer complaints than expected, resulting in fewer complaints resolved.

Strategy Measure #2	2011 Target	2011 Actual	% of Goal	2012 Target
Average time in days for complaint resolution	180	99.2	55.11%	180

Explanation of Variance: The average time is under the targeted projection, which is desirable.

Strategy Measure #3	2011 Target	2011 Actual	% of Goal	2012 Target
Number of jurisdictional complaints received	750	505	67.20%	550

Explanation of Variance: This measure is under the targeted projection because the Department is receiving fewer complaints than projected.

Riders 5 & 6 are established in legislation, as found in the General Appropriations Act.

Rider 5 (a): TDHCA will target its housing finance programs resources for assistance to extremely low-income households.

The housing finance divisions shall adopt an annual goal to apply \$30,000,000 of the divisions' total housing funds toward housing assistance for individuals and families earning less than 30 percent of median family income.

Rider 5 (a)	2011 Target	2011 Actual	% of Goal	2012 Target
Amount of housing finance division funds applied towards housing assistance for individuals and families earning less than 30 percent of median family income	\$30,000,000	\$37,914,380	126.4%	\$30,000,000

Explanation of Variance: The performance is higher than expected because the Rider 5 report now captures actual incomes of households served by TDHCA and not projected income groups.

Note: For more information, see Rider 5 of TDHCA's Appropriations as found in HB 1 (General Appropriations Act), 82nd Legislature, Regular Session.

Rider 5 (b): TDHCA will target its housing finance resources for assistance to very low-income households.

The housing finance divisions shall adopt an annual goal to apply no less than 20% of the division's total housing funds toward housing assistance for individuals and families earning between 31% and 60% of median family income.

Rider 5 (b)	2011 Target	2011 Actual	% of Goal	2012 Target
Percent of housing finance division funds applied towards housing assistance for individuals and families earning between 31% and 60% of median family income	20%	45.1%	240.5%	20%

Explanation of Variance: The majority of TDHCA housing programs serve households under 60% of median family income. The Rider 5 Report includes Section 8, HOME Single Family, HOME Multifamily, Housing Trust Fund Single Family, Housing Trust Fund Multifamily and Housing Tax Credit Programs.

Note: For more information, see Rider 5 of TDHCA's Appropriations as found in HB 1 (General Appropriations Act) 82nd Legislature, Regular Session.

Rider 6: TDHCA will provide contract for deed conversions for families who reside in a colonia and earn 60 percent or less of the applicable area median family income.

Help colonia residents become property owners by converting their contracts for deed into traditional mortgages.

Strategy Measure	2011 Target	2011 Actual	% of Goal	2012 Target
Amount of TDHCA funds applied toward contract for deed conversions for colonia families earning less than 60% of median family income.	100	18	18.00%	100

Explanation of Variance: Rider 6 of the Department's appropriations act requires that the Department direct \$2,000,000 a year towards completing 100 contracts for deed conversions. For State Fiscal Year 2011, the Department allocated \$796,122.70 towards 18 contracts for deed conversions. The decline in the number of contract for deed requests has inhibited the ability of TDHCA to attain the target.

Note: For more information, see Rider 6 of TDHCA's Appropriations as found in HB 1 (General Appropriations Act), 82nd Legislature, Regular Session.

The following TDHCA-designated goal addresses the housing needs of person with special needs.

HOME PROGRAM STATUTE REQUIREMENT: TDHCA will work to address the housing needs and increase the availability of affordable and accessible housing for persons with special needs.

Dedicate five percent (5%) of the HOME project allocation for benefits of persons with disabilities who live in any area of this state.*

Strategy Measure	2011 Target	2011 Actual	% of Goal	2012 Target
Amount of HOME project allocation awarded to applicants that target persons with disabilities.	\$2,000,000	\$2,072,087.57	103.6%	\$2,000,000

Goals and Objectives

ESGP and HOME

Explanation of Variance: These include funds from the Persons with Disabilities Set-Aside and HOME general funds that were used to assist households with person with disabilities. It is important to note that while funds from the set-aside may be used anywhere in the state, HOME general funds may only be utilized in non-participating jurisdictions, those communities that do not receive funds directly from HUD.



Part II: Consolidated Annual Performance and Evaluation
Report for HOPWA

**Housing Opportunities for Persons with AIDS
(HOPWA) Program**

**Consolidated Annual Performance and
Evaluation Report (CAPER)
Measuring Performance Outcomes**

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. **The Consolidated Annual Performance and Evaluation Report (CAPER) provide annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives**

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and

YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C. 20410 or at Hopwa@hud.gov. **Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3C, Chart 1, Column [1] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An unaccompanied homeless individual (age 18 years or older) with a disabling condition or a family with at least one adult member (age 18 years or older) who has a disabling condition who has either been continuously homeless for a year or more **OR** has had at least four episodes of homelessness in the past three years." For this purpose, the term "homeless" means "a person sleeping in a place not meant for human habitation (e.g., living on the streets) or in an emergency homeless shelter." This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for

information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Placement Assistance Activity Total: The unduplicated number of households receiving assistance with either housing information services or permanent housing placement. These services are dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries remain in stable housing during the operating year. See Part 6: Worksheet definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance used directly in HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. *See the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The HOPWA assisted households who have been enabled to establish or better maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor. Assistance is tied directly to the properties and is not portable or transferable.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee or subrecipient to provide housing and other support services as defined in 24 CFR 574.300.

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Organizations that hold an agreement with the grantee or sponsor agencies to provide administrative or limited implementation activities that do not involve direct services to clients. Examples of these organizations are as follows: evaluation firms, construction firms, administrative agencies, etc. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to Section 8 that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

**Housing Opportunities for Person with AIDS (HOPWA)
Consolidated Annual Performance and Evaluation Report (CAPER)
Measuring Performance Outputs and Outcomes**

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. In Part 4, please submit a written narrative to questions a. through c., and the completion of Chart d.. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number TXH-011-F999		Operating Year for this report From (mm/dd/yy) 02/01/2011 To (mm/dd/yy) 01/31/2012		
Grantee Name The State of Texas HOPWA Formula Program – Texas Department of State Health Services				
Business Address		P.O. Box 47967 MC 1873		
City, County, State, Zip	Austin	Travis	TX	78714-9347
Employer Identification Number (EIN) or Tax Identification Number (TIN)		1-32-0113643-A2		
DUN & Bradstreet Number (DUNs):	807391511	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: 807391511		
*Congressional District of Grantee's Business Address	10			
*Congressional District of Primary Service Area(s)	N/A			
*City(ies) and County(ies) of Primary Service Area(s)	Cities: N/A		Counties: N/A	
Organization's Website Address www.dshs.state.tx.us		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsors, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Note: Please see Definition section for distinctions between project sponsor and subrecipient

Project Sponsor Agency Name	Parent Company Name, if applicable
Alamo Area Resource Center (AARC)	N/A

Name and Title of Contact at Project Sponsor Agency	Howard Rogers			
Email Address	Howardr@aarcscsa.com			
Business Address	527 N. Leona, Bldg. A, 3 rd Fl.			
City, County, State, Zip,	San Antonio	Bexar	TX	78207
Phone Number (with area code)	(210) 358-9995 ext. 08		Fax Number (with area code) (210) 358-9953	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-2583211			
DUN & Bradstreet Number (DUNs):	825117906			
Congressional District of Project Sponsor's Business Address	20			
Congressional District(s) of Primary Service Area(s)	14, 20, 23, 28			
City(ies) and County(ies) of Primary Service Area(s)	Cities Atascosa, Bandera, Berghem, Bigfoot, Boerne, Campbellton, Castroville, Centerpoint, Charlotte, Christine, Comfort, Devine, Helotes, Jourdanton, Kendalia, La Coste, Leming, Lytle, Macdona, Mico, Moore, Natalia, Pipe Creek, Pleasanton, Poteet, Rio Medina, Somerset, Spring Branch, Von Ormy, Waring, Adkins, Cibolo, Converse, Elmendorf, Falls City, Floresville, Geronimo, Hobson, La Vernia, McQueeney, Marion, New Braunfels, Canyon Lake, Pandora, Panna Maria, Poth, Universal City, Saint Hedwig, Schertz, Seguin, Stockdale, Sutherland Springs, Bulverde, San Antonio, Fischer, Kingsbury, Staples, Hondo, Tarpley, Yancey		Counties San Antonio, Bexar, Comal, Wilson, Guadalupe, Gillespie, Kerr, Kendall, Frio, Medina, Atascosa, and Bandera	
Total HOPWA contract amount for this Organization for the operating year	\$107,000			
Organization's Website Address	http://www.aarcscsa.com		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

Name and Title of Contact at Project Sponsor Agency	Howard Rogers		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered. Waitlisted clients are ranked on a first-come first-serve basis. Clients at the top of the list are contacted every six months in order to re-certify their need and interest in remaining on the waiting list.		

Project Sponsor Agency Name	Parent Company Name, if applicable
United Medical Centers (UMC)	

Name and Title of Contact at Project Sponsor Agency	George Kypuros			
Email Address	Gkypuros.umc@tachc.org			
Business Address	2525 Veterans Blvd.			
City, County, State, Zip,	Eagle Pass	Maverick	TX	78852
Phone Number (with area code)	830-773-8917		Fax Number (with area code) 830-757-5587	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-1993570			
DUN & Bradstreet Number (DUNs):	031926009			
Congressional District of Project Sponsor's Business Address	2525 Veterans Blvd.			
Congressional District(s) of Primary Service Area(s)	23			
City(ies) and County(ies) of Primary Service Area(s)	Cities: Eagle Pass, Del Rio, Crystal City, Rocksprings, Leakey, Uvalde, Carrizo Springs, Cotulla		County(ies): Maverick, Val Verde, Kinney, Uvalde, Zavala, Dimmitt, Edwards, La Salle, and Real	
Total HOPWA contract amount for this Organization for the operating year	\$28,000			
Organization's Website Address	http://www.tachc.org/		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. Clients with children are given priority, then first come first served basis.	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name Victoria City/County Health Department		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency		Paul M. Kelliher		
Email Address		pkelliher@vctx.org		
Business Address		2805 North Navarro		
City, County, State, Zip,		Victoria	Victoria	TX 77901
Phone Number (with area code)		361-572-0125		Fax Number (with area code) 361-578-7046
Employer Identification Number (EIN) or Tax Identification Number (TIN)		74-6002445		
DUN & Bradstreet Number (DUNs):		603165804		
Congressional District of Project Sponsor's Business Address		14		
Congressional District(s) of Primary Service Area(s)		14		
City(ies) and County(ies) of Primary Service Area(s)		Cities: Victoria, Cuero, Gonzales, Hallettsville, Goliad, Edna, Port Lavaca	Counties: County(ies) of Primary Service Area Victoria, Gonzales, Lavaca, Dewitt, Jackson, Goliad, Calhoun	
Total HOPWA contract amount for this Organization for the operating year		\$76,000		
Organization's Website Address http://www.vctx.org/		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered.		

Project Sponsor Agency Name Community Action, Inc. of Central Texas	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Danny Cedillo, HOPWA Case Manager			
Email Address	dcedillo@communityaction.com			
Business Address	204 S. Main Street			
City, County, State, Zip,	Elgin	Bastrop	Texas	78621
Phone Number (with area code)	512-285-3667		Fax Number (with area code) 512-285-4030	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	741541726			
DUN & Bradstreet Number (DUNs):	037318342			
Congressional District of Project Sponsor's Business Address	14			
Congressional District(s) of Primary Service Area(s)	14,10,21,31			
City(ies) and County(ies) of Primary Service Area(s)	Cities: Bastrop, Elgin, Round Rock, Georgetown, San Marcos, Lockhart, and Burnet		County(ies): of Primary Service Area Hays, Caldwell, Blanco, Bastrop, Lee, Llano, Fayette, Williamson, and Burnet	
Total HOPWA contract amount for this Organization for the operating year	\$28,000			
Organization's Website Address www.communityaction.com	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered. Community Action maintains separate waiting list for STRMU and TBRA eligible clients, as needed. Eligible clients are: HIV positive, income eligible, and have an identified housing need. The waiting list will be updated every six months or as needed. As funding becomes available families with young children and the disabled will receive priority consideration for services.			

Project Sponsor Agency Name Project Unity	Parent Company Name, if applicable Unity Partners
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Name and Title of Contact at Project Sponsor Agency	Jeannie McGuire, President			
Email Address	jmcguire@project-unity.org			
Business Address	P.O. Box 2812			
City, County, State, Zip,	Bryan	Brazos	Texas	77805
Phone Number (with area code)	979-595-2900		Fax Number (with area code) 979-595-2901	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	742932865			
DUN & Bradstreet Number (DUNs):	030539121			
Congressional District of Project Sponsor's Business Address	17			
Congressional District(s) of Primary Service Area(s)	6,10,17			
City(ies) and County(ies) of Primary Service Area(s)	Cities: Bryan, College Station		Counties: Brazos	
Total HOPWA contract amount for this Organization for the operating year	\$92,600			
Organization's Website Address www.projectunitytx.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. Each active application folder will be assigned an ordinal ranking at any given time based on the date of application completion. When a rental assistance slot becomes available (i.e., a client moves to Housing Choice Voucher funding, leaves the geographic area, dies, or is otherwise terminated from the program) the Case Manager will review all current applicants and determine if any have a relatively more serious and immediate need for housing than the client who is number one on the list. Consideration will be given with regard to housing safety, sanitation, space requirements, client immediate health condition, and other such negative concerns. If no one has a more serious need, the applicant in the first slot will be served with available funds. The applicant next in line will move up to the first position.			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name Shannon Supportive Health Services	Parent Company Name, if applicable Shannon Health
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Name and Title of Contact at Project Sponsor Agency	Vicky Howell, Program Coordinator		
Email Address	vickyhowell@shannonhealth.org		
Business Address	201 E. Harris		
City, County, State, Zip,	San Angelo	Tom Green	TX 76903
Phone Number (with area code)	325-481-8905	Fax Number (with area code) 325-481-8456	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	432038769		
DUN & Bradstreet Number (DUNS):	073150963		
Congressional District of Project Sponsor's Business Address	11		
Congressional District(s) of Primary Service Area(s)	11		
City(ies) and County(ies) of Primary Service Area(s)	Cities: San Angelo, Eldorado, Sonora, Big Lake, Ozona, Sterling City, Bronte, Eden, Brady, Menard, Junction, Mason, Mertzon	Counties: Tom Green, Schleicher, Sutton, Reagan, Crockett, Sterling, Coke, Concho, McCulloch, Menard, Kimble, Mason and Irion.	
Total HOPWA contract amount for this Organization for the operating year	\$36,219		
Organization's Website Address www.shannonhealth.com	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered. Priority given to clients who are homeless or in imminent danger of being homeless because of their increased health risks due to HIV/AIDS.		

Project Sponsor Agency Name Central Texas Support Service	Parent Company Name, if applicable United Way of the Greater Fort Hood Area
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Name and Title of Contact at Project Sponsor Agency	Robert Luckey, Program Director			
Email Address	reluckey@aol.com			
Business Address	2027 South 61 st Street, Suite 115			
City, County, State, Zip,	Temple	Bell	Texas	76504
Phone Number (with area code)	254-778-2495		Fax Number (with area code) 254-778-4302	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	741750544			
DUN & Bradstreet Number (DUNs):	16144020			
Congressional District of Project Sponsor's Business Address	31			
Congressional District(s) of Primary Service Area(s)	31			
City(ies) and County(ies) of Primary Service Area(s)	Temple, Belton, Killeen, Harker Heights, Nolanville.		Counties Bell	
Total HOPWA contract amount for this Organization for the operating year	\$37,000			
Organization's Website Address www.unitedway-gfha.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. Based on "first come, first serve" that will also take into consideration the seriousness of an individual's need, with those having the most limited resources and immediacy of need taking priority over those who have other housing options, even though the current options may not meet all the client's expectations.			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name Waco/McLennan County Public Health District	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Laurel Churchman, Program Coordinator			
Email Address	LaurelC@ci.waco.tx.us			
Business Address	225 West Waco Drive			
City, County, State, Zip,	Waco	McLennan	Texas	76707
Phone Number (with area code)	254-750-5499		Fax Number (with area code) 254-750-5480	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	17460024684			
DUN & Bradstreet Number (DUNs):	075090779			
Congressional District of Project Sponsor's Business Address	17			
Congressional District(s) of Primary Service Area(s)	6,17,31			
City(ies) and County(ies) of Primary Service Area(s)	Cities Waco		Counties McLennan	
Total HOPWA contract amount for this Organization for the operating year	\$88,181			
Organization's Website Address www.waco-texas/cms-healthdepartment	<p>Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, explain in the narrative section how this list is administered.</p> <p>The waiting list will be maintained using the following criteria: HIV positive; Income eligible; Identified housing need as determined by the needs assessment; and are unable to receive TBRA due to insufficient HOPWA funds. Prioritization of the waiting list will be based on the following criteria, in this order:</p> <ol style="list-style-type: none"> a. Homeless or at risk of homelessness, within the next 3 months. Priority focus on individuals who do not have family or friends to stay with; b. Substandard housing c. Payment of rent and utilities is larger than 50% of income <p>Upon determining priority between two or more clients, if the above criteria are similar, then the next determining factor to consider will be whether the client has any dependants in their care. If it is determined that all the eligible clients have dependents then the final deciding factor will be the date of application. The waiting list will be reviewed quarterly. TBRA and STRMU waiting lists are separate.</p>			
<p>Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/></p> <p><i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/></p>				

Project Sponsor Agency Name Dallas County Health and Human Services HOPWA Program Unit	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Charletta Moaning, HOPWA Supervisor			
Email Address	emoaning@dallascounty.org			
Business Address	2377 N. Stemmons Freeway, Suite 200, LB 16			
City, County, State, Zip,	Dallas	Dallas	Texas	75207-2710
Phone Number (with area code)	214-819-2844		Fax Number (with area code) 214-819-6023	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-6000905			
DUN & Bradstreet Number (DUNs):	073128597			
Congressional District of Project Sponsor's Business Address	3, 5, 24, 26, 30, 32			
Congressional District(s) of Primary Service Area(s)	6			
City(ies) and County(ies) of Primary Service Area(s)	Cities Barry, Chatfield, Corsicana, Kerens, Powell, Rice, Blooming Grove, Dawson, Frost, Purdon, Richland		Counties Navarro	
Total HOPWA contract amount for this Organization for the operating year	\$500			
Organization's Website Address www.dallascounty.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. The Dallas County Health and Human Services HOPWA waiting list for Navarro County is to be maintained on a first come, first served basis. The waiting list will reflect the name and date of which the eligible applicant is added to the waiting list. <u>Open Waiting List:</u> The waiting list is to remain open at all times, with the exception of creating false hope. As the waiting list grows and applicant's wait is for an unforeseeable amount of time, then the waiting list will close for a period of time. <u>Additions to the Waiting List:</u> Only eligible persons residing in the Navarro County area will be added to the waiting list.			
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name Your Health Clinic	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Gwynne Palmore, Executive Director			
Email Address	g.palmore@verizon.net			
Business Address	303 Sunset Blvd.			
City, County, State, Zip,	Sherman	Grayson	TX	75092
Phone Number (with area code)	903.891.1972		Fax Number (with area code) 903.892.6093	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	752395756			
DUN & Bradstreet Number (DUNs):	879477875			
Congressional District of Project Sponsor's Business Address	4			
Congressional District(s) of Primary Service Area(s)	4, 13, 26			
City(ies) and County(ies) of Primary Service Area(s)	Cities Denison, Gunter, Pottsboro, Sherman, Bells, Howe, Tom Bean, Whitewright, Van Alstyne, Collinsville, Gordonville, Sadler, Southmayd, Tioga, Whitesboro, Bailey, Bonham, Dodd City, Ector, Gober, Honey Grove, Ivanhoe, Ladonia, Leonard, Randolph, Ravenna, Savoy, Telephone, Trenton, Windom, Era, Gainesville, Lindsay, Muenster, Myra, Rosston, Valley View		Counties Grayson, Fannin, Cooke	
Total HOPWA contract amount for this Organization for the operating year	\$58,500			
Organization's Website Address www.arcot.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. At the time that funds or spaces are not available, a waiting list will go into effect. This waiting list policy will be as follows: <u>First Come, First Served:</u> YHC's DSHS HOPWA waiting list for the above listed counties is to be maintained on a first come, first served basis. The waiting list will reflect the name and date of each eligible applicant as they are added onto the waiting list. All eligible applicants on the waiting list will complete a HOPWA application that will reflect the client's name and the date when this client was placed on the HOPWA waiting list.			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name Triangle AIDS Network (Beaumont/Port Arthur HSDA)		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency	Dr. Diane Bass – Executive Director			
Email Address	diane@tanbmt.com			
Business Address	P. O. Box 12279			
City, County, State, Zip,	Beaumont	Jefferson	TX	77726
Phone Number (with area code)	409-832-8338		Fax Number (with area code) 409-832-0976	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	76-0226835			
DUN & Bradstreet Number (DUNs):	609896378			
Congressional District of Project Sponsor's Business Address	2			
Congressional District(s) of Primary Service Area(s)	2,8			
City(ies) and County(ies) of Primary Service Area(s)	Cities: Amelia, Atreco, Beaumont, Beauxart Gardens, Bevil Oaks, Bor-ley Heights, Calder Highlands, Calder Terrace, Caldwood, Caldwood Acres, Central Gardens, Cheek, China, Dowling, Elizabeth, Fannett, Galloway, Gilburg, Gladys, Griffing Park, Groves, Guffey, Hamshire, Helbig, Higgins, Hillebrandt, Lakeview, Lovell Lake, Lucas, Meeker, Morey, Mount Evergreen, Nederland, Nome, Pear Ridge, Pine Crest, Pine Island, Port Acres, Port Arthur, Port Neches, Rosedale, Rosedale Acres, Sabine, Sabine Pass, Spindletop, Sunnyside, Taylor Landing, Viterbo, Voth, Walden, West Oakland, West Port Arthur, Zummo, Bancroft, Bland, Bridge City, Brownwood, Bruner, Connell, Cove, Doc Brown, Echo, Francis, Kinard Estates, Lakeview, Lakewood, Lemonville, Little Cypress, Maple Crest Acres, Mauriceville, Oilla, Orange, Orangefield, Peveto, Pine Forest, Pine Grove, Pinehurst, Ridgecrest, Rose City, Stark, Tulane, Vidor, West Bluff, West Orange, Batson, Bragg, Dies, Fletcher, Fresenius, Grayburg, Honey Island, Kountze, Lelavale, Lillard, Loeb, Lumberton, Nona, Pine Ridge, Pinewood Estates, Rose Hill Acres, Saratoga, Seth, Silsbee, Sour Lake, Thicket, Village Mills, Votaw		Counties: Jefferson, Orange, Hardin	
Total HOPWA contract amount for this Organization for the operating year	\$117,700			
Organization's Website Address www.tanbmt.com	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

Name and Title of Contact at Project Sponsor Agency	Dr. Diane Bass – Executive Director
<p> Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input checked="" type="checkbox"/> </p>	<p> If yes, explain in the narrative section how this list is administered. A chronological waiting list for TBRA is maintained as applications are received. As a space becomes available, the next person on the list is notified by mail. A copy of the letter is also given to the applicant’s case manager. A two-week period is given for a response. If there is no response by the applicant, the next person on the waiting list is notified. No waiting list for STRMU is maintained. </p>

Project Sponsor Agency Name AIDS Coalition of Coastal Texas Inc. (Galveston HDSA)	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Georgia Nelson Executive Director			
Email Address	Georgia1405@yahoo.com			
Business Address	707 Tremont			
City, County, State, Zip,	Galveston	Galveston	Texas	77550
Phone Number (with area code)	409-763-2437		Fax Number (with area code) 409-763-54	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	76-0230757			
DUN & Bradstreet Number (DUNs):	806086583			
Congressional District of Project Sponsor's Business Address	14			
Congressional District(s) of Primary Service Area(s)	14			
City(ies) and County(ies) of Primary Service Area(s)	Allenhurst, Ashwood, Bay City, Blessing, Buckeye, Caney, Cedar Lake, Clemville, Collegeport, Elmaton, Hawkinsville, Markham, Matagorda, Midfield, Palacios, Pledger, Sargent, Sugar Valley, Van Vleck Wadsworth		Counties Matagorda	
Total HOPWA contract amount for this Organization for the operating year	\$7,000			
Organization's Website Address http://uwgcm.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input checked="" type="checkbox"/>	If yes, explain in the narrative section how this list is administered.			

Project Sponsor Agency Name AIDS Foundation Houston, Inc.	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Kelly A. McCann, Chief Executive Officer			
Email Address	McCannK@AFHouston.org			
Business Address	3202 Wesleyan Street			
City, County, State, Zip,	Houston	Harris	TX	77027
Phone Number (with area code)	713-623-6796 ext. 251		Fax Number (with area code) 713-623-6796	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	76-0073661			
DUN & Bradstreet Number (DUNs):	19-007-4179			
Congressional District of Project Sponsor's Business Address	7			
Congressional District(s) of Primary Service Area(s)	7			
City(ies) and County(ies) of Primary Service Area(s)	Cities Alleyton, Altair, Bernardo, Boedecker Junction, Borden, Chesterville, Columbus, Eagle Lake, Frelsburg, Garwood, Glidden, Hillcrest, Hoefler, Matthews, Mentz, Nada, Oakland, Provident City, Ramsey, Rayner Junction, Rock Island, Sheridan, Weimar, Crabbs Prairie, Dodge, Hawthorne, Huntsville, Loma, New Waverly, Phelps, Pine Hill, Pine Prairie, Riverside, San Jacinto, Boling, Bonus, Burr, Cane Junction, Danevang, Dinsmore, Don-Tol, East Bernard, Egypt, El Campo, Elm Grove, Glen Flora, Hillje, Hungerford, Iago, Jones Creek, Lane City, Lissie, Louise, Mackay, Magnet, New Taiton, Newgulf, Pierce, Sorrelle, and Wharton		Counties Colorado, Walker and Wharton Counties	
Total HOPWA contract amount for this Organization for the operating year	\$20,000			
Organization's Website Address www.AIDShelp.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. A separate waiting list will be utilized for STRMU and TBRA programs. The wait list will include a section regarding the client outcome. Date client removed from the waiting list; Reason client removed from the waiting list (client no longer in need, service provided, etc.).			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input checked="" type="checkbox"/>				

Project Sponsor Agency Name Special Health Resources for Texas, Inc. (Longview/Tyler)	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Theresa Jones			
Email Address	thjones@shrt.net			
Business Address	P. O. Box 2709			
City, County, State, Zip,	Longview	Gregg	Texas	75606
Phone Number (with area code)	903-234-0776 ext.55		Fax Number (with area code) 903-234-9769	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-2405203			
DUN & Bradstreet Number (DUNs):	13-5826449			
Congressional District of Project Sponsor's Business Address	1			
Congressional District(s) of Primary Service Area(s)	1, 4, 5 (Cass county is in both TX-01/TX-04)			
City(ies) and County(ies) of Primary Service Area(s)	Cities Tyler		Counties Anderson, Camp, Cherokee, Gregg, Harrison, Henderson, Marion, Panola, Rains, Rusk, Smith, Upshur, Van Zandt, Wood	
Total HOPWA contract amount for this Organization for the operating year	\$444,500			
Organization's Website Address www.shrt.net	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered. The waiting list is reviewed and updated by the 20 th of each month with applicants being taken in the order placed on the waiting list. Clients with minor children will have priority over other applicants.			

Project Sponsor Agency Name Health Horizons of East Texas, Inc. (Lufkin/Nacogdoches HSDA)	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Dr. Wilbert Brown, Jr. Ed. D., Executive Director			
Email Address	drwilbertbrown@sbcglobal.net			
Business Address	412 North Street Suite F			
City, County, State, Zip,	Nacogdoches	Nacogdoches	TX	75961
Phone Number (with area code)	936-569-8240 Ext. 10		Fax Number (with area code) 936-569-2217	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-2335884			
DUN & Bradstreet Number (DUNs):	800809741			
Congressional District of Project Sponsor's Business Address	Nacogdoches			
Congressional District(s) of Primary Service Area(s)	17, 9, 19, 18			
City(ies) and County(ies) of Primary Service Area(s)	Cities Lufkin, Crockett, Jasper, Hemphill, San Augustine, Center, Trinity, Woodville, Newton, Nacogdoches, Livingston		Counties Angelina, Houston, Jasper, Sabine, San Augustine, Shelby, Trinity, Tyler, Newton, Nacogdoches, Polk	
Total HOPWA contract amount for this Organization for the operating year	\$140,800			
Organization's Website Address www.hhnet.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. The agency will maintain a separate waiting list for clients who are eligible for STRMU and TBRA. Medical Case Managers will request that clients be moved to the top of the HOPWA waiting list pertaining to STRMU or TBRA who: <ul style="list-style-type: none"> • are living in unsafe conditions, • have major changes that may threaten homelessness (i.e. sudden and permanent loss of income), • are living in life-threatening living situations 			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name Special Health Resources for Texas, Inc. (Texarkana/Paris HSDA)	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Theresa Jones Program Administrator			
Email Address	thjones@shrt.net			
Business Address	P. O. Box 2709			
City, County, State, Zip,	Longview	Gregg	Texas	75606
Phone Number (with area code)	903-234-0776 ext. 55		Fax Number (with area code) 903-234-9769	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-2405203			
DUN & Bradstreet Number (DUNs):	13-5826449			
Congressional District of Project Sponsor's Business Address	TX-004			
Congressional District(s) of Primary Service Area(s)	TX-004			
City(ies) and County(ies) of Primary Service Area(s)	Cities Texarkana Paris		Counties Bowie, Cass, Delta, Franklin, Hopkins, Lamar, Morris, Red River, Titus	
Total HOPWA contract amount for this Organization for the operating year	\$82,000			
Organization's Website Address www.shrt.net	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered. The waiting list is reviewed and updated by the 20 th of each month with applicants being taken in the order placed on the waiting list. Clients with minor children will have priority over other applicants.			

Project Sponsor Agency Name Basin Assistance Services	Parent Company Name, if applicable Permian Basin Community Centers for MHMR
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Name and Title of Contact at Project Sponsor Agency	Ramona Thomas, Program Coordinator			
Email Address	Ramona.Thomas@pbmhm.com			
Business Address	1118-B 12 th Street			
City, County, State, Zip,	Odessa	Ector	TX	79763
Phone Number (with area code)	432-580-0713		Fax Number (with area code) 432-580-0972	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-1401776			
DUN & Bradstreet Number (DUNs):	074145561			
Congressional District of Project Sponsor's Business Address	11			
Congressional District(s) of Primary Service Area(s)	11, 19, 23			
City(ies) and County(ies) of Primary Service Area(s)	Cities Andrews, Crane, Ackerly, Lamesa, O'Donnell, Gail, Garden City, Goldsmith, Odessa, Seminole, Seagraves, Denver City, Coahoma, Forsan, Big Spring, Mentone, Midland, Stanton, Fort Stockton, Iraan, Balmorhea, Pecos, Toyah, MacCamey, Rankin, Grandfalls, Monahans, Barstow, Pyote, Wickett, Kerit, Wink		Counties Andrews, Borden, Crane, Dawson, Ector, Gaines, Glasscock, Howard, Loving, Martin, Midland, Pecos, Reeves, Terrell, Upton, Ward, Winkler	
Total HOPWA contract amount for this Organization for the operating year	\$121,000			
Organization's Website Address www.pbmhm.com	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. Clients on waiting list are required to apply for the HCV Program housing and first come first served basis.			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name Panhandle AIDS Support Organization	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Michael Timcisko, Chief Executive Officer			
Email Address	michael_PASO@suddenlinkmail.com			
Business Address	1501 SW 10th			
City, County, State, Zip,	Amarillo	Potter County	TX	79101
Phone Number (with area code)	(806) -372-1050		Fax Number (with area code) (806)-372-1067	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-2219593			
DUN & Bradstreet Number (DUNs):	883196024			
Congressional District of Project Sponsor's Business Address	13			
Congressional District(s) of Primary Service Area(s)	13,19			
City(ies) and County(ies) of Primary Service Area(s)	Cities Claude, Quitique, Silverton, Groom, Panhandle, Skellytown, White Deer, Dimmit, Hart, Nazareth, Childress, Dodson, Wellington, Dalhart, Texline, Hereford, Clarendon, Hedley, Howardwick, Lefors, McLean, Pampa, Estelline, Lakeview, Memphis, Turkey, Gruver, Spearman, Channing, Canadian, Fritch, Sanford, Stinnett, Borger, Darrouzett, Follett, Higgins, Booker, Cactus, Dumas, Sunray, Perryton, Booker, Adrian, Vega, Farwell, Bovina, Friona, Amarillo, Canyon, Happy, Miami, Stratford, Texhoma, Kress, Tulia, Mobeetie, Shamrock, Wheeler		Counties Armstrong, Brisco, Carson, Castro, Childress, Collingsworth, Dallam, DeafSmith, Donley, Gray, Hall, Hansford, Hartley, Hemphill, Hutchinson, Lipscomb, Moore, Ochiltree, Oldham, Parmer, Potter, Randall, Roberts, Sherman, Swisher, Wheeler	
Total HOPWA contract amount for this Organization for the operating year	\$116,000			
Organization's Website Address www.panhandleaso.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. Assistance is provided on a first-come first-serve basis through an eligibility/priority assessment.			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

<p>Project Sponsor Agency Name</p> <p><i>Project CHAMPS Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.</i></p> <p><i>Line [2]: For project sponsors/subrecipients that did <u>NOT</u> provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.</i></p> <p><i>Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below..</i></p>	<p>Parent Company Name, if applicable</p> <p>South Plains Community Action Association, Inc</p>
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Name and Title of Contact at Project Sponsor Agency	Leigh Arrington, Program Coordinator			
Email Address	larrington@spcaa.org			
Business Address	3307 Ave X			
City, County, State, Zip,	Lubbock	Lubbock County	TX	79411
Phone Number (with area code)	(806) -771-0736		Fax Number (with area code) (806)-771-3398	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-1230219			
DUN & Bradstreet Number (DUNs):	094254547			
Congressional District of Project Sponsor's Business Address	19			
Congressional District(s) of Primary Service Area(s)	13,19			
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	Muleshoe, Morton, Whiteface, Crosbyton, Lorenzo, Ralls, Dickens, Spur, Floydada, Lockney, Post, Abernathy, Edmonson, Hale Center, Petersburg, Plainview, Anton, Levelland, Opdyke West, Ropesville, Smyer, Sundown, Earth, Amherst, Olton, Springlake, Sudan, Buffalo Springs Village, Idalou, Lubbock, New Deal, Ransom Canyon, Shallowater, Slaton, Wolfforth, New Home, O'Donnell, Tahoka, Wilson, Matador, Roaring Springs, Brownfield, Meadow, Wellman, Denver City, Plains		Counties Bailey, Cochran, Crosby, Dickens, Floyd, Garza, Hale, Hockley, King, Lamb, Lubbock, Lynn, Motley, Terry, Yoakum	

Name and Title of Contact at Project Sponsor Agency	Leigh Arrington, Program Coordinator	
Total HOPWA contract amount for this Organization for the operating year	\$155,000	
Organization's Website Address www.spcaa.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered. Assistance is provided on a first-come first-serve basis through an eligibility/priority assessment.	

Project Sponsor Agency Name Sun City Behavioral Health Care	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Kristi Daugherty, Director			
Email Address	kdaugherty@EPMHMR.org			
Business Address	2931 Montana Ave, Suite B			
City, County, State, Zip,	El Paso	El Paso County	TX	79903
Phone Number (with area code)	915-351-4680		Fax Number (with area code) 915-351-3643	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-2928744			
DUN & Bradstreet Number (DUNs):	021913286			
Congressional District of Project Sponsor's Business Address	16			
Congressional District(s) of Primary Service Area(s)	16, 23			
City(ies) and County(ies) of Primary Service Area(s)	Cities Alpine, Castolon, Hovey, Lajitas, Marathon, Terlingua, Titley, Kent, Lobo, Pine Springs, Van Horn, Anthony, Clint, El Paso, Horizon City, Socorro, Vinton, Acala, Arispe, Cornudas, Crusher, Dell City, Eagle Flat, Esperanza, Finlay, Fort Hancock, McNary, Mile High, Salt Flat, Sierra Blanca, Chispa, Fort Davis, Valentine, Candelaria, Casa Piedra, Indio, Marfa, Nopal, Ochoa, Plata, Polvo, Presidio, Quebec, Redford, Ruidosa, Ryan, Shafter, Tinaja		Counties Brewster, Culberson, El Paso, Hudspeth, Jeff Davis, Presidio	
Total HOPWA contract amount for this Organization for the operating year	\$216,000			
Organization's Website Address www.epmhm.org	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name Valley AIDS Council	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Mr. Dean G. Hall, Executive Director			
Email Address	dhall@valleyaids.org			
Business Address	418 E. Tyler Ave., Suite A			
City, County, State, Zip,	Harlingen	Cameron County	Texas	78550
Phone Number (with area code)	(956)428-2653 or (956) 428-9322		Fax Number (with area code) (956)428-0056	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-251-2591			
DUN & Bradstreet Number (DUNs):	002686186			
Congressional District of Project Sponsor's Business Address	15,27			
Congressional District(s) of Primary Service Area(s)	15,27			
City(ies) and County(ies) of Primary Service Area(s)	Cities Alamo, Alton, Bayview, Brownsville, Combes, Donna, Edcouch, Edinburg, Elsa, Hagill, Harlingen, Hidalgo, Indian Lake, La Blanca, La Feria, La Joya, La Villa, Laguna Heights, Laguna Vista, Lasara, Linn, Los Ebanos, Los Fresnos, Los Indios, Lozano, Lyford, McAllen, Mercedes, Mission, Monte Alto, Olmito, Palmhurst, Palmview, Penitas, Pharr, Port Isabel, Port Mansfield, Progreso, Progreso Lakes, Port Mansfield, Rancho Viejo, Raymondville, Rio Hondo, S Padre Isle, San Benito, San Juan, San Perlita, Santa Maria, Santa Rosa, Sebastian, South Padre Island, Sullivan City and Weslaco		Counties Cameron County, Hidalgo County, and Willacy County.	
Total HOPWA contract amount for this Organization for the operating year	\$380,423			
Organization's Website Address www.valleyaids.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. The waiting list is based on a needs assessment and waitlisted according to their ranking.			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name Coastal Bend Aids Foundation	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Mr. Bill Jeron Hoelscher, Executive Director			
Email Address	billh@cbaaf.org			
Business Address	400 Mann Street, Suite 800			
City, County, State, Zip,	Corpus Christi	Nueces County	Texas	78401
Phone Number (with area code)	(361) 814-2001		Fax Number (with area code) (361) 814-1998	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-2429518			
DUN & Bradstreet Number (DUNs):	791954167			
Congressional District of Project Sponsor's Business Address	27			
Congressional District(s) of Primary Service Area(s)	27, 15, 14			
City(ies) and County(ies) of Primary Service Area(s)	Cities Agua Dulce, Alice, Aransas Pass, Armstrong, Austwell, Banquete, Bayside, Beeville, Ben Bolt, Benavides, Bishop, Calliham, Chapman Ranch, City by the Sea, Concepcion, Corpus Christi, Crp Christi, Dinero, Discroll, Edroy, Encino, Falfurrias, Freer, Fulton, George West, Gregory, Ingleside, Kenedy, Kingsville, Station, Lake City, Mathis, Mineral, Normanna, Oakville, Odem, Orange Grove, Pawnee, Pettus, Port Aransas, Portland, Premont, Realitos, Refugio, Riviera, Robstown, Rockport, San Diego, Sandia, Sarita, Sinton, Skidmore, Swinney, Switch, Taft, Three Rivers, Tilden, Tivoli, Tuleta, Tynan, Whitsett and Woodsboro.		Counties Aransas County, Bee County, Brooks County, Duval County, Jim Wells County, Kenedy County, Kleberg County, Live Oak County, McMullen County, Nueces County, Refugio County, and San Patricio County.	
Total HOPWA contract amount for this Organization for the operating year	\$358,671			
Organization's Website Address www.cbaaf.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. The waiting list is based on a needs assessment and waitlisted according to their ranking. Needs assessment is based on the following 1) Does the client have minor dependents living with him/her; 2) Does the client currently have housing; 3) The state of the clients health; 4) Does the client have the economic need. Each area is scored based on three (3) points, one (1) being the least amount of need and three (3) being the highest.			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name City Of Laredo Health Department	Parent Company Name, if applicable City of Laredo
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Name and Title of Contact at Project Sponsor Agency	Mr. Manuel G. Sanchez, Jr., Program Coordinator			
Email Address	msanchez@ci.laredo.tx.us			
Business Address	2600 Cedar Avenue P.O. Box 2337			
City, County, State, Zip,	Laredo	Webb County	Texas	78042
Phone Number (with area code)	(956) 795-4905		Fax Number (with area code) (956) 795-2035	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-6001573			
DUN & Bradstreet Number (DUNs):	150146228			
Congressional District of Project Sponsor's Business Address	28			
Congressional District(s) of Primary Service Area(s)	28			
City(ies) and County(ies) of Primary Service Area(s)	Cities Bruni, Delmita, El Cenizo, Falcon, Falcon Heights, Fronton, Garciasville, La Grulla, Guerra, Hebbbronville, Laredo, Lopeflo, Mirando City, Oilton, Rio Bravo, Rio Grande City, Roma, Salineno, San Isidro, San Ygnancio, Santa Elena, and Zapata		Counties Jim Hogg, Starr, Webb and Zapata	
Total HOPWA contract amount for this Organization for the operating year	\$84,906			
Organization's Website Address www.cityoflaredo.com	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. Clients on the waiting list for TBRA are given priority status if they meet the following criteria, regardless of date when services were requested: <ul style="list-style-type: none"> • Has an AIDS diagnosis • Has dependents under the age of 18 • Has exhausted the HOPWA program's short-term assistance cap and is in eminent risk of becoming homeless 			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name AIDS Resources of Rural Texas (Abilene) Note: Contract termed with DSHS effective 8/31/11	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Lisa Justice, Executive Director			
Email Address	ljustice@aidresources.com			
Business Address	P.O. Box 1720			
City, County, State, Zip,	Weatherford	Parker	TX	79086
Phone Number (with area code)	(817) 596-3022		Fax Number (with area code) (817)596-0900	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	752501442			
DUN & Bradstreet Number (DUNs):	008548752			
Congressional District of Project Sponsor's Business Address	19			
Congressional District(s) of Primary Service Area(s)	11,13,19			
City(ies) and County(ies) of Primary Service Area(s)	Cities Bangs, Blanket, Brownwood, Early, Brookesmith, Grosvenor, Indian Creek, Lake Brownwood, Lake Shore, May, Shamrock Shores, Thrifty, Zephyr, Baird, Clyde, Cross Pains, Putnam, Eula, Coleman, Novice, Santa Ana, Burkett, Content, Echo, Fisk, Goldsboro, Gouldbusk, Leaday, Mozelle, Rockwood, Silver Valley, Talpa, Valera, Voss, Whon, Camanche, De Leon, Gustine, Energy, Hasse, Proctor, Sidney, Carbon, Cisco, Eastland, Gorman, Ranger, Rising star, Desdemona, Olden, Roby, Rotan, Longworth, McCaulley, Raytown, Royston, Sylvester, Haskell, O'Brien, Rochester, Rule, Weinnert, Jud, Rolls, Sagerton, Anson, Hamlin, Hawley, Leuders, Stamford, Avoca, Radium, Tuxedo, Jayton, Girard, Benjamin, Goree, Knox City, Munday, Colorado City, Loraine, Westbrook, Buford, Cuthbert, Latan, Blackwell, Roscoe, Sweetwater, Claytonville, Inadale, Maryneal, Nolan, Palava, Pyron, Wastella, Ballinger, Miles, Winters, Bethel, Blanton, Bradshaw, Crews, Drasco, Happy Vally, Hatchel, Norton, Pony, Pumphrey, Rowena, Shep, Wilmeth, Wingate, Snyder, Clairemont,		Counties Brown, Callahan, Coleman, Commanche, Eastland, Fisher, Haskell, Jones, Kent Knox, Mitchell, Nolan, Runnels, Scurry, Shackelford, Stephens, Stonewall, Taylor, Throckmorton	

Name and Title of Contact at Project Sponsor Agency	Lisa Justice, Executive Director	
	Dermott, Dunn, Fluvanna, Hermleigh, Ira, Union, Albany, Moran, Breckenridge, Caddo, Aspermont, Old Glory, Peacock, Abilene, Bufalo Gap, Impact, Lawn, Merkel, Trent, Tuscola, Tye, Blair, Hamby, Noodle, Ovalo, Potosi, Stith, Throckmorton, Woodson	
Total HOPWA contract amount for this Organization for the operating year	\$36,561	
Organization's Website Address N/A ARRA has closed down	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered.	

Project Sponsor Agency Name AIDS Resources of Rural Texas (Weatherford) Note: Contract termed with DSHS effective 8/31/11	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Lisa Justice, Executive Director			
Email Address	ljustice@aidsresources.com			
Business Address	P.O. Box 1720			
City, County, State, Zip,	Weatherford	Parker	TX	79086
Phone Number (with area code)	(817) 596- 3022		Fax Number (with area code) (817) 596-0900	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	752501442			
DUN & Bradstreet Number (DUNs):	008548752			
Congressional District of Project Sponsor's Business Address	12			
Congressional District(s) of Primary Service Area(s)	12,13,17, & 31			
City(ies) and County(ies) of Primary Service Area(s)	Dublin, Stephenville, Bluff,Dale, Lingleville, Morgan Mill, Cresson, DeCordova, Granbury, Lipan, Tolar, Paluxy, Gordon, Graford, Moneral Wells, Mingus, Palo Pinto, Strawn, Fort Wolters, Salesville, Santo		Counties Erath, Hood, Palo Pinto, Somervell	
Total HOPWA contract amount for this Organization for the operating year	\$21,500			
Organization's Website Address N/A ARRA has closed down	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered.			

Project Sponsor Agency Name Big County AIDS Resources (Abilene HSDA) Note: Contract with DSHS effective 9/1/11	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Betty Sims, Executive Director			
Email Address	bsims@swbell.net			
Business Address	1109 Walnut Street, PO Box 1976			
City, County, State, Zip,	Abilene	Taylor	Texas	79604
Phone Number (with area code)	(325) 672-3077		Fax Number (with area code) (325) 672-3182	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-02235135			
DUN & Bradstreet Number (DUNs):	96-6501434			
Congressional District of Project Sponsor's Business Address	19			
Congressional District(s) of Primary Service Area(s)	11,13,19			
City(ies) and County(ies) of Primary Service Area(s)	Cities Bangs, Blanket, Brownwood, Early, Brookesmith, Grosvenor, Indian Creek, Lake Brownwood, Lake Sore, May, Shamrock Shores, Thrifty, Zephyr, Baird, Clyde, Cross Pains, Putnam, Eula, Coleman, Novice, Santa Ana, Burkett, Content, Echo, Fisk, Goldsboro, Gouldbusk, Leaday, Mozelle, Rockwood, Silver Valley, Talpa, Valera, Voss, Whon, Cammanche, De Leon, Gustine, Energy Hasse, Proctor Sidney, Carbon, Cisco, Eastland, Gorman, Ranger, Rising Star, Desdemona, Olden, Roby, Rotan, Longworth, McCaulley, Raytown, Royston, Sylvestor, Haskell, O'Brien, Rochester, Rule, Weinnert, Jud, Rolls, Sagerton, Anson, Hamlin, Hawley, Leuders, Stamford, Avoca, Radium, Tuxedo, Jayton, Girard, Benjamin, Goree, Knox City, Munday, Colorado City, Loraine, Westbrook Buford, Cuthbert, Latan, Clackwell, Roscoe, Sweetwater, Claytonville, Inadale, Maryneal, Nolan, Palava, Pyron, Wastella, Ballinger, Miles, Winters, Bethel, Blanton, Bradshaw, Crews, Drasco, Happy Vally, Hatchel, Norton, Pony, Pumphrey, Rowwena, Shep, Wilmeth, Wingate, Snyder, Clairemont,		Counties Brown, Callahan, Coleman, Commanche, Eastland, Fisher, Haskell, Jones, Kent Knox, Mitchell, Nolan, Runnels, Scurry, Shackelford, Stephens, Stonewall, Taylor, Throckmorton	

Name and Title of Contact at Project Sponsor Agency	Betty Sims, Executive Director	
	Dermott, Dunn, Fluvanna, Hermleigh, Ira, Union, Albany, Moran, Breckenridge, Caddo, Aspermont, Old Glory, Peacock, Abilene, Bufalo Gap, Impact, Lawn Merkel, Trent, Tuscola, Tye, Blair, Hamby, Noodle, Ovalo, Potosi, Stith, Throckmorton, Woodson.	
Total HOPWA contract amount for this Organization for the operating year	\$31,893	
Organization's Website Address www.bartx.com	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered.	

Project Sponsor Agency Name AIDS Outreach Center (Fort Worth HSDA) Note: Contract with DSHS effective 9/1/11	Parent Company Name, if applicable
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Name and Title of Contact at Project Sponsor Agency	Shannon Hilgart, Associate Executive Director			
Email Address	shannonh@aac.org			
Business Address	400 Beach Street			
City, County, State, Zip,	Fort Worth	Tarrant	Texas	76111
Phone Number (with area code)	(817) 335-1994		Fax Number (with area code) (817) 916-4661	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-2139336			
DUN & Bradstreet Number (DUNs):	78-1414842			
Congressional District of Project Sponsor's Business Address	12			
Congressional District(s) of Primary Service Area(s)	12,13,17 & 31			
City(ies) and County(ies) of Primary Service Area(s)	Cities Dublin, Stephenville, Bluff, Dale, Lingleville, Morgan Mill., Cresson, DeCordova, Granbury, Kipan, Tolar, Paluxy, Gordon, Graford, Moneral, Wells, Mingus, Palo Pinto, Straw, Fort Wolters, Salesville, Santo		Counties Erath, Hood, Palo Pinto Somervell	
Total HOPWA contract amount for this Organization for the operating year	\$18,658			
Organization's Website Address www.aoc.org	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name Wichita County Health District		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Lou Franklin, Public Health Director	
Email Address		Lou.franklin@wichitafallstx.gov	
Business Address		1700 Third Street	
City, County, State, Zip,		Wichita Falls	Texas 76301
Phone Number (with area code)		(940) 761-7805	Fax Number (with area code) (940) 767-5242
Employer Identification Number (EIN) or Tax Identification Number (TIN)		1-75-6000-714-2000	
DUN & Bradstreet Number (DUNs):		05-9463133	
Congressional District of Project Sponsor's Business Address		13, 19	
Congressional District(s) of Primary Service Area(s)		13	
City(ies) and County(ies) of Primary Service Area(s)		Cities Archer, Baylor, Cottle, Clay, Foard, Hardeman, Jack, Montague, Wichita, Wilbarger, Young	Counties Archer City, Seymour, Henrietta, Cottle, Padueah, Corowell, Quannah, Jacksboro, Bowie, Wichita Falls, Electra, Iowa Park, Burkburnett, Vernon, Graham
Total HOPWA contract amount for this Organization for the operating year		\$66,000	
Organization's Website Address www.health.wichitafallstx.gov		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered.	

Project Sponsor Agency Name Tarrant County Public Health (Abilene)	Parent Company Name, if applicable Tarrant County
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Name and Title of Contact at Project Sponsor Agency	Margie Drake HIV Grants Manager			
Email Address	mdrake@tarrantcounty.com			
Business Address	1101 South Main Street, Suite 2500			
City, County, State, Zip,	Fort Worth	Tarrant	TX	76104
Phone Number (with area code)	(817) 321-4747		Fax Number (with area code) (817) 321-4737	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-6001170			
DUN & Bradstreet Number (DUNs):	068365220			
Congressional District of Project Sponsor's Business Address	19			
Congressional District(s) of Primary Service Area(s)	11, 13, 19			
City(ies) and County(ies) of Primary Service Area(s)	Cities: Bangs, Blanket, Brownwood, Early, Brookesmith, Grosvenor, Indian Creek, Lake Brownwood, Lake Sore, May, Shamrock Shores, Thrifty, Zephyr, Baird, Clyde, Cross Pains, Putnam, Eula, Coleman, Novice, Santa Ana, Burkett, Content, Echo, Fisk, Goldsboro, Gouldbusk, Leaday, Mozelle, Rockwood, Silver Valley, Talpa, Valera, Voss, Whon, Cammanche, De Leon, Gustine, Energy Hasse, Proctor Sidney, Carbon, Cisco, Eastland, Gorman, Ranger, Rising Star, Desdemona, Olden, Roby, Rotan, Longworth, McCaulley, Raytown, Royston, Sylvester, Haskell, O'Brien, Rochester, Rule, Weinnert, Jud, Rolls, Sagerton, Anson, Hamlin, Hawley, Leuders, Stamford, Avoca, Radium, Tuxedo, Jayton, Girard, Benjamin, Goree, Knox City, Munday, Colorado City, Loraine, Westbrook Buford, Cuthbert, Latan, Clackwell, Roscoe, Sweetwater, Claytonville, Inadale, Maryneal, Nolan, Palava, Pyron, Wastella, Ballinger, Miles, Winters, Bethel, Blanton, Bradshaw, Crews, Drasco, Happy Vally, Hatchel, Norton, Pony, Pumphrey, Rowwena, Shep,		Counties: Brown, Callahan, Coleman, Commanche, Eastland, Fisher, Haskell, Jones, Kent Knox, Mitchell, Nolan, Runnels, Scurry, Shackelford, Stephens, Stonewall, Taylor, Throckmorton	

Name and Title of Contact at Project Sponsor Agency	Margie Drake HIV Grants Manager	
	Wilmeth, Wingate, Snyder, Clairemont, Dermott, Dunn, Fluvanna, Hermleigh, Ira, Union, Albany, Moran, Breckenridge, Caddo, Aspermont, Old Glory, Peacock, Abilene, Bufalo Gap, Impact, Lawn Merkel, Trent, Tuscola, Tye, Blair, Hamby, Noodle, Ovalo, Potosi, Stith, Throckmorton, Woodson.	
Total HOPWA contract amount for this Organization for the operating year	\$3,546	
Organization's Website Address www.tarrantcounty.com	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		

Project Sponsor Agency Name Tarrant County Public Health (Ft. Worth/Weatherford)	Parent Company Name, if applicable Tarrant County
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Name and Title of Contact at Project Sponsor Agency	Margie Drake HIV Grants Manager			
Email Address	mdrake@tarrantcounty.com			
Business Address	1101 South Main Street, Suite 2500			
City, County, State, Zip,	Fort Worth	Tarrant	TX	76104
Phone Number (with area code)	(817) 321-4747		Fax Number (with area code) (817) 321-4737	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-6001170			
DUN & Bradstreet Number (DUNs):	068365220			
Congressional District of Project Sponsor's Business Address	12			
Congressional District(s) of Primary Service Area(s)	12, 13, 17, 31			
City(ies) and County(ies) of Primary Service Area(s)	Cities: Dublin, Stephenville, Bluff, Dale, Lingleville, Morgan Mill,, Cresson, DeCordova, Granbury, Kipan, Tolar, Paluxy, Gordon, Graford, Moneral, Wells, Mingus, Palo Pinto, Straw, Fort Wolters. Salesville, Santo		Counties: Erath, Hood, Palo Pinto Somervell	
Total HOPWA contract amount for this Organization for the operating year	\$2,842			
Organization's Website Address www.tarrantcounty.com	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

3. Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists the grantee or project sponsors to carry out their administrative services. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors or other organizations beside the grantee.) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Note: Please see Definition section for distinctions between project sponsor and subrecipient

Subrecipient Name	Bexar County			Parent Company Name, if applicable	
Name and Title of Contact at Subrecipient	Aurora M. Sanchez, Executive Director				
Email Address	asanchez@bexar.org				
Business Address	233 N. Pecos, Suite 590				
City, State, Zip, County	San Antonio	TX	Bexar	78207	
Phone Number (with area code)	210-335-3421			Fax Number (include area code) 210-335-6755	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-6002039				
DUN & Bradstreet Number (DUNs):	070487020				
North American Industry Classification System (NAICS) Code	N/A				
Congressional District of Subrecipient's Business Address	20				
Congressional District of Primary Service Area	N/A				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: N/A			Counties: N/A	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$211,000				

Subrecipient Name	Brazos Valley Council of Governments (BVCOG)			Parent Company Name, if applicable	
Name and Title of Contact at Subrecipient	Courtney McElhaney, Program Manager				
Email Address	cmcelhaney@bvcog.org				
Business Address	P.O. Drawer 4128				
City, State, Zip, County	Bryan	TX	77805-4128	Brazos	
Phone Number (with area code)	979-595-2800			Fax Number (include area code) 979-595-2815	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-1562020				
DUN & Bradstreet Number (DUNs):	010788610				
North American Industry Classification System (NAICS) Code	921190				
Congressional District of Subrecipient's Business Address	17				
Congressional District of Primary Service Area	N/A				

Subrecipient Name	Brazos Valley Council of Governments (BVCOG)	Parent Company Name, if applicable
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A	Counties: N/A
Total HOPWA Subcontract Amount of this Organization for the operating year	\$282,000	

Subrecipient Name	Dallas County Health and Human Services (DCHHS)	Parent Company Name, if applicable
Name and Title of Contact at Subrecipient	Karen Pettit	
Email Address	HIV_Grants@dallascounty.org	
Business Address	Dallas County Health and Human Services HIV Grants Management 2377 N. Stemmons Freeway, Suite 200	
City, State, Zip, County	Dallas	TX 75207 Dallas
Phone Number (with area code)	214-819-1841	Fax Number (include area code) 214-819-6023
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-6000905	
DUN & Bradstreet Number (DUNs):	073128597	
North American Industry Classification System (NAICS) Code	N/A	
Congressional District of Subrecipient's Business Address	26	
Congressional District of Primary Service Area	N/A	
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A	Counties: N/A
Total HOPWA Subcontract Amount of this Organization for the operating year	\$59,000	

Subrecipient Name	Houston Regional HIV/AIDS Resource Group (HRG)	Parent Company Name, if applicable
Name and Title of Contact at Subrecipient	Patrick Martin	
Email Address	pmartin@hivresourcegroup.org	
Business Address	500 Lovett Blvd., Ste 100	
City, State, Zip, County	Houston	TX 77006 Harris
Phone Number (with area code)	713-526-1016	Fax Number (include area code) 713-526-2369
Employer Identification Number (EIN) or Tax Identification Number (TIN)	760414232	
DUN & Bradstreet Number (DUNs):	876909847	
North American Industry Classification System (NAICS) Code	N/A	
Congressional District of Subrecipient's Business Address	7	
Congressional District of Primary Service Area	N/A	
City (ies) and County (ies) of Primary Service Area(s)	Cities : N/A	Counties: N/A
Total HOPWA Subcontract Amount of this	\$812,000	

Subrecipient Name	Houston Regional HIV/AIDS Resource Group (HRG)	Parent Company Name, if applicable
Organization for the operating year		

Subrecipient Name	Lubbock Regional MHMR	Parent Company Name, if applicable
Name and Title of Contact at Subrecipient	Marcella Ford	
Email Address	mford@lubbockmhmr.org	
Business Address	P.O. Box 2828	
City, State, Zip, County	Lubbock	TX 79408 Lubbock
Phone Number (with area code)	806-767-1621	Fax Number (include area code) 806-766-0250
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-1297691	
DUN & Bradstreet Number (DUNs):	098786460	
North American Industry Classification System (NAICS) Code	N/A	
Congressional District of Subrecipient's Business Address	19	
Congressional District of Primary Service Area	N/A	
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A	Counties: N/A
Total HOPWA Subcontract Amount of this Organization for the operating year	\$608,000	

Subrecipient Name	South Texas Development Council (STDC)	Parent Company Name, if applicable
Name and Title of Contact at Subrecipient	John Keiser	
Email Address	jrkeiser@stdc.cog.tx.us	
Business Address	1002 Dicky Lane	
City, State, Zip, County	Laredo	TX 78044 Laredo
Phone Number (with area code)	956-722-3995	Fax Number (include area code) 956-722-2670
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-1666921-0	
DUN & Bradstreet Number (DUNs):	062390661	
North American Industry Classification System (NAICS) Code	N/A	
Congressional District of Subrecipient's Business Address	28	
Congressional District of Primary Service Area	N/A	
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A	Counties: N/A
Total HOPWA Subcontract Amount of this Organization for the operating year	\$824,000	

Subrecipient Name	Tarrant County Health Department	Parent Company Name, if applicable Tarrant County
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Subrecipient Name	Tarrant County Health Department	Parent Company Name, if applicable Tarrant County		
Name and Title of Contact at Subrecipient	Margie Drake HIV Grants Manager			
Email Address	mdrake@tarrantcounty.com			
Business Address	1101 South Main Street, Suite 2500			
City, State, Zip, County	Ft. Worth	TX	76104	Tarrant
Phone Number (with area code)	(817) 321-4747		Fax Number (include area code) (817) 321-4737	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	75-6001170			
DUN & Bradstreet Number (DUNs):	068365220			
North American Industry Classification System (NAICS) Code	923120			
Congressional District of Subrecipient's Business Address	12			
Congressional District of Primary Service Area	N/A			
City (ies) and County (ies) of Primary Service Area(s)	Cities: N/A		Counties: N/A	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$181,000			

4. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

Situated within a comprehensive network of HIV care services, the Texas HOPWA Formula program addresses the unmet housing services needs of persons living with HIV (PLWH) and their families in Texas by providing housing assistance and supportive services to income-eligible individuals. These services are integrated with the larger Ryan White Program both in administration and service delivery, which in turn is integrated into the larger, multi-sectoral system for delivering treatment and care to these clients. The goals of the HOPWA program are to help low-income HIV-positive clients establish or maintain affordable and stable housing, to reduce the risk of homelessness, and to improve access to health care and supportive services. As of the end of 2010, 65,077 persons were known to be living with HIV in Texas* and housing is consistently cited as a service gap in every service area in Texas†. Additionally, a housing-specific goal of the National HIV/AIDS Strategy for the United States is to increase the percentage of Ryan White HIV/AIDS Program clients with permanent housing from 82 percent to 86 percent by 2015.‡

The Texas HOPWA Formula program is administered by the TB/HIV/STD and Viral Hepatitis Unit - HIV/STD Prevention and Care Services Branch of the Department of State Health Services (DSHS) and provides the following services:

Tenant-Based Rental Assistance (TBRA) program: The TBRA program provides tenant-based rental assistance to eligible individuals until they are able to secure other affordable and stable housing.

Short-Term Rent, Mortgage, and Utilities (STRMU) assistance program: The STRMU program provides short-term rent, mortgage, and utility payments to eligible individuals for a maximum of 21 weeks of assistance in a 52-week period.

Supportive Services (SS) program: The Supportive Services program provides case management, basic telephone service and assistance to purchase smoke detectors to eligible individuals.

Permanent Housing Placement Services (PHP): The PHP program provides assistance for housing placement costs which may include application fees, related credit checks, and reasonable security deposits necessary to move persons into permanent housing.

Areas of service coverage within jurisdiction: The HOPWA Formula program serves all 254 counties in Texas, which are represented by 26 HIV Service Delivery Areas (HSDA).

Grant Management: The DSHS HOPWA Project year is from February 1 through January 31. DSHS selects seven Administrative Agencies (AAs) across the state through a combination of competitive Requests for Proposal (RFP) and intergovernmental agency contracts. The AAs act as an administrative arm for DSHS, with DSHS oversight, by administering the HOPWA program locally. The AAs, in turn, select HOPWA Project Sponsors through local competitive processes. DSHS reserves up to 3% of the total HOPWA award for the administrative costs of DSHS. Project Sponsors are allowed up to 7% of their Project Sponsor allocation amount for administrative costs. The HIV/STD Prevention and Care Branch have a team of consultants and managers that are assigned to monitor the contract activities of the AAs. This monitoring involves periodic site and technical assistance visits by the consultants, and the submission of monthly billing reports and quarterly progress reports by the Project Sponsors and AAs. AAs monitor the Project Sponsors' HOPWA program activities and are required to comply with HUD regulations, the DSHS Program Manual, and their contractual Statement of Work. During the 2011 project year, the Tarrant County AA terminated the contract with AIDS Resources of Rural Texas (ARRT) and ARRT officially closed August 31, 2011. ARRT had been responsible for two HSDAs: the Abilene HSDA and the Weatherford/Fort Worth HSDA. Tarrant County executed new contracts with Big County AIDS Resources (BCAR) for the Abilene HSDA and AIDS Outreach Center (AOC) for the Weatherford/Fort Worth HSDA effective September 1, 2011 and in collaboration with DSHS, the AA successfully prevented interruption in TBRA assistance and supportive services to clients during the transition.

* <http://www.dshs.state.tx.us/hivstd/reports/default.shtm>, Texas HIV Surveillance Report

† 2008-2010 Texas Statement of Coordinated Need

‡ <http://www.whitehouse.gov/sites/default/files/uploads/NHAS.pdf>, July 2010

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

DSHS' 2011 HOPWA formula grant award was \$2,807,104, which is a decrease of \$11,398 compared to \$2,818,502 in 2010. In the State's 2011 One Year Action Plan (OYAP), DSHS proposed to serve 475 TBRA, 555 STRMU, and 10 PHP households with assistance, and to provide 1030 clients with supportive services. DSHS worked with the AAs to evaluate the allocation formula based on historical expenditure, performance data, and reported waiting lists. Funds were allocated to address the housing needs in areas with greater evidence of unmet need for HOPWA services. Additionally, funds are reallocated between HOPWA activities within HSDAs to meet changing needs during the project year.

In the budget submitted on the 2011 OYAP, DSHS reserved the 3% grantee administrative allowance of \$84,213. DSHS expended only \$27,650 in order to redirect funds to two AAs that advised DSHS that rent amounts, clients' emergency needs, and number of clients had unexpectedly increased. As a result, DSHS executed amendments with the AAs to allocate an additional \$20,000 each for two project sponsors that needed the funds to cover direct services for the remainder of the project year. This resulted in AA contracts totaling \$2,977,000 for the project year, which is an additional \$40,000 over the original contractual total of \$2,937,000.

At the end of the 2011 project year, approximately 78% (\$2,313,318 of the \$2,977,000 total contractual amount) was budgeted for direct housing assistance (TBRA and STRMU) as compared to 79% in 2010, and 96% of those funds allocated for direct housing was expended, compared to 97% in 2010. DSHS' contractual amounts exceed the award amount in order to spend down the previous year's balance. \$2,836,116 of the \$2,977,000 in total contractual amounts was expended (95%). The number of clients on waiting lists increased from the end of the 2010 project period due to several reasons discussed later in this report (e.g. increased rent, utilities, and deposit costs; many clients continuing on the TBRA program longer; closed waiting lists for Housing Choice Voucher (HCV) program, etc.). The total of unduplicated clients on waiting lists (TBRA and STRMU) at the end of the 2011 project year was 150 as compared to 77 at the end of the 2010 project year, but is still less 184 clients who were on waiting lists at the end of the 2009 project year. The majority of project sponsors (18 out of 26) did not have *any* clients on a waiting list. One project sponsor had only one client on a waiting list, two had two clients, one had four clients, one had nine, and the remaining three project sponsors had waiting lists in the double digits. It's important to note that these three Project Sponsors' HSDAs received more funding compared to other Project Sponsors under the AAs due to greater unmet need and large coverage areas, and that all three met or exceeded their individual TBRA and/or STRMU goals for the activity categories with waiting lists. Continued collaboration with the AAs and Project Sponsors to reduce these waiting lists will be a priority in 2012.

In the 2011 HOPWA project year, DSHS served 477 households with TBRA (100% of the 475 OYAP goal) and 506 households with STRMU assistance (91% of the 555 OYAP goal) for a total of 983 unduplicated households. Additionally, 17 households received PHP services (170% of the 10 OYAP goal). Of the total 983 households served with TBRA and STRMU plus the 17 households that received PHP assistance, 995 households also received HOPWA-funded Supportive Services (97% of the 1030 OYAP goal). DSHS' HOPWA program also supported an additional 1,103 family members residing with the HOPWA clients.

The Supportive Services' budget was \$479,108, and \$438,841 was expended (92%). Because housing case management is sometimes combined with medical case management, a significant amount of housing supportive services is leveraged from other funding. Please refer to Part 2: Sources of Leveraging and Program Income for detail.

As mentioned above, the PHP OYAP goal was 10 households and 17 were assisted, which is an achievement of 70% over the goal and this was accomplished expending 96% (\$5,610) of the \$5,846 PHP budget.

Project Sponsors are permitted to use up to 7% of their allocation for administrative services, which would equal \$208,390, but budgeted less than the 7% allowable and only expended \$166,603 (6%) in order to utilize more funds for direct services. Every Project Sponsor was in compliance with the 7% administrative limit

For Special Needs Clients, 33 clients categorized as chronically homeless were served in 2011 (12 chronically homeless clients were served in 2010 and 3 in 2009). Twenty-eight veterans were served in both the 2011 and 2010 project years compared to 36 served in 2009.

Housing Availability was once again the most significant reason cited as a barrier to meeting program services goals, followed by Housing Affordability and Criminal Justice History. Multiple Diagnosed Issues and Eligibility Issues were also frequently cited. There were several barriers categorized as "Other" which will be discussed in Part 1, 4c.: Barriers and Trends Overview.

In general, housing options are decreased by the absence of identification, proof of legal residency, credit history, and criminal history. Affordable housing continues to be an ongoing issue. Housing placement requires 2 ½ times income, the cost of living continues to rise (increases in rent, utilities, application fees, and security deposits) while clients' incomes do not change, may decrease, or clients do not have an income. The HCV program is not offered in some cities or in many of the small counties, or the waiting list has been closed to potential applicants, which results in cost-shifting to the HOPWA program.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

Outcomes Assessed

Client outcome goals for housing stability, reducing risks of homelessness, and improving access to care were achieved for 2011. In 2011, most HOPWA clients received housing case management (94%, up from 89% in 2010) and had a housing plan (97%, up from 88% in 2010), as reported in the HOPWA Outcomes on Access to Care and Support Chart. By the end of the 2011 HOPWA project year, 92% of TBRA (down 1% from 2010) and 96% of STRMU households (up 1% from 2010) were living in stable housing, both well above the 2010 national goal (80%). Both the quantitative and qualitative data show that HOPWA services do improve clients' access to supportive services and health care, and 2011 saw several outcome improvements from 2010 to 2011. Project Sponsors reported 91% of HOPWA clients had contact with a primary health care provider compared to 88% in 2010; 64% had medical insurance coverage or medical assistance compared to 60% in 2010; 75% maintained sources of income compared to 73% in 2010; but only 10% secured an income-producing job compared to 16% in 2010.

A major achievement was that more chronically homeless clients were assisted with housing in 2011 (33 clients assisted compared to 12 in 2010).

Project Sponsors continue to address long term goals with the clients and help them establish a financial plan that can assist them with maintaining their housing. One Project Sponsor reports that the “Single Point of Access” model for “wrap-around” care continues to show excellent results in assisting clients in maintaining medical care and drug adherence. This model provides assistance to clients living with HIV by providing medical, psychosocial and educational support services in a central location. This Project Sponsor continues to monitor medical appointment, medication, and/or treatment adherence for clients.

Other Project Sponsors also reported in their narratives that clients receiving housing assistance through the HOPWA program showed improved compliance with medication treatment, and adherence to medical and counseling appointments (although transportation and lack of proper documentation were frequently cited as barriers to adherence).

Barriers reported indicate declines in the ability to obtain or maintain medical insurance, maintain income, and especially obtain employment are partially due to a difficult economy in conjunction with rising costs of living (rent, deposits, utilities, food, transportation, etc.), high unemployment, no access to health insurance and/or decreased access to other supportive services such as the HCV program due to long or closed waiting lists, and in some cases, client non-compliance.

HOPWA expenditures per TBRA household averaged \$3,763 annually (\$3,809 in 2010) and \$822 per STRMU household annually (\$613 in 2010). The average spent per household with TBRA was only slightly down compared to 2010, but STRMU increased significantly (an average increase of \$209 per household).

Thirty-seven percent of TBRA households that exited at the end of the project year had received assistance for more than 12 months. Thirty-four percent of TBRA households that exited had received assistance for between seven and twelve months, which means 71% of households at exit had received assistance for more than 6 months. This is additional evidence that TBRA clients are dependent on HOPWA for extended periods of time and are unable to transition to other affordable and stable housing. Reports also show that 65% of TBRA clients continued into the following year, which remained fairly stable compared to last two year’s rate of about 70%.

Budget restraints continue to be a challenge for AA’s and Project Sponsors, but considerable efforts to find viable solutions, and the “do more with less” approach makes a significant impact on supporting HOPWA clients, improving access to care, and preventing homeless .

The following HOPWA Project Sponsor summaries and stories exemplify how the STRMU program helped clients in emergencies situations and/or how TBRA has helped clients:

A Project Sponsor reported that in the last quarter, 4 families were saved from mortgage foreclose, 15 families from being evicted, and 5 families were able to move to better and safer residence due to being helped with their second rent and utilities.

A Project Sponsor reported that the HOPWA program assisted a total of 36 households for the 2011 fiscal year. Of the 36 households, 33 received TBRA assistance and 3 received STRMU which assisted families in maintaining a safe, reliable place they could call home. Clients who have received HOPWA services are extremely grateful that such a program exists and have always expressed how much they would like to thank all those who are committed to providing housing assistance or medical care for persons living with HIV. It is a huge burden off their shoulders, which otherwise would have little to no means of having housing stability. The program has also assisted clients in securing permanent housing as we had one client who completed his nursing degree and required no further HOPWA assistance. Overall, the feedback that our clients provide us with is that of gratefulness which clients want us to express to all whom make the HOPWA program possible

A Project Sponsor reported that the HOPWA program was able to provide many clients with utility assistance when other agencies had ran out of funding. The high and unexpected utility bills were causing many clients to be in danger of disconnection.

A Project Sponsor reported that this year HOPWA has provided services to individuals that were newly diagnosed and recently discharged from the hospital, unemployed, and/or individuals whose fixed incomes were not sufficient to meet unforeseeable expenditures. Individuals that were not already in medical services (either HIV or primary care) were referred to available resources and they currently are active participants in their medical care.

Individual client success stories:

Client #1 - Client was homeless, living in a park, and had not been medically compliant for a number of years before being placed in HOPWA Program. She had a CD4 count of 1 and 1,120,000 viral load. Today she is medically compliant and medication adherent. Her CD4 is now 191 and her viral load is 24. She attends group sessions and individual counseling regularly.

Client #2 – Client received two months of assistance during employment transition and missing work. Being able to access assistance allowed him to maintain housing while seeking new employment.

Client #3 - Client came in requesting mortgage assistance. He was in default and was in the process of losing his home. Client's car had broken and he had used his mortgage for repairs, the car was needed for work. Client fell behind 3 months and could not pay enough towards mortgage to keep from going into default. With the assistance of HOPWA he is now current on mortgage payments.

Client #4 - Client was laid off from her job and did not have enough money saved to make her rent payment. Client was assisted with monthly rent payments while she looked for a job. Client subsequently found a new job and is now able to make monthly rent payments.

Client #5 – A newly diagnosed client was in the hospital for several weeks and unable to work and as a result, fell behind with her mortgage payments. HOPWA funds were used to help save the client's home from foreclosure.

Client #6 – Client has three children and HOPWA funds helped this family to maintain a stable and healthy living situation.

Client #7 – Client started with TBRA program and transitioned to the Housing Choice Voucher program and is now living independently without any housing assistance.

Client #8 - With HOPWA funding, the Project Sponsor was able to assist a client from being homeless due to loss of income. Client had no family or friends that could help out this situation and he was able to come to the HOPWA provider for assistance. With this assistance, client was able to stay in apartment and to locate employment in a few weeks, therefore only having to ask for assistance one month.

Client #9 - A client who lived alone and had been in the hospital and missed several days of work was not able to pay his rent. Client had already applied for STRMU and was approved. He requested assistance again and his rent was paid.

Client #10 - Client had an extremely high electric bill and was unable to pay mortgage. Client applied for STRMU, was approved, and the mortgage was paid.

Client #11 - Client's housing was jeopardized when her work hours were cut for a two month period. STRMU assistance prevented loss of utilities, allowing her to maintain her lease terms and housing.

Client #12 – TBRA assistance was able to provide a single mother and her three children a home.

Client #13 - HOPWA provided housing assistance to one individual that transferred care to this area. With assistance, the client was able to enter into medical care and gain employment.

Client #14 - HOPWA provided assistance to a client that was paroled to Texas and resided in temporary housing. He has gained employment and he and his family are now in stable housing.

Client #15 - HOPWA funds were used to help a client stay in his apartment while he was going through a separation from his wife. Client is receiving case management services and is on HIV medication.

The following HOPWA success stories show how the HOPWA program helped clients maintain housing where the clients experienced multiple personal issues that interfered with the clients' well-being and financial ability to maintain housing and access care.

Client #1 – Project Sponsor was finally successful in enrolling a client in TBRA who had originally presented as a homeless individual. The client did not become eligible for HOPWA assistance right away because he would not apply for PHA assistance and even after persuading him to apply for PHA assistance, there were issues with the landlord regarding the lease and W-9. His case manager was finally successful in getting the appropriate documentation from the landlord in place, but the client was non-compliant with scheduled meetings to complete the HOPWA application. This quarter, the client was unable to pay his rent and was facing eviction. The client followed through with HOPWA enrollment and was assisted initially with STRMU and moved to TBRA for long term assistance. This client has multiple issues that contributed to the difficulties in getting the assistance he needed; most notably, mental health and substance use issues. With HOPWA assistance, this client is now better able to maintain a stable housing situation and his case manager works with him effectively to assist him in maintaining eligibility.

Client #2 - A female client with meager financial resources was helped via HOPWA funds to move from a stressful living situation where she did child-care for a male friend, in exchange for a place to live. When the daughter (and mother of the children) was released from prison and moved into the residence with the group, the living situation became untenable for our client. The new HOPWA-assisted residence is near a female friend who knows the client's HIV status and is willing to help her cope with her disease. In this new, more relaxed situation, the friend helps with transportation and other practical aspects of daily living, while providing many of the emotional supports necessary for dealing with a growing number of medical issues experienced by the client.

Client #3 – A case managers worked with the local housing program to assist a client who was homeless. After the CM assisted the client with necessary paperwork, the housing program was able to help him locate a safe and stable apartment. They also assisted him by paying for his deposit and first months rent. The CM then worked with the client to get him enrolled into TBRA for subsequent months. Now that he has stable housing he has been able to better focus on his health care, go more regularly to medical appointments and take his medications. From July 2011, during his homelessness period his CD4 count was 125. The housing program assisted him with his rental deposit and rent effective November 2011. He began getting assistance with TBRA December 2011. His CD 4 has gone from 125 to 163 on November 2011, and from 163 to 203 in February 2012. Stable housing has certainly played a huge role in the improvements made in this client's health outcomes. Once the housing barrier was removed, this client's health has gotten progressively better.

Client #4 – Client was able to access STRMU after being released from prison. Because of his ability to access STRMU quickly, the client was able to continue his medical treatment and adhere to his medication regimen.

Client #5 – Due to HOPWA assistance, a client was able to avoid homelessness at the time of his return from successful abuse treatment. He has since accessed medical care and is adhering to all treatments.

Client #6 - TBRA program was able to provide one client who had been living with friends in a home where she has been able to remain clean from any substance use.

Client #7 - TBRA client's previous home that she, her children and grandchildren lived in was by all right uninhabitable. This client has multiple health issues including HIV and because of this, she lost her job due these illnesses. Now that we have this client on the HOPWA program this allows client, her children, and her grandchildren (of whom she has custody) to live in a clean, safe, and decent environment.

Client #8 - Client came seeking TBRA HOPWA for him and his wife. Client was living w/sister because he could not afford an apartment. The home they were living was very small. Client had all kinds of medical equipment for his dialysis. He stated he loved his sister very much for helping him and his wife, but his sister had several children and he could not rest. They would play with the equipment when he was not there, and were very noisy when he wanted to rest after treatments. He did not want to ask for assistance, but he was not able to get the rest doctors wanted him to get. He felt bad he had to tell his sister he wanted to get a place of his own. He came to his appointment and spoke w/HOPWA Specialist and was offered assistance. He was very happy he could get a place of his own, be able to afford it, and have the privacy he needed. He and his wife were very thankful for the help TBRA HOPWA assistance.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

On the state level, DSHS collaborates with the Texas Department of Housing and Community Affairs (TDHCA) to produce the HOPWA Action Plan for the state's Consolidated Plan for housing. Additionally, the DSHS HIV/STD Prevention and Care Services Branch competitively selects and contracts with seven AAs to administer the HOPWA formula program in Texas. AAs contract with the Project Sponsors for each HSDA under their jurisdiction to deliver the HOPWA services. AAs and Project Sponsors are part of an HIV care network supported with state formula funds and providers who provide a range of medical, psychosocial, and support services available to eligible individuals living with HIV. In each HSDA, Project Sponsors collaborate locally with these providers to assure that HOPWA clients have access to supportive services and health care. Additionally, Project Sponsors collaborate with local public housing programs in their areas to provide referrals to HOPWA clients to secure affordable and stable housing and to address local housing needs, such as the Housing Choice Voucher program, Shelter Plus Care, community health clinics and churches, and Ryan White and HIV Planning Councils.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

Program complexity was listed as a barrier and technical assistance on HOPWA regulations would be helpful. Technical assistance on how to address housing affordability and availability; client credit and criminal histories; guidance on undocumented residents/lack of identification; how to work with multiple-diagnosed and non-compliant clients; and how to work with re-entry populations in meeting their housing needs would greatly assist HOPWA Project Sponsors and clients as these are the most commonly reported issues HOPWA clients face in locating and maintaining stable and affordable housing. Lack of funding, and closed and/or long waiting lists for the HCV program are the main reasons clients are waitlisted and continuously dependent on HOPWA. Information on how to address these issues and locate alternative housing resources is strongly needed to assist HOPWA clients. How to collect and report leveraged funds is also another area of assistance frequently requested by Project Sponsors. Technical assistance on the new HOPWA CAPER and required data and charts would also be helpful as most of that information is not currently collected.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA Program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

HOPWA Regulations:

One of the biggest barriers DSHS encounters in administration of the HOPWA program is the increasing complexities of the program and reporting requirements, including the frequency of reporting requirement changes. Given that DSHS has a very minimal amount of funds reserved for program, it is difficult to continue to administer the HOPWA program as reporting becomes more complicated. Additionally, AAs do not receive any HOPWA funds to administer the HOPWA program and must leverage those dollars to perform administrative tasks including monitoring and supportive services. DSHS also leverages a significant amount of dollars to administer the HOPWA program so that more funds go to direct HOPWA services and more clients can receive assistance. Frequent changes to reporting requirements also present a recurring challenge to successfully automating reporting database systems. One solution would be to simplify the reporting and performance metrics to mirror other federal HIV programs’ reporting requirements and metrics, and also to limit changes. Another barrier is the short implementation timelines for those required changes, some of which become retro-active during the process due to greatly varied project years of grantees. Simplifying reporting requirements and performance metrics would also help resolve the issue of the limited amount of time given to prepare the CAPER, as reporting comes from Project Sponsors to AAs to DSHS and finally to TDHCA before submitting to HUD. Cumulatively, these issues are a tremendous administrative burden on DSHS, the AAs, Project Sponsors, and

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input checked="" type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input checked="" type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability			
		<input type="checkbox"/> Geography/Rural Access*	<input checked="" type="checkbox"/> Other, please explain further

* Please note that “Geography/Rural Access” is a new field on the CAPER so that is not a field on DSHS’ quarterly reports that are completed by the AAs and Project Sponsors.

TDHCA. Additionally, because reporting requirements change on the CAPER and in IDIS, DSHS systems and reports have to be modified to meet the new requirements which affect not only DSHS, but also the AAs and Project Sponsors. Furthermore, the IDIS upgrade has created duplicate work that didn't exist before for activity reallocations. Previously, activities were set up under the Projects/Activities tab and did not require funding or goals data and then funding for those activities were loaded separately under the Funding/Drawdown tab. With the upgrade, funding, goals and eventually actual goals met (for households served *and* leveraged) have to be entered for each activity (and DSHS has over 100 activities) under the Projects/Activities tab and then funding is *also* entered under the Funding/Drawdown tab. When a reallocation occurs, that data has to be updated under both tabs so is duplication of work and very time-consuming.

Another regulatory barrier is that STRMU benefits do not apply to those whom are already homeless.

Housing Availability/Housing Affordability/HOPWA Regulations:

Program complexity is listed as a barrier and the HCV program was also frequently listed as an issue. One Project Sponsor discusses barriers: "Encountering barriers in trying to link clients to needed services is commonplace for case managers in the social services field, especially case managers working with terminally ill people. HOPWA has always been the program here we have endured many issues that could have impeded someone from being linked to a housing service, but we have typically overcome such matters. We did encounter some barriers this final quarter period that underscored the value of having resourceful staff aid clients in circumventing barriers to help them access stable housing. In one such instance, a middle-aged HIV-infected female with small dependents applied for HOPWA services some time ago, but unfortunately the house of her choice was in poor condition and did not pass our inspections. It was an old one room apartment that had no private restroom; it did not have secure windows and doors, or a fire alarm. Overall, the sanitary conditions were poor. The landlord was not willing to do any remodeling to have it meet the minimum standards. The program's recommendation was for the client to look for a safer place to live. She was instructed to find a location where her health would not be compromised and where she will be able to perform her daily living activities in a sanitary condition. In February, the client notified the clinic that she had intentions to apply again for HOPWA services. She has moved to a new, more suitable home. The inspection was scheduled for early March. During the first visit, it was observed that the house was in better shape than the first one selected by the client. In addition, the landlord was willing to make some minor alterations to the home to make sure it met program standards. Soon after, the client and her partner were able to pay the deposit and the first two months rent and were able to move in, thus securing more stable housing through our HOPWA component. Our program even contributed to their new home by providing the client with a smoke detector for their home. Another issue we have encountered with HOPWA has involved our inability to use our STRMU monies to pay for utility assistance. The city is a huge entity with many levels of bureaucratic processes where we have not been able to successfully set up systems to pay for such services, namely because we cannot establish individual program contracts with utility companies to make these payments for clients and we do not want to issue third-party checks to clients to pay for their bills. However, one of our staff members have recently met with the city's billing administrators to iron out a system to enable us to do so and we are going to fully implement this type of assistance for clients for the 2012-2013 contract period."

Many complexes are now asking for three times the rent amount in income to qualify which has created a greater barrier than in the past. Some solutions are to attempt to establish relationships with local housing providers to provide arrangements that include waiving deposits, application fees, credit histories, etc. Project Sponsors have encountered problems in maintaining these relationships as clients who have been placed have broken leases, trashed apartments, etc. Project Sponsors also work with clients to increase income but it's difficult in this challenging economy with so many unemployed people also looking for jobs. Even if clients can find jobs, many are minimum wage and as the cost of living continues to increase, many clients (even with jobs that pay above minimum wage) are unable to make ends meet.

Rents have become elevated due to increased demand on housing from oil and gas employees in certain geographical areas.

Clients who do not have a secured income do not have access to the same Housing Availability as those who do. Housing complexes are now requiring a secure income in order to offer leases. Also, some apartments are not meeting the requirement for inspection and safety concerns

Eligibility Issues:

Eligibility continues to be a problem for transition to other programs as the HCV (Section 8) program is continuously closed and not taking applications, or there is a long wait list, and other local programs have had funding reduced or lost funding and have closed altogether.

Credit/Criminal History:

Clients tend to have poor credit history which affects housing status and many apartment complexes do background checks and exclude applicants with a criminal history. Working with individual landlords and complexes can be difficult. Clients who have committed felonies cannot apply for HCV program housing.

Multiple Diagnosed Issues:

Clients with multiple diagnosed issues face multiple barriers when trying to access suitable housing. Throughout the contract year, one Project Sponsor has worked with several clients with multiple diagnosed issues including mental health, substance use, criminal justice histories, and eligibility issues that have made housing the clients difficult, if not impossible. One client has a criminal history that would prohibit him from qualifying for PHA assistance and also makes it difficult for him to pass a background check for most apartments. This individual also has mental health and substance use issues that make assistance difficult. This client has not been chronically homeless because he has been able to find friends who are willing to house him either on a temporary or on a longer term basis. Another client was homeless when he came into services and was initially assisted by APS on a temporary basis however; due to MH issues, would not apply for PHA assistance. This client has been able to maintain some level of housing by renting rooms and/or finding friends to take him in but client is also difficult to place because of a lack of ID. The client has no valid ID and uses a copy of an expired non-Texas license as his only form of ID. And most apartments will not accept this as a valid ID. APS has been involved with this individual on at least two occasions somewhat successfully. This individual has been chronically homeless and has a distrust of most people based on that and MH issues. Clients with multiple diagnosed issues require more intense medical and housing case management.

Planning:

Budgets have decreased since last year and costs of living continue to rise, resulting in a lesser number of households that can be assisted.

Other:

AAs and Project Sponsors have experienced a lot of staff changes this project year (staff leaving or promoted or moved to a different area).

Understanding of housing laws, leases, applications, which is partly due to reading and comprehension skills and abilities, is an issue.

Client compliance with HOPWA and PHA requirements have been a recurring issue throughout the contract year. Several clients have failed to either maintain current PHA applications and/or fail to keep appointments to qualify for assistance when they are contacted by PHA. Reasons for non compliance through the year include mental health, substance use, transportation, and failure to accept PHA Low Rent Assistance because of desire to wait for Section 8 assistance

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

The HCV programs in some areas are not accepting new applications, rarely approving old applications, have long wait lists, or the program is not offered in some of the rural areas. As a result, clients remain longer on HOPWA which impedes progress on enrolling new clients when funding is limited.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

N/A.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. **Note:** Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area’s Unmet Needs for HOPWA-eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	150
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	98
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	52
<ul style="list-style-type: none"> • Assistance with rental costs • Assistance with mortgage payments • Assistance with utility costs. 	<p>N/A – This information is not collected as it is new on the CAPER</p> <p>N/A – This information is not collected as it is new on the CAPER</p> <p>N/A – This information is not collected as it is new on the CAPER</p>
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	N/A

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

<input type="checkbox"/> = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
<input type="checkbox"/> = Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
<input type="checkbox"/> = Data from client information provided in Homeless Management Information Systems (HMIS)
<input checked="" type="checkbox"/> = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
<input type="checkbox"/> = Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
<input type="checkbox"/> = Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
<input type="checkbox"/> = Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

NOTE: Be sure to report on the number of households supported with these leveraged funds as in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	\$12,158	N/A*	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	\$310,966		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program	\$6,875		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
State HIV Services	\$9,095		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
State HIV Services	\$68,201		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Direct HOPWA (non-state/DSHS)	\$24,961		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support

* DSHS does not currently request detail about the type of leveraged contribution (e.g. case management services, clothing donations, etc.). This is new on the CAPER. DSHS requests: Sources of Leveraging by two general program activities: 1) Housing Assistance, and 2) Supportive Services, Permanent Housing Placement, and other non-direct housing

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Foundations/Other Private Cash Resources	\$1,551		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Foundations/Other Private Cash Resources	\$11,328		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$326,955		
TOTAL (Sum of all Rows)	\$772,090		

Please Note: DSHS also collects leveraged dollars AAs expended on administrative costs because AAs do not receive any HOPWA funding to administer the HOPWA program. For 2011, AAs reported a total of \$112,651 leveraged for HOPWA administrative costs, down from \$150,078 reported for 2010 and \$182,232 reported for 2009. In addition, in 2010, DSHS leveraged approximately \$115,355 (down from \$205,879 in 2009) of federal and state funds to provide administration at the state level. DSHS endeavors to direct as much grant funds as possible to HOPWA direct services.

2. Program Income and Resident Rent Payments

In Section 2, Chart A, report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year by Activity Type

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	
2.	Resident Rent Payments made directly to HOPWA Program	
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	\$200*

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

* This is a new break-down of information CAPER requirement. DSHS currently requests leveraged dollars and program income for three categories only (Housing Assistance, Supportive Services, or Administration) and does not require additional itemization.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	
3.	Total Program Income Expended (sum of Rows 1 and 2)	

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual	[1] Output: Households				[2] Output: Funding	
	HOPWA Assistance		Leveraged Households		HOPWA Funds	
	a.	b.	c.	d.	e.	f.
	Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance						
[1] Output: Households						
[2] Output: Funding						
1. Tenant-Based Rental Assistance	475	477		68	\$1,888,969	\$1,810,060
2a. Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	N/A	N/A				
2b. Transitional/Short-term Facilities: Received Operating Subsidies (Households Served)	N/A	N/A				
3a. Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)	N/A	N/A				
3b. Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)	N/A	N/A				
4. Short-Term Rent, Mortgage and Utility Assistance	555	506		73	\$424,349	\$415,001
5. Permanent Housing Placement Services	10	17			\$5,846	\$5,610
6. Adjustments for duplication (subtract)	0	0		0		
7. Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Line 6; Columns e. and f. equal the sum of Rows 1-5)	1040	1000		141	\$2,319,164	2,230,671
Housing Development (Construction and Stewardship of facility based housing)						
[1] Output: Housing Units						
[2] Output: Funding						
8. Facility-based units; Capital Development Projects not yet opened (Housing Units)	N/A	N/A				
9. Stewardship Units subject to 3 or 10 year use agreements	N/A	N/A				
10. Total Housing Developed (Sum of Rows 8 & 9)	N/A	N/A				
Supportive Services						
[1] Output: Households						
[2] Output: Funding						
11a. Supportive Services provided by project sponsors that also delivered HOPWA housing subsidy assistance	1030	995			\$479,108	\$438,841
11b. Supportive Services provided by project sponsors that only provided supportive services.	N/A	N/A				
12. Adjustment for duplication (subtract)	0	0				
13. Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a & b minus Row 12; Columns e. and f. equal the sum of Rows 11a. and 11b.)	1030	995			\$479,108	\$438,841
Housing Information Services						
[1] Output: Households						
[2] Output: Funding						
14. Housing Information Services	N/A	N/A				
15. Total Housing Information Services						

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
17.	Resource Identification to establish, coordinate and develop housing assistance resources					N/A	N/A
18.	Technical Assistance (if approved in grant agreement)					N/A	N/A
19.	Grantee Administration (maximum 3% of total HOPWA grant)					\$84,213	\$27,650
20.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$178,728	\$166,603
21.	Total Grant Administration and Other Activities (Sum of Rows 17 - 20)						
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
22.	Total Expenditures for program year (Sum of Rows 6, 9, 12, 16, and 21)					\$3,061,213	\$2,863,765

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Note: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 12.

Supportive Services		[1] Output: Number of Households	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	995	\$438,841
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	995	
16.	Adjustment for Duplication (subtract)	0	

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	995	\$438,841

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., and f. equals the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u>	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Short-term mortgage, rent and/or utility (STRMU) assistance	506*	\$415,001
b.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage costs ONLY.		
c.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage and utility costs.		
d.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental costs ONLY		
e.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental and utility costs.		
f.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with utility costs ONLY.		

End of PART 3

* This is the total number of unduplicated clients that received STRMU assistance. This is a new chart on the CAPER. DSHS does not require Project Sponsors to report STRMU data at this level of detail.

Part 4: Summary of Performance Outcomes

In column 1, report the total number of eligible households that received HOPWA housing subsidy assistance, by type.

In column 2, enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column 3, report the housing status of all households that exited the program.

Data Check: The sum of Columns 2 (Number of Households Continuing) and 3 (Exited Households) equals the total reported in Column 1

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

	[1] Output: Total Number of Households	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	477	311	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	8	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	101	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	3	
			5 Other Subsidy	13	
			6 Institution	2	<i>Unstable Arrangements</i>
			7 Jail/Prison	7	
			8 Disconnected/Unknown	21	
			9 Death	11	<i>Life Event</i>
Permanent Supportive Housing Facilities/ Units	N/A	N/A	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>

A. Transitional Housing Assistance

	[1] Output: Total Number of Households	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year		[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
		Total number of households that will continue in residences:	N/A	1 Emergency Shelter/Streets		
Transitional/ Short-Term Facilities/ Units	N/A	N/A	N/A	2 Temporary Housing		Unstable Arrangements
				3 Private Housing		Stable/Permanent Housing (PH)
				4 Other HOPWA		
				5 Other Subsidy		
				6 Institution		
				7 Jail/Prison		Unstable Arrangements
				8 Disconnected/unknown		
				9 Death		Life Event
				B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months		

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column 1.

In Column 2, identify the outcomes of the households reported in Column 1 either at the time that they were known to have left the STRMU program or through the project sponsor’s best assessment for stability at the end of the operating year.

In Column 3 provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The sum of Column 2 should equal the number of households reported in Column 1.

Assessment of Households that received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
506	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	110	Stable/Permanent Housing (PH)
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	25	
	Other HOPWA Housing Subsidy Assistance	44	
	Other Housing Subsidy (PH)	10	
	Institution <i>(e.g. residential and long-term care)</i>	1	

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
	Likely that additional STRMU is needed to maintain current housing arrangements	280	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	3	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	11	
	Emergency Shelter/street	2	<i>Unstable Arrangements</i>
	Jail/Prison	5	
	Disconnected	8	
	Death	7	<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year. <i>(e.g. households that received STRMU assistance in two consecutive operating years)</i>	286		
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years <i>(e.g. households that received STRMU assistance in three consecutive operating years)</i>	151		

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below..

Total Number of Households		
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:		
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing		1000
b. Case Management		995
c. Adjustment for duplication (subtraction)		995
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)		1000
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:		
a. HOPWA Case Management		
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance		

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1e. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2d., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 1c. and 1d.

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	950		Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan.	924		Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan.	892		Access to Health Care
4. Accessed and maintained medical insurance/assistance.	630		Access to Health Care
5. Successfully accessed or maintained qualification for sources of income.	735		Sources of Income

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
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Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1e. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2d., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA Job training, employment assistance, education or related case management/counseling services.

Note: This includes jobs created by this project sponsor or obtained outside this agency.

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	103	

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6=#)	Temporary Housing (2)	Unstable Arrangements (1+7+8=#)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	430	8	28	11
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	190	294	15	7
Total HOPWA Housing Subsidy Assistance	620	302	43	18

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

N/A for Texas DSHS

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of "Stewardship Units"

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list. <input type="checkbox"/> Not confidential; information can be made available to the public.
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address.	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i>	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities
A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Master Leased Units ONLY)

Note: Do not include in this section any individuals, beneficiaries, or households who received Supportive Services.

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	983

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Note: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing assistance reported in Chart a. above.

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	557
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	1
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	0
4.	Transitional housing for homeless persons	6
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	7
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	0
7.	Psychiatric hospital or other psychiatric facility	0
8.	Substance abuse treatment facility or detox center	2

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
9.	Hospital (non-psychiatric facility)	2
10.	Foster care home or foster care group home	2
11.	Jail, prison or juvenile detention facility	3
12.	Rented room, apartment, or house	331
13.	House you own	57
14.	Staying or living in someone else's (family and friends) room, apartment, or house	20
15.	Hotel or motel paid for without emergency shelter voucher	1
16.	Other	1
17.	Don't Know or Refused	0
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	983

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	28	33

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals). **Note:** See definition of "HOPWA Eligible Person".

Note: See definition of Transgender **Note:** See definition of Benfiaries.

Note: The sum of each of the Charts b. & c. equal the total number of beneficiaries served with HOPWA housing subsidy assistance, in Chart a., Row 3.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (should equal the number of HOPWA Eligible Individuals reported in Part 7A,Section 1,Chart a.)	983
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance.	N/A
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	N/A
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	983 individuals with HIV who received HOPWA assistance (TBRA/STRMU) + 1103 other persons living with these individuals = 2086 total beneficiaries ⁹

⁹ DSHS does not require that Project Sponsors report number of HIV-positive persons and non-HIV-positive persons residing with the client on the quarterly reports. This is new on the CAPER.

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11 equals the total number of beneficiaries reported in Chart a., Row 4.

HOPWA Eligible Individuals						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL* (Sum of Columns A-D)
1.	Under 18	340	343			683
2.	18 to 30 years	148	167			315
3.	31 to 50 years	457	310			767
4.	51 years and Older	199	122			321
5.	Subtotal (Sum of Rows 1-4)	1,144	942			2086
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL* (Sum of Columns A-D)
6.	Under 18					
7.	18 to 30 years					
8.	31 to 50 years					
9.	51 years and Older					
10.	Subtotal (Sum of Rows 6-9)					
Total Beneficiaries						
11.	TOTAL* (Sum of Row 5 & 10)	N/A ¹⁰	N/A	N/A	N/A	N/A

10 This is new on the CAPER. DSHS currently requires Project Sponsors to only provide the total of TBRA and STRMU clients by age ranges and number of males and females within those age ranges. DSHS does not require separate demographics for HOPWA-eligible individuals and for persons residing with those individuals nor any transgender demographics.

c. Race and Ethnicity*

In Chart c, indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Also identified as Hispanic or Latino	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Also identified as Hispanic or Latino
1.	American Indian/Alaskan Native	3	1		
2.	Asian	22			
3.	Black/African American	599	12		
4.	Native Hawaiian/Other Pacific Islander	0			
5.	White	1438	929		
6.	American Indian/Alaskan Native & White	5	3		
7.	Asian & White	0			
8.	Black/African American & White	7	2		
9.	American Indian/Alaskan Native & Black/African American	0	1		
10.	Other Multi-Racial	12	4		
11.	Column Totals (Sum of Rows 1-10)	2086	952	N/A ¹¹	N/A

Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA eligible individuals reported in Part 3A, Section 2, Chart a, Row 4.

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income.

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 6 and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	542
2.	31-50% of area median income (very low)	270
3.	51-80% of area median income (low)	171
4.	Total (Sum of Rows 1-3)	983

¹¹ This is new on the CAPER. DSHS does not currently require Project Sponsors to report race separately for HOPWA-eligible individuals and for persons living with those individuals.

Part 7: Summary Overview of Grant Activities
B. Facility-Based Housing Assistance

N/A for Texas DSHS

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Complete Charts 2a., Project Site Information, and 2b., Type of Capital Development Project Units, for all Development Projects, including facilities that were past development projects that continue to receive HOPWA operating dollars.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY) .

1. Project Sponsor Agency Name (Required)

2. Capital Development

2a. Project Site Information for Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs)

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: Date Completed:
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = Total Units =

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.

2b. Number and Type of Capital Development Project Units (For Capital Development Projects only)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria.

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible	Years of affordability (IN YEARS)
Rental units constructed (new) and/or acquired <u>with</u> or <u>without</u> rehab					
Rental units rehabbed					
Homeownership units constructed (if approved)					

3. Units assisted in types of housing facility/units leased by sponsor

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type of facility and number of units in it.

Indicate the type and number of housing units in the facility, including master leased units or other scattered site units leased by the organization, categorized by the number of bedrooms per unit. *Note: The number units may not equal the total number of households served.* **Please complete separate charts for each housing facility assisted.**

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor	Total Number of <u>Units</u> Operated in the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						
b. Community residence						
c. Project-based rental assistance units or leased units						
d. Other housing facility. Specify:						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor on subsidies for housing involving the use of facilities, master leased units, or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement). Specify:		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (a. through d. minus e.)		

PART III: Citizen Participation

CITIZEN PARTICIPATION

PUBLIC COMMENT PROCESS

In an effort to fully include the citizens of Texas in the Consolidated Planning process, the Department designated a public comment period for this Plan that lasted 15 days. The comment period began on Friday, March 31, 2012, and ended at 5:00 pm on Friday, April 13, 2012. An announcement of the public comment period was posted in the March 23, 2012 edition of the *Texas Register* and on TDHCA's website. Copies of the draft were available online at www.tdhca.state.tx.us and, if requested, in writing.

A Public Hearing was held Tuesday, April 3, 2012 at 12 noon at TDHCA, 221 E. 11th Street, Rm #116. This hearing gave the public an opportunity to comment on the CAPER which evaluates the performance of the past program year for four HUD programs: the Community Development Block Grant Program (CDBG), the Emergency Shelter Grants Program (ESGP), HOME Investment Partnerships program (HOME), and the Housing Opportunities for Persons with AIDS Program (HOPWA). No one attended the public hearing and no comment was received from the public. The CAPER is part of the overall requirements governing the State's consolidated planning process (24 CFR 91.520).