

AUDIT AND FINANCE COMMITTEE MEETING BOOK OF DECEMBER 14, 2017



Sharon Thomason, Chair
Paul Braden, Member
Asusena Reséndiz, Member
Leo Vasquez, III, Member

**TEXAS DEPARTMENT OF HOUSING AND COMMUNITY AFFAIRS
AUDIT AND FINANCE COMMITTEE MEETING**

**AGENDA
7:30 AM
DECEMBER 14, 2017**

**JOHN H. REAGAN BUILDING
JHR 140, 105 W 15TH STREET
AUSTIN, TEXAS 78701**

CALL TO ORDER, ROLL CALL	Sharon Thomason, Chair
CERTIFICATION OF QUORUM	Sharon Thomason, Chair

The Audit and Finance Committee of the Governing Board of the Texas Department of Housing and Community Affairs will meet to consider and may act on any of the following:

ACTION ITEMS:

ITEM 1: Presentation, discussion, and possible action to Approve the Audit Committee Minutes Summary for September 7, 2017	Mark Scott Director of Internal Audit
ITEM 2: Presentation, discussion, and possible action to accept the External Peer Review of the Internal Audit Division	Mark Scott Director of Internal Audit
ITEM 3: Presentation, discussion, and possible action to accept the report on the Draft Computation of Housing Finance Division Total and Unencumbered Fund Balances and Transfers to the Housing Trust Fund	Ernie Palacios Director of Financial Administration

REPORT ITEMS:

1. Presentation and discussion of the Internal Audit: Review of the Contract for Deed Conversion Program	Mark Scott Director of Internal Audit
2. Discussion of Recent External Audit Activity	Mark Scott Director of Internal Audit
3. Presentation and discussion of the 2018 Operating Budget filed with the Legislative Budget Board ("LBB") and the Governor's Office of Budget, Planning and Policy ("GOBPP")	Ernie Palacios Director of Financial Administration

PUBLIC COMMENT ON MATTERS OTHER THAN ITEMS FOR WHICH THERE WERE POSTED AGENDA ITEMS.

EXECUTIVE SESSION

The Committee may go into Executive Session (close its meeting to the public) on any agenda item if appropriate and authorized by the Open Meetings Act, Tex. Gov't Code, Chapter 551 and under Tex. Gov't Code, §2306.039.	
1. Pursuant to Tex. Gov't Code, §551.074 the Audit Committee may go into Executive Session for the purposes of discussing personnel matters including to deliberate the appointment, employment, evaluation, reassignment, duties, discipline, or dismissal of a public officer or employee.	
2. Pursuant to Tex. Gov't Code, §551.071(1) the Committee may go into executive session to seek the advice of its attorney about pending or contemplated litigation or a settlement offer.	

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| 3. Pursuant to Tex. Gov't Code, §551.071(2) the Committee may go into executive session for the purpose of seeking the advice of its attorney about a matter in which the duty of the attorney to the governmental body under the Texas Disciplinary Rules of Professional Conduct of the State Bar of Texas clearly conflicts with Tex. Gov't Code, Chapter 551. |
| 4. Pursuant to Tex. Gov't Code, §2306.039(c) the Committee may go into executive session to receive reports from the Department's internal auditor, fraud prevention coordinator, or ethics advisor regarding issues related to fraud, waste or abuse. |

OPEN SESSION

If there is an Executive Session, the Committee will reconvene in Open Session and may take action on any items taken up in Executive Session. Except as specifically authorized by applicable law, the Audit Committee may not take any actions in Executive Session.

ADJOURN

To access this agenda and details on each agenda item in the board book, please visit our website at www.tdhca.state.tx.us or contact Mark Scott, TDHCA Internal Audit Director, 221 East 11th Street Austin, Texas 78701-2410, 512.475-3813 and request the information.

Individuals who require auxiliary aids, services or sign language interpreters for this meeting should contact Terri Roeber, ADA Responsible Employee, at 512-475-3959 or Relay Texas at 1-800-735-2989, at least three (3) days before the meeting so that appropriate arrangements can be made.

Non-English speaking individuals who require interpreters for this meeting should contact Elena Peinado, 512-475-3814, at least three (3) days before the meeting so that appropriate arrangements can be made.

Personas que hablan español y requieren un intérprete, favor de llamar a Elena Peinado, al siguiente número 512-475-3814 por lo menos tres días antes de la junta para hacer los preparativos apropiados.

NOTICE AS TO HANDGUN PROHIBITION DURING THE OPEN MEETING OF A GOVERNMENTAL ENTITY IN THIS ROOM ON THIS DATE:

Pursuant to Section 30.06, Penal Code (trespass by license holder with a concealed handgun), a person licensed under Subchapter H, Chapter 411, Government Code (handgun licensing law), may not enter this property with a concealed handgun.

De acuerdo con la sección 30.06 del código penal (ingreso sin autorización de un titular de una licencia con una pistola oculta), una persona con licencia según el subcapítulo h, capítulo 411, código del gobierno (ley sobre licencias para portar pistolas), no puede ingresar a esta propiedad con una pistola oculta.

Pursuant to Section 30.07, Penal Code (trespass by license holder with an openly carried handgun), a person licensed under Subchapter H, Chapter 411, Government Code (handgun licensing law), may not enter this property with a handgun that is carried openly.

De acuerdo con la sección 30.07 del código penal (ingreso sin autorización de un titular de una licencia con una pistola a la vista), una persona con licencia según el subcapítulo h, capítulo 411, código del gobierno (ley sobre licencias para portar pistolas), no puede ingresar a esta propiedad con una pistola a la vista.

NONE OF THESE RESTRICTIONS EXTEND BEYOND THIS ROOM ON THIS DATE AND DURING THE MEETING OF THE AUDIT COMMITTEE OF THE TEXAS DEPARTMENT OF HOUSING AND COMMUNITY AFFAIRS.

ACTION ITEMS

1

**MINUTES OF THE AUDIT COMMITTEE
OF THE GOVERNING BOARD OF THE
TEXAS DEPARTMENT OF HOUSING AND COMMUNITY AFFAIRS**

On Thursday, September 7th, 2017, at 8:00 a.m. the meeting of the Audit and Finance Committee (the “Committee”) of the Governing Board (the “Board”) of the Texas Department of Housing and Community Affairs (“TDHCA” or the “Department”) was held in the John H. Reagan Building, Room JHR 140, at 105 W 15th street, Austin, Texas. Susan Thomason presided over the meeting, and Mark Scott served as secretary. Committee members Susan Thomason, Paul A. Braden, Asusena Resendiz, and Leo Vasquez were in attendance and represented a quorum for the committee meeting.

The first action item on the agenda was approval of the minutes of the June 29th, 2017 meeting of the Committee. Minutes were adopted as presented, and were approved .

The second action item, The Internal Audit work plan, was presented by Mark Scott. Mr. Scott described the requirements under the Texas Internal Auditing Act and Standards; as well as the risk assessment process involved in preparing the annual audit plan. He said that in addition to the new FY 2018 plan items, we have one carryover project from the FY2017 audit plan. The audit plan also includes time allocated to consulting projects, administrative projects, and completing the Peer Review. Mr. Scott then asked the committee to recommend approval of the FY2018 Internal Audit plan to the full board. It was motioned by Mr. Braden and seconded by Mr. Vasquez.

Mr. Vasquez asked about latest updates to the matrix used for risk assessment. Mr. Scott explained that the matrix is tweaked each year as needed. The internal audit division started using the matrix in 2016. The process includes input from management; as well as concerns expressed during the legislative process.

The first report item: Internal Audit of Information Systems; Mr. Scott said the audit of IS was a base audit and can be used as a reference document for management as well as Internal Audit for future audits. We looked at the overall IS system and included the major areas of IS operations which are network administration, programming and security. We made a recommendation in the governance section to “build in” security by testing it in the course of development and management has agreed. The next recommendation was for increased training for users. Management agreed with this recommendation as well.

Other sections of this audit included review of funding and staffing, designated responsibilities of DIR and TDHCA, and TDHCA’s compliance with the Texas Administrative Code (TAC). We included two exhibits to illustrate the information we reviewed. Overall we found that TDHCA is in good shape in regard to security controls, and the audit recommendations will enhance them. Mr. Scott asked the Committee members for any questions they may have.

With no questions Mr. Scot moved to the discussion of the status of the 2017 audit plan. In FY 2017 the division completed the following audits; Compliance Monitoring, Low Income Housing

Tax Credit program, and Information Systems, which were all large audits. The audit of Contract for Deed Conversion program is currently underway and should be a relatively quick audit.

On the external audit side, the two recent audits were the Comptroller's post-payment audit and the HUD audit of compliance with the Davis-Bacon Act; which both had positive results. In addition the agency goes through regular statewide audits which are with KPMG and the State Auditor's office. These two audits have been going well too.

Mrs. Thomason moved to the last item on the agenda which is update on the status of the Peer Review.

Mr. Scott said that we've contracted with an outside firm located in Houston to perform our Peer Review. We had several conference calls so far and provided them with documents that they've requested. So far all the conversations and reviews have been positive. The only minor delay has been due to the storm in Houston (hurricane Harvey) that has delayed their review slightly, but they've assured us that they'll be able to get back on the review very soon. This is as of our conversation with them yesterday.

With that Mr. Scott concluded his presentation and offered to answer any questions that the Committee members may have. With no questions and no other items to discuss Mrs. Thomason concluded the meeting at 8:11 am.

(The meeting was adjourned at 8:11 am)

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TEXAS DEPARTMENT OF HOUSING AND COMMUNITY AFFAIRS

INTERNAL AUDIT QUALITY ASSESSMENT REVIEW

OCTOBER 27, 2017



8550 United Plaza Blvd., Ste. 1001 – Baton
Rouge, LA 70809
225-922-4600 Phone – 225-922-4611 Fax –
pncpa.com

A Professional Accounting Corporation

October 27, 2017

Mr. Mark Scott
Texas Department of Housing and Community Affairs
221 E. 11th Street
Austin, TX 78701

Dear Mr. Scott,

As presented in the enclosed report, Postlethwaite & Netterville, APAC (P&N) has completed our internal audit quality assessment review for Texas Department of Housing and Community Affairs (TDHCA) for the period of January 1, 2016 through September 30, 2017. On the pages that follow, this report provides a summary of the observations noted during our engagement.

P&N appreciates the cooperation and assistance provided by your personnel during this engagement. We sincerely appreciate this opportunity to be of service to you. Please do not hesitate to contact us if you have any questions related to this report or any other matters.

Sincerely,

Postlethwaite & Netterville, APAC

POSTLETHWAITE & NETTERVILLE, APAC

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Executive Summary

The International Standards for the Professional Practice of Internal Auditing (*Standards*) requires that an external quality assessment (QA) of an internal audit activity must be conducted at least once every five years by a qualified, independent assessor or assessment team from outside the organization. The qualified assessor or assessment team must demonstrate competence in both the professional practice of internal auditing and the QA process. The QA can be accomplished through a full external assessment or a self-assessment with independent validation.

Postlethwaite & Netterville, APAC (P&N) is pleased to have provided the Texas Department of Housing and Community Affairs (TDHCA) with a full external QA of its Internal Audit Department for the period of January 1, 2016 through September 30, 2017.

The Internal Audit department at TDHCA reports functionally to the Audit and Finance Committee and administratively to the Executive Director. The Internal Audit Department is comprised of three members, Mark Scott - Director of Internal Audit and two staff members. The department provides an independent, objective appraisal of the agency's various programs, functions, activities and systems of control.

OPINION AS TO CONFORMANCE WITH THE STANDARDS AND CODE OF ETHICS

It is our overall opinion that the Internal Audit Department at TDHCA generally conforms to the IIA's *Standards* and the Code of Ethics for the period of January 1, 2016 through September 30, 2017. There were no opportunities for improvement identified that would be considered reportable items. A detailed list of conformance with individual standards and the Code of Ethics is shown in attachment A.

The IIA's Quality Assessment Manual for the Internal Audit Activity suggests a scale of three rankings when opining on the internal audit activity: "Generally Conforms," "Partially Conforms," and "Does Not Conform." The ranking of "Generally Conforms" means that an internal audit activity has a charter, policies, and processes that are judged to be in conformance with the *Standards* and the Code of Ethics. A detailed description of conformance criteria can be found in attachment A.

Objectives, Scope and Methodology

OBJECTIVES

The objective of the full external QA was to evaluate the Internal Audit Department's conformance with the IIA's *Standards* and Code of Ethics, and to provide an opinion on the level of conformance. The QA reviewed the alignment of the Internal Audit Department's activities to the definition of Internal Auditing and the Core Principles for the Professional Practice of Internal Auditing. Additionally, the QA considered the following:

- Expectations of the internal audit activity expressed by executive leadership and operating management;
- Enterprise risk assessment and management, including organizational controls;
- Integration of internal auditing into the organization's overall governance process, including the relationships and communications between and among the key stakeholders;
- Alignment of audit objectives and plans with the strategic objectives of the agency;
- Risk assessment and audit planning to ensure audit activities meet the needs of the agency;
- Audit plan execution to ensure audit activities are efficiently and effectively performed;
- Skills, knowledge, and experience of staff to ensure successful completion of audit plan; and
- Identify opportunities for improvement throughout the Internal Audit Department.

SCOPE

The scope of the full external QA included a review of Internal Audit Department's policies, procedures, practices and documentation from 2016 through present. This included a review of the Internal Audit and Audit and Finance Committee charters in place and effective for 2017. A review of audit projects completed during this time period was also conducted.

METHODOLOGY

The full external QA included the following key phases to assess the Internal Audit Department's conformance with the IIA's *Standards*:

- Review documentation requested in advance of on-site fieldwork;
- Identify and survey key stakeholders to solicit feedback on Internal Audit's governance, processes, management and staff;
- Identify and interview executive leadership and operating management to expand on feedback obtained during the surveys and obtain additional feedback about the Internal Audit department; and
- Summarize activities performed to report results.

Observations

The Internal Audit Department is independent, objective and has unrestricted access to systems and records. The Internal Audit management and staff are professional and possess the appropriate skills to effectively perform their audits. Internal Audit projects are effectively executed and results are communicated timely.

There were no gaps in conformance, or areas of improvement that would be considered reportable findings.

P&N would like to note the following areas where the Internal Audit Department is operating in an effective or efficient manner when compared to the practice of internal auditing. The identification of these items is intended to provide key stakeholders a view on areas where the Internal Audit Department is most efficient and effective. Successful internal audit practices identified during this QA are summarized below:

- Standard 1110 – Organizational Independence and Direct Interaction with the Board
 - The Audit and Finance Committee of TDHCA is actively engaged with the Director of Internal Audit. Additionally, the Chairman of this committee was recently appointed and has previous experience within the audit profession, including risk assessment and management processes.

The Audit and Finance Committee review the activities of the Internal Audit Department and approve any changes to the audit plan, which allow the Internal Audit Department to focus on risks important to the agency.

- Standard 2000 – Managing the Internal Audit Activity
 - The Internal Audit Department developed and began utilizing a Planning Control Program to manage all aspects of the audit, including risk assessment activities, scope planning and review, testing, etc. This Planning Control Program is updated throughout the lifecycle of the audit to ensure critical steps within the audit process are not omitted.
- Standard 2400 – Communicating Results
 - The audit reports contain a one-page executive summary meant to provide a “snapshot” of the procedures performed and any relevant findings. The format of the one-page executive summary is designed to highlight relevant aspects of the audit without losing the reader’s attention.

A more detailed, in-depth report is also made available for operating management to fully understand the audit scope, procedures performed, key findings and management’s response.

This Planning Control Program is used for each internal audit activity and is reviewed at the conclusion of the audit to ensure completeness of audit procedures performed.

Acknowledgements

P&N would like to express sincere appreciation for the courtesy extended throughout the QA process. Our team appreciates the cooperation of executive leadership, operating management and the internal audit management and staff. We would like to extend our courtesies to the individuals that completed the online surveys and participated in the on-site interviews. The surveys and interviews provided feedback that complemented the documentation reviewed.

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Appendix A: Key Conformance Criteria



Legend	
GC	Generally Conforms
PC	Partially Conforms
DNC	Does Not Conform

		GC	PC	DNC
Overall Evaluation		✓		
Code of Ethics		✓		
Attribute Standards (1000 through 1300)		GC	PC	DNC
1000	Purpose, Authority, and Responsibility	✓		
1010	Recognizing Mandatory Guidance in the Internal Audit Charter	✓		
1100	Independence and Objectivity	✓		
1110	Organizational Independence	✓		
1111	Direct Interaction with the Board	✓		
1112	Chief Audit Executive Roles Beyond Internal Auditing	✓		
1120	Individual Objectivity	✓		
1130	Impairment to Independence or Objectivity	✓		
1200	Proficiency and Due Professional Care	✓		
1210	Proficiency	✓		
1220	Due Professional Care	✓		
1230	Continuing Professional Development	✓		
1300	Quality Assurance and Improvement Program	✓		
1310	Requirements of the Quality Assurance and Improvement Program	✓		
1311	Internal Assessments	✓		
1312	External Assessments	✓		
1320	Reporting on the Quality Assurance and Improvement Program	✓		
1321	Use of “Conforms with the International Standards for the Professional Practice of Internal Auditing”	✓		
1322	Disclosure of Nonconformance	✓		



Performance Standards (2000 through 2600)		GC	PC	DNC
2000	Managing the Internal Audit Activity	✓		
2010	Planning	✓		
2020	Communication and Approval	✓		
2030	Resource Management	✓		
2040	Policies and Procedures	✓		
2050	Coordination and Reliance	✓		
2060	Reporting to Senior Management and the Board	✓		
2070	External Service Provider and Organizational Responsibility for Internal Auditing	✓		
2100	Nature of Work	✓		
2110	Governance	✓		
2120	Risk Management	✓		
2130	Control	✓		
2200	Engagement Planning	✓		
2201	Planning Considerations	✓		
2210	Engagement Objectives	✓		
2220	Engagement Scope	✓		
2230	Engagement Resource Allocation	✓		
2240	Engagement Work Program	✓		
2300	Performing the Engagement	✓		
2310	Identifying Information	✓		
2320	Analysis and Evaluation	✓		
2330	Documenting Information	✓		
2340	Engagement Supervision	✓		
2400	Communicating Results	✓		
2410	Criteria for Communicating	✓		
2420	Quality of Communications	✓		
2421	Errors and Omissions	✓		
2430	Use of “Conducted in Conformance with the International Standards for the Professional Practice of Internal Auditing”	✓		
2431	Engagement Disclosure of Nonconformance	✓		
2440	Disseminating Results	✓		
2450	Overall Opinions	✓		
2500	Monitoring Progress	✓		
2600	Communicating the Acceptance of Risks	✓		



Appendix B: Management Response





TEXAS DEPARTMENT OF HOUSING AND COMMUNITY AFFAIRS

www.tdhca.state.tx.us

Greg Abbott
GOVERNOR

BOARD MEMBERS

J.B. Goodwin, *Chair*
Leslie Bingham-Escareño, *Vice Chair*
Paul A. Braden, Member
Asusca Reséndiz, Member
Sharon Thomason, Member
Leo Vasquez, Member

October 27, 2017

Temika B. Jones
Associate Director
Postlethwaite & Netterville
11757 Katy Freeway,
Houston, TX 77007

RE: EXTERNAL QUALITY ASSURANCE REVIEW OF INTERNAL AUDIT DIVISION AT TDHCA

Dear Mrs. Jones:

Internal Audit appreciates the thoroughness, timeliness, and professionalism demonstrated by the P&N peer review team. We agree with the report and will present it at the next meeting of the TDHCA Audit and Finance Committee.

Sincerely,



Mark Scott, CPA, CIA, CISA, CFE, MBA
Internal Audit Director

MS/NS





Postlethwaite & Netterville

Internal Audit Quality Assessment Review Summary

Texas Department of Housing and Community Affairs

As of October 20, 2017

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Executive Summary



Postlethwaite & Netterville

Executive Summary

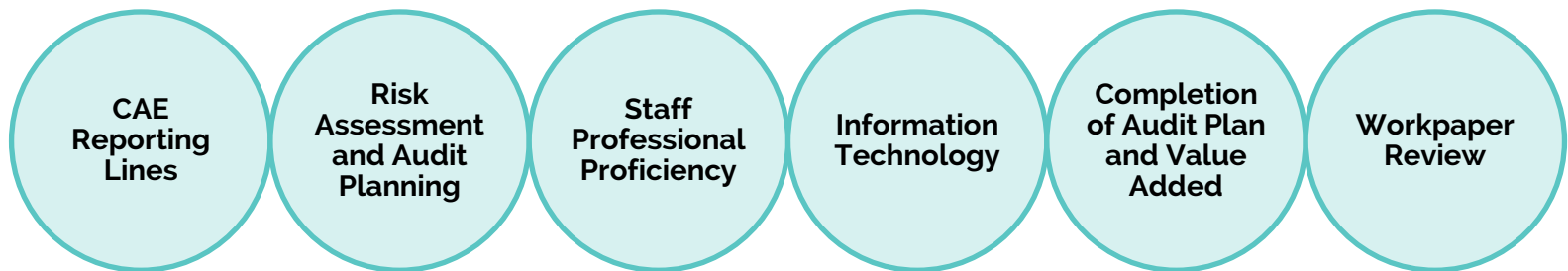
Scope and Objectives

Scope

- Full external review of Internal Audit's policies, procedures and practices
- Evaluate whether policies, procedures and practices conform with the *International Standards for the Professional Practice of Internal Auditing (Standards)*

Objectives

- Specific objectives for each phase below to evaluate conformance with *Standards*



Executive Summary

Key Activities

Documentation Review

- Reviewed documents related to Internal Audit governance, process and management
- Reviewed documents for a sample of three audits, specifically for:
 - Planning the engagement
 - Performing the engagement
 - Communicating results of the engagement

Surveys

- Anonymous surveys distributed to executive leadership, operating management, internal audit management and staff for feedback on:
 - Internal Audit Governance
 - Internal Audit Staff
 - Internal Audit Management
 - Internal Audit Process

On-site Interviews

- Executive Leadership and Operating Management
 - Solicit feedback on the effectiveness of the Internal Audit Department related to:
 - Internal Audit Governance
 - Internal Audit Staff
 - Internal Audit Management
 - Internal Audit Process
- Chief Audit Executive
 - Solicit feedback on the perception of the effectiveness of the Internal Audit Department compared to feedback of executive leadership, operating management and internal audit staff
- Internal Audit Staff
 - Solicit feedback on the effectiveness of the Internal Audit Department compared to the feedback of the Chief Audit Executive and other key stakeholders

Executive Summary

Key Findings and Reportable Items

- TDHCA's Internal Audit Department "Generally Conforms" to the *Standards* and Code of Ethics
- Noteworthy strength related to the reporting of Internal Audit results
 - One page executive summary provided for high-level review
 - Detailed report also provided for in-depth review of audit scope, findings and recommendations
- Noteworthy strength related to the Audit Committee of TDHCA
 - Audit and Finance Committee of TDHCA's Governing Board actively engages with Director of Internal Audit and Executive Leadership
 - Recent appointment of new Audit and Finance Committee Chairman has prior experience as an auditor and understands the importance of risk assessments and the audit activities
- Noteworthy strength related to audit planning
 - Internal Audit maintains a Planning Control Program throughout the lifecycle of the audit to manage each engagement and ensure critical steps are not omitted.
- No opportunities for improvement were identified and/or were considered reportable items

Documentation Review

Documentation Review

Document Request List

- Document request list provided to TDHCA Internal Audit staff prior to fieldwork procedures, which included:
 - Governance related to independence and objectivity (Internal Audit and Audit Committee charters, Standard Operating Procedures, etc.)
 - Internal Audit Process (Quality Assurance and Improvement Program, Risk Assessment and Engagement Planning, Performance and Reporting)
 - Internal Audit Staff and Management (Staffing, Due Professional Care, Proficiency, etc.)
- Electronic documents uploaded to external site for access by P&N
- P&N reviewed sample of audits (Sources and Uses, Tax Credits and Information Systems Department)
- P&N reviewed documents and compared evidence to *Standards* to determine level of conformance
- Overall review did not disclose any key findings or recommendations for improvement that were reportable.
- Review noted strength in communicating results to management by utilizing a one-page executive summary for a high-level report of audit results. A detailed report of the audit and any findings is also included for operating management to take appropriate actions and implement recommendations.

Surveys

Surveys – Executive Leadership & Operating Management

Online Anonymous Survey Distribution

- Included Governing Board members, Senior Management and External Service Organizations
- 28 surveys distributed; 20 surveys completed → 71% response rate
- Over 65% of respondents either “Strongly Agree” or “Agree” that:
 - The Internal Audit Department is independent, objective, and has unrestricted access to systems and records.
 - The Internal Audit management performs risk assessments and develops the audit plan to appropriately mitigate risks and achieve objectives of the agency.
 - The Internal Audit staff is professional and has appropriate skills to effectively perform audits.
 - The Internal Audit projects are effectively executed and results are communicated timely.

Surveys – Executive Leadership & Operating Management

Online Anonymous Survey Distribution

- Summary of comments related to “strengths” of the Internal Audit Department:
 - Staff have the professional experience and expertise to adequately perform internal audits and do so professionally.
 - The risk assessment is helpful to the Audit and Finance Committee to understand the nature of the risk across each program in the agency.
 - Internal Audit management has an objective view for risk analysis, fair evaluation of business processes and staff that work conscientiously with management to arrive at constructive outcomes.
 - It would be beneficial for IA to continue to expand its agency wide knowledge. The continued expansion of knowledge will lead to more depth in the practical recommendations and suggested best practices.
 - Management and staff are professional, courteous, helpful, and independent.
 - Management identifies risk and how to minimize those risks.
 - Great job at setting realistic timelines and respecting clients time.
 - Transparency of the audit process and clear, concise audit reports.
- Summary of comments related to “opportunities for improvement” of the Internal Audit Department:
 - Staff gain deeper knowledge of the agency programs with a goal to perform more in-depths audits of the agency’s programs.

Surveys – Internal Audit Management and Staff

Online Anonymous Survey Distribution

- Included Director of Internal Audit and two staff within Internal Audit Department
- 3 surveys distributed; 3 surveys completed → 100% response rate
- 100% of respondents either “Strongly Agree” or “Agree” that:
 - The Internal Audit department is independent, objective, and has unrestricted access to systems and records.
 - The Internal Audit department generally conforms to the *Standards*.
 - The Internal Audit department performs appropriate risk assessments and executes the audit plan in accordance with this risk assessment.
 - The Internal Audit management provides the staff with opportunities to remain up-to-date with changes in the industry.
 - The Internal Audit staff feels they have appropriate knowledge, skills and competence to effectively perform audits.
 - The Internal Audit staff feel they receive appropriate and timely constructive feedback to improve their professional development.

Surveys – Internal Audit Management and Staff

Online Anonymous Survey Distribution

- Summary of comments related to “strengths” of the Internal Audit Department:
 - Feedback is given on a regular basis.
 - Clear and open communication with CAE
 - Involvement in all aspects of decisions and activities of the department
 - Opportunity to work unrestricted with different departments within the agency
- Summary of comments related to “opportunities for improvement” of the Internal Audit Department:
 - Would like to see more outside seminars

On-site Interviews

On-site Interviews

Executive Leadership, Operating Management and Internal Audit Management and Staff

- Meant to provide an opportunity to inquire about internal audit's governance, effectiveness and processes
- Meant to provide an opportunity to discuss specific items noted in surveys
- Interviews performed with key stakeholders:
 - Audit and Finance Committee
 - Sharon Thomason, Audit and Finance Committee Chair
 - Executive Leadership and Operating Management
 - Tim Irvine, Executive Director
 - Brooke Boston, Deputy Executive Director
 - David Cervantes, Chief Financial Officer
 - Patricia Murphey, Chief of Compliance
 - Curtis Howe, Director of Information Systems
 - Brent Stewart, Director of Real Estate Analysis
 - Internal Audit Department
 - Mark Scott, Chief Audit Executive
 - Neda Sanjar, Internal Audit Project Manager

On-site Interviews

Executive Leadership, Operating Management and Internal Audit Management and Staff

- Summary of comments related to “strengths” of the Internal Audit Department:
 - The Internal Audit Department is regarded as completely independent of the management team and program areas.
 - The Internal Audit Department has an extensive risk assessment process that identifies the agency’s risks.
 - The Internal Audit Department solicits feedback on the agency programs that have not been previously audited, and includes those areas in the current/future audit plan.
 - The Internal Audit Department ensures a basic understanding of the program areas is obtained prior to performance of testing procedures.
 - The Internal Audit Department staff are regarded as objective, professional and have the appropriate skill sets.
 - The Internal Audit Department adds value to the through the consulting arrangement to assist with single audits.
 - Management describes the relationship with Internal Audit as a partnership and non-adversarial.
- Summary of comments related to “opportunities for improvement” of the Internal Audit Department:
 - Internal Audit Staff should continue to gain deeper knowledge of the complex agency programs with a goal to perform more in-depths audits of the agency’s programs.
 - The annual risk assessment should re-evaluate the audit universe to ensure new agency risks are identified.
 - The Internal Audit Department can assist with educating the Governing Board through the audit planning process to educate board members about the various programs (and inherent risks with each program) within the agency.

Opportunities for Improvement



P&N

Postlethwaite & Netterville

Opportunities for Improvement

Non-reportable Items for Consideration

Standard 1110 – Organizational Independence

- Expand the Audit and Finance Committee Charter to describe in the functional responsibilities of the board with respect to the appointment, replacement, dismissal, and compensation of the Chief Audit Executive.



A Professional Accounting Corporation

3

AUDIT AND FINANCE COMMITTEE ACTION ITEM

FINANCIAL ADMINISTRATION DIVISION

DECEMBER 14, 2017

Presentation, discussion, and possible action to accept the report on the Draft Computation of Housing Finance Division Total and Unencumbered Fund Balances and Transfers to the Housing Trust Fund.

WHEREAS, Tex. Gov't Code §2306.204 requires an audit of the Department's Housing Trust Fund to be completed by December 31st of each year to determine the amount of unencumbered fund balances that are greater than the amount required for the reserve fund;

WHEREAS, Housing Finance Division unencumbered funds are the funds associated with any and all of the Department's housing finance activity that are not subject to any restriction precluding their immediate transfer to the housing trust fund. Such restrictions include: being subject to a state or federal law or other applicable legal requirement such as the General Appropriations Act, being held in trust subject to the terms of a bond indenture, or having been designated by the Department's Governing Board for a specific use or contingency;

WHEREAS, Tex. Gov't Code §2306.205 provides a formula for determining the amount of unencumbered fund balances and the amounts, if any, to transfer to the Housing Trust Fund before January 10th; and

WHEREAS, Staff has drafted a process for determining the three year-end values total and non highest rated bond indebtedness, the amount of unencumbered fund balances and the amounts, if any, to transfer to the Housing Trust Fund;

NOW, therefore, it is hereby

RESOLVED, that the *Draft Computation of Unencumbered Fund Balances Report as of August 31, 2017*, is presented to this meeting and the Board and the Executive Director accepts this report in satisfaction of the requirements of Tex. Gov't Code §§2306.204 and 2306.205 with its final approval determined by the year-end audit performed by the State Auditor's Office.

BACKGROUND

Pursuant to Tex. Gov't Code §§2306.204 and 2306.205, the Department is required to transfer to the Housing Trust Fund annually a portion of the unencumbered funds, if any, meeting certain threshold and criteria. This statute also requires the Department to undergo an annual audit of its unencumbered fund balances and to transfer excess funds to the Housing Trust Fund based on a calculation set forth in the statute. Using the methodology outlined in the statute, Department staff developed a Standard Operating Procedure (#1210.05) to calculate statutorily required transfers to the Housing Trust Fund.

The *Draft Computation of Unencumbered Fund Balances Report as of August 31* (Exhibit A) reflects funds held by the Department deemed to be unencumbered of \$147,700; the *Calculation of Bonded Indebtedness Report* (Exhibit B) only includes bonds outstanding not rated in the highest long-term debt rating category to calculate the

2% threshold of \$19,425,232; and the *List of Bond Ratings* (Exhibit C) from rating agencies. Since the unencumbered balance is less than the 2% threshold it does not meet the first threshold in Tex. Gov't Code §2306.205(a) for any transfer to the Housing Trust Fund.

In conclusion, the *Draft Computation of Unencumbered Fund Balances Report as of August 31, 2017*, yielded a zero transfer to the Housing Trust Fund. Again, this report is included for review in the year-end financial audit performed by the State Auditor's Office and is, therefore, subject to revision based on such audit.

EXHIBIT B

TEXAS DEPARTMENT OF HOUSING AND COMMUNITY AFFAIRS
 Calculation of Bonded Indebtedness as of August 31, 2017
 Pursuant to Texas Government Code Section 2306.205

	Highest Bond Rating	Bonds Outstanding (Par)	Bonded Indebtedness Not Rated in the Highest Category
Single-family	AA+	\$ 374,800,014	\$ 374,800,014
RMRB	Aaa	148,390,000	-
CHMRB	AA+	1,000,000	1,000,000
Multifamily	Various	800,239,914	595,461,605
		<u>\$ 1,324,429,928</u>	<u>\$ 971,261,619</u>

Section 2306.205(a)		
2% of bonded indebtedness		\$ 19,425,232
Unencumbered Fund Balance (UFB) per Calculation		\$ 147,700
Does UFB exceed 2% of bonded indebtedness?		No
If UFB exceeds 2% of bonded indebtedness: What amount exceeds 2% of bonded indebtedness?		\$ -
Half of UFB in excess of 2% of bonded indebtedness (Transfer to Housing Trust Fund)		\$ -

Section 2306.205(c)		
4% of bonded indebtedness		\$ 38,850,465
Unencumbered Fund Balance (UFB) per Calculation		\$ 147,700
Does UFB exceed 4% of bonded indebtedness?		No
If UFB exceeds 4% of bonded indebtedness: What amount exceeds 4% of bonded indebtedness?		\$ -
All of UFB in excess of 4% of bonded indebtedness (Transfer to Housing Trust Fund)		\$ -

EXHIBIT C

Single Family Indenture				Rating Agencies			
				8/31/2017	Moody's	Standard & Poor's	Fitch
Series	CUSIP	Maturity Date	Interest Rate	Ending Bonds Outstanding	rtg moody	rtg sp	rtg fitch
2004A JL	88275FNM7	9/1/2036	VAR	\$ 3,855,000	Aa2/VMIG1	AA+/A-1+	#N/A N/A
2004B	88275FNN5	9/1/2034	VAR	\$ 27,875,000	Aa1/VMIG1	AA+/A-1+	#N/A N/A
2004D	88275FNP0	3/1/2035	VAR	\$ 18,920,000	Aa1/VMIG1	AA+/A-1+	#N/A N/A
2005A	88275FNO8	9/1/2036	VAR	\$ 25,675,000	Aa1/VMIG1	AA+/A-1+	#N/A N/A
2005B	88275FKM 0	9/1/2020	4.800%	\$ 25,000	Aa1	AA+	WD
	88275FKN 8	9/1/2025	4.900%	\$ 50,000	Aa1	AA+	WD
				\$ 75,000			
2005D	88275FKQ1	9/1/2035	5.000%	\$ 50,000	Aa1	AA+	WD
2007A	88275FMF3	9/1/2038	VAR	\$ 30,385,000	Aa1/VMIG1	AA+/A-1+	#N/A N/A
2013A	88275FNT2	3/1/2036	2.800%	\$ 19,665,000	Aa1	AA+	#N/A N/A
2015A	88275FNU9	9/1/2039	3.200%	\$ 25,500,000	Aa1	AA+	#N/A N/A
2015B	88275FNV7	3/1/2046	3.125%	\$ 17,100,000	Aa1	AA+	#N/A N/A
2016A	88275FNW5	3/1/2046	3.000%	\$ 27,540,000	Aa1	AA+	#N/A N/A
2016B	88275FNX3	3/1/2039	3.180%	\$ 45,150,000	Aa1	AA+	#N/A N/A
2017A	88275FNY1	9/1/2047	2.835%	\$ 61,182,316	Aa1	AA+	#N/A N/A
2017B	88275FNZ8	9/1/2038	2.750%	\$ 29,155,028	Aa1	AA+	#N/A N/A
2017C	88275FPA1	9/1/2047	3.100%	\$ 42,672,670	Aa1	AA+	#N/A N/A
Total Bonds Outstanding				\$ 374,800,014			
Bonds Rated in the Highest Category (Aaa OR AAA)				\$ -			
Bonds NOT Rated in the Highest Category (Aaa OR AAA)				\$ 374,800,014			

RMRB Indenture				Rating Agencies			
				8/31/2017	Moody's	Standard & Poor's	Fitch
Series	CUSIP	Maturity Date	Interest Rate	Ending Bonds Outstanding	rtg moody	rtg sp	rtg fitch
2009A	882750KD3	1/1/2018	3.900%	\$ 140,000	Aaa	AA+	#N/A N/A
	882750KE1	7/1/2018	3.900%	\$ 140,000	Aaa	AA+	#N/A N/A
	882750KF8	1/1/2019	4.000%	\$ 140,000	Aaa	AA+	#N/A N/A
	882750KG6	7/1/2019	4.000%	\$ 135,000	Aaa	AA+	#N/A N/A
	882750KH4	7/1/2024	4.850%	\$ 1,630,000	Aaa	AA+	#N/A N/A
	882750JN3	7/1/2029	5.100%	\$ 5,035,000	Aaa	AA+	#N/A N/A
	882750JP8	7/1/2034	5.300%	\$ 4,620,000	Aaa	AA+	#N/A N/A
	882750KJ0	1/1/2039	5.375%	\$ 890,000	Aaa	AA+	#N/A N/A
	882750KK7	7/1/2039	5.450%	\$ 8,155,000	Aaa	AA+	#N/A N/A
				\$ 20,885,000			
2009B	882750KV3	1/1/2018	4.700%	\$ 160,000	Aaa	AA+	#N/A N/A
	882750KW1	7/1/2018	4.700%	\$ 160,000	Aaa	AA+	#N/A N/A
	882750KY7	7/1/2019	4.800%	\$ 1,425,000	Aaa	AA+	#N/A N/A
	882750KX9	7/1/2022	5.250%	\$ 3,575,000	Aaa	AA+	#N/A N/A
				\$ 5,320,000			
2009C-1	882750MA7	7/1/2041	3.570%	\$ 37,650,000	Aaa	AA+	#N/A N/A
2011A	882750LN0	1/1/2008	3.500%	\$ 680,000	Aaa	AA+	#N/A N/A
	882750LP5	7/1/2018	3.600%	\$ 700,000	Aaa	AA+	#N/A N/A
	882750LQ3	1/1/2019	3.875%	\$ 710,000	Aaa	AA+	#N/A N/A
	882750LR1	7/1/2019	3.950%	\$ 710,000	Aaa	AA+	#N/A N/A
	882750LS9	1/1/2020	4.125%	\$ 715,000	Aaa	AA+	#N/A N/A
	882750LT7	7/1/2020	4.125%	\$ 735,000	Aaa	AA+	#N/A N/A
	882750LU4	1/1/2021	4.375%	\$ 745,000	Aaa	AA+	#N/A N/A
	882750LV2	7/1/2021	4.375%	\$ 760,000	Aaa	AA+	#N/A N/A
	882750LW0	1/1/2022	4.550%	\$ 775,000	Aaa	AA+	#N/A N/A
	882750LX8	7/1/2022	4.550%	\$ 795,000	Aaa	AA+	#N/A N/A
	882750LY6	7/1/2026	5.050%	\$ 7,025,000	Aaa	AA+	#N/A N/A
	882750LZ3	7/1/2029	5.000%	\$ 4,340,000	Aaa	AA+	#N/A N/A
					\$ 18,690,000		
2009C-2	882750NB4	7/1/2041	2.480%	\$ 30,190,000	Aaa	AA+	#N/A N/A
2011B	882750MN9	1/1/2018	2.550%	\$ 885,000	Aaa	AA+	#N/A N/A
	882750MP4	7/1/2018	2.600%	\$ 885,000	Aaa	AA+	#N/A N/A
	882750MQ2	1/1/2019	2.850%	\$ 900,000	Aaa	AA+	#N/A N/A
	882750MR0	7/1/2019	2.900%	\$ 915,000	Aaa	AA+	#N/A N/A
	882750MS8	1/1/2020	3.100%	\$ 930,000	Aaa	AA+	#N/A N/A
	882750MT6	7/1/2020	3.100%	\$ 940,000	Aaa	AA+	#N/A N/A
	882750MU3	1/1/2021	3.300%	\$ 955,000	Aaa	AA+	#N/A N/A
	882750MV1	7/1/2021	3.300%	\$ 975,000	Aaa	AA+	#N/A N/A
	882750MW9	1/1/2022	3.500%	\$ 1,000,000	Aaa	AA+	#N/A N/A
	882750MX7	7/1/2022	3.500%	\$ 1,020,000	Aaa	AA+	#N/A N/A
	882750MY5	1/1/2026	4.050%	\$ 9,140,000	Aaa	AA+	#N/A N/A
	882750MZ2	1/1/2030	4.450%	\$ 8,525,000	Aaa	AA+	#N/A N/A
	882750NA6	1/1/2034	4.250%	\$ 8,585,000	Aaa	AA+	#N/A N/A
				\$ 35,655,000			
Total Bonds Outstanding				\$ 148,390,000			
Bonds Rated in the Highest Category (Aaa OR AAA)				\$ 148,390,000			
Bonds NOT Rated in the Highest Category (Aaa OR AAA)				\$ -			

CHMRB					Rating Agencies		
				8/31/2017	Moody's	Standard & Poor's	Fitch
Series	CUSIP	Maturity	Coupon	Ending Bonds Outstanding	rtg moody	rtg sp	rtg fitch
1992 C-1 & 1992 C-2 (Linked)	882749BM5	7/2/2024	6.9	\$ 500,000	#N/A N/A	AA+	#N/A N/A
1992 C-1 (SAVRS)	882749BP8	7/2/2024	1.379	\$ 250,000	#N/A N/A	AA+	#N/A N/A
1992 C-2 (RIBS)	882749BN3	7/2/2024	12.117	\$ 250,000	#N/A N/A	AA+	#N/A N/A

Total Bonds Outstanding \$ 1,000,000

Bonds Rated in the Highest Category (Aaa OR AAA)

Bonds NOT Rated in the Highest Category (Aaa OR AAA) \$ 1,000,000

Multifamily					Rating Agencies		
				8/31/2017	Moody's	Standard & Poor's	Fitch
MF Bond Issue	CUSIP	MF Program#	Private or Public	Ending Bonds Outstanding	rtg moody	rtg sp	rtg fitch
1996 A MF Refunding (Brighton's Mark Development)	88275BBK3	0065	Private Place	\$ 8,075,000.00	#N/A N/A	NR	#N/A N/A
1998 A MF (Residence at the Oaks Project)	88275BDA3	0090	Private Place	\$ 3,325,000.00	#N/A N/A	NR	#N/A N/A
1998 B MF (Residence at the Oaks Project)	88275BDB1	0090	Private Place	\$ 1,775,000.00	#N/A N/A	NR	#N/A N/A
1998 C MF (Residence at the Oaks Project)	88275BDB1	0090	Private Place	\$ 59,000.00	#N/A N/A	NR	#N/A N/A
2000 MF (Timber Point Apartments)	88275BDS4	0095	Public Offer	\$ 6,070,000.00	Aaa/VMIG1	#N/A N/A	#N/A N/A
2000 A MF (Oaks at Hampton Apartments)	None	0096	Private Place	\$ 8,662,867.00	Charter Mac Equity Issuer Trust		
2000 MF (Deerwood Pines Apartments)	88275BEQ7	0097	Public Offer	\$ 4,815,000.00	#N/A N/A	AA-	#N/A N/A
2000 MF (Creek Point Apartments)	88275BER5	0098	Public Offer	\$ 5,160,000.00	Aaa/VMIG1	#N/A N/A	#N/A N/A
2000 A MF (Parks at Westmoreland Apartments)	None	0099	Private Place	\$ 8,643,085.00	Charter Mac Equity Issuer Trust		
2000 A MF (Highland Meadow Village Apartments)	88275BEW4	0101	Private Place	\$ 6,893,000.00	#N/A N/A	#N/A N/A	#N/A N/A
2000 A MF (Collingham Park Apartments)	88275BEZ7	0103	Private Place	\$ 8,384,000.00	#N/A N/A	#N/A N/A	#N/A N/A
2000 B MF (Collingham Park Apartments)	88275BFA1	0103	Private Place	\$ 1,888,000.00	#N/A N/A	#N/A N/A	#N/A N/A
2001 MF (Bluffview Apartments)	88275BGJ1	0106	Private Place	\$ 9,634,604.78	#N/A N/A	#N/A N/A	#N/A N/A
2001 MF (Knollwood Apartments)	88275BGE2	0107	Private Place	\$ 12,380,917.36	#N/A N/A	#N/A N/A	#N/A N/A
2001 A MF (Skyway Villas Apartments)	88275BFN3	0108	Public Offer	\$ 4,825,000.00	WR	NR	WD
2001 B MF (Skyway Villas Apartments)	88275BFQ6	0108	Private Place	\$ 1,230,000.00	WR	#N/A N/A	#N/A N/A
2001 A-1 MF (Meridian Apartments)	88275ACG3	0111	Public Offer	\$ 7,376,000.00	#N/A N/A	#N/A N/A	#N/A N/A
2001 B MF (Meridian Apartments)	88275ACH1	0111	Private Place	\$ 391,000.00	#N/A N/A	#N/A N/A	#N/A N/A
2001 A-1 MF (Wildwood Apartments)	88275ACJ7	0112	Public Offer	\$ 5,987,000.00	#N/A N/A	#N/A N/A	#N/A N/A
2001 MF (Oak Hollow Apartments)	88275BGV4	0114	Private Place	\$ 5,823,255.39	#N/A N/A	#N/A N/A	#N/A N/A
2001 A MF (Hillside Apartments)	88275BGX0	0115	Private Place	\$ 11,973,650.61	#N/A N/A	#N/A N/A	#N/A N/A
2002 MF (Park Meadows Apartments)	88275BGW2	0119	Private Place	\$ 3,500,000.00	#N/A N/A	#N/A N/A	#N/A N/A
2002 MF (Clarkridge Villas Apartments)	None	0120	Private Place	\$ 12,658,975.54	Charter Mac Equity Issuer Trust		
2002 MF (Hickory Trace Apartments)	None	0121	Private Place	\$ 10,471,516.60	Charter Mac Equity Issuer Trust		
2002 MF (Green Crest Apartments)	88275BHS0	0122	Public Offer	\$ 10,514,790.15	#N/A N/A	#N/A N/A	#N/A N/A
2002 A MF (Ironwood Crossing)	None	0123	Private Place	\$ 15,000,000.00	Charter Mac Equity Issuer Trust		
2002 B MF (Ironwood Crossing)	None	0123	Private Place	\$ 730,615.92	Charter Mac Equity Issuer Trust		
2003 A MF Refunding (Reading Road)	88275BJJ8	0125	Public Offer	\$ 8,250,000.00	#N/A N/A	AA+/A-1+	#N/A N/A
2003 B MF Refunding (Reading Road)	88275BJK5	0125	Private Place	\$ 1,620,000.00	#N/A N/A	#N/A N/A	#N/A N/A
2003 A MF (North Vista)	88275BHL5	0126	Public Offer	\$ 8,350,000.00	WR	NR	WD
2003 A MF (North Vista)	88275BHM3	0126	Public Offer	\$ 2,085,000.00	WR	NR	WD
2003 A MF (West Virginia Apartments)	88275BHT8	0127	Public Offer	\$ 6,035,000.00	WR	NR	WD
2003 A MF (West Virginia Apartments)	88275BHU5	0127	Public Offer	\$ 1,515,000.00	WR	NR	WD
2003 A MF (Primrose Houston School Apartments)	88275BJB5	0129	Private Place	\$ 15,000,000.00	#N/A N/A	#N/A N/A	#N/A N/A
2003 B MF (Primrose Houston School Apartments)	88275BJC3	0129	Private Place	\$ 385,838.00	#N/A N/A	#N/A N/A	#N/A N/A
2003 A MF (Timber Oaks Apartments)	None	0130	Private Place	\$ 10,900,000.00	Charter Mac Equity Issuer Trust		
2003 B MF (Timber Oaks Apartments)	None	0130	Private Place	\$ 1,359,798.93	Charter Mac Equity Issuer Trust		
2003 A MF (Ash Creek Apartments)	88275BJS8	0131	Private Place	\$ 15,000,000.00	#N/A N/A	#N/A N/A	#N/A N/A
2003 B MF (Ash Creek Apartments)	88275BJT6	0131	Private Place	\$ 102,367.00	#N/A N/A	#N/A N/A	#N/A N/A
2003 A MF (Peninsula Apartments)	88275BJU3	0132	Public Offer	\$ 10,010,000.00	#N/A N/A	AA+	#N/A N/A
2003 A MF (Arlington Villas Apartments)	88275BJX7	0134	Public Offer	\$ 15,000,000.00	WR/WR	#N/A N/A	#N/A N/A
2003 B MF (Arlington Villas Apartments)	88275BJY5	0134	Public Offer	\$ 959,286.00	WR/WR	#N/A N/A	#N/A N/A
2003 A MF (Parkview Townhomes Apartments)	None	0135	Private Place	\$ 12,944,761.00	Charter Mac Equity Issuer Trust		
2003 MF (NHP Foundation - Asmara Project)	88275BHG6	0136	Public Offer	\$ 16,530,000.00	#N/A N/A	AA+/A-1+	#N/A N/A
2004 A MF (Timber Ridge II Apartments)	88275BJZ2	0137	Private Place	\$ 6,191,281.00	#N/A N/A	#N/A N/A	#N/A N/A
2004 A MF (Providence at Veterans Memorial Townhomes)	None	0140	Private Place	\$ 6,574,179.37	Charter Mac Equity Issuer Trust		
2004 MF (Providence at Rush Creek II)	88275BKH0	0141	Private Place	\$ 8,147,401.00	#N/A N/A	NR	#N/A N/A
2004 MF (Humble Parkway Townhomes)	88275BKJ6	0142	Public Offer	\$ 10,260,000.00	#N/A N/A	#N/A N/A	#N/A N/A
2004 MF (Chisholm Trail Apartments)	88275BKR8	0143	Public Offer	\$ 10,100,000.00	Aaa/VMIG1	#N/A N/A	#N/A N/A
2004 MF (Evergreen at Plano Parkway)	88275BKX5	0144	Private Place	\$ 13,649,717.59	#N/A N/A	#N/A N/A	#N/A N/A
2004 MF (Montgomery Pines Apartments)	88275BKU1	0145	Public Offer	\$ 10,500,000.00	Aaa/VMIG1	#N/A N/A	#N/A N/A
2004 MF (Bristol Apartments)	88275BKT4	0146	Public Offer	\$ 11,200,000.00	Aaa/VMIG1	#N/A N/A	#N/A N/A
2004 MF (Pinnacle Apartments)	88275BKV9	0147	Public Offer	\$ 12,964,999.99	Aaa/VMIG1	#N/A N/A	#N/A N/A
2004 MF (Churchill at Pinnacle Park)	88275BKZ0	0150	Private Place	\$ 9,177,942.03	#N/A N/A	#N/A N/A	#N/A N/A
2005 MF (Port Royal Homes)	None	0155	Private Place	\$ 11,238,193.81	Charter Mac Equity Issuer Trust		
2005 MF (Mission Del Rio Homes)	88275BLK2	0156	Private Place	\$ 8,762,488.82	#N/A N/A	#N/A N/A	#N/A N/A
2005 MF (Atascocita Pines Apartments)	88275BLV8	0157	Public Offer	\$ 10,590,000.00	Aaa/VMIG1	#N/A N/A	#N/A N/A
2005 MF (Tower Ridge Apartments)	88275BLX4	0158	Public Offer	\$ 15,000,000.00	#N/A N/A	AA+/A-1+	#N/A N/A
2005 MF (St. Augustine Estate Apartments)	88275BME5	0162	Public Offer	\$ 5,680,000.00	Aaa/VMIG1	#N/A N/A	#N/A N/A
2005 MF (Providence Mockingbird Apartments)	None	0164	Private Place	\$ 10,562,510.58	Charter Mac Equity Issuer Trust		
2005 MF (Plaza at Chase Oaks Apartments)	None	0165	Private Place	\$ 11,631,058.42	Washington Mutual Bank		
2005 MF (Coral Hills Apartments)	88275BMP0	0167	Public Offer	\$ 4,275,000.00	#N/A N/A	AA+	#N/A N/A
2006 MF (Bella Vista Apartments)	88275BNB0	0169	Private Place	\$ 6,295,000.00	#N/A N/A	#N/A N/A	#N/A N/A
2006 MF (Village Park Apartments)	88275BNC8	0170	Public Offer	\$ 9,180,000.00	#N/A N/A	AA+	#N/A N/A
2006 MF (Oakmoor Apartments)	88275BNA2	0171	Private Place	\$ 13,480,832.68	#N/A N/A	#N/A N/A	#N/A N/A
2006 MF (The Residences at Sunset Pointe)	88275AAA8	0172	Public Offer	\$ 15,000,000.00	#N/A N/A	AA+/A-1+	#N/A N/A
2006 MF (Hillcrest Apartments)	88275AAE0	0173	Public Offer	\$ 9,780,000.00	#N/A N/A	NR	#N/A N/A
2006 MF (Meadowlands Apartments)	88275AAH3	0180	Private Place	\$ 11,634,534.16	#N/A N/A	#N/A N/A	#N/A N/A
2006 MF (East Tex Pines)	88275AAP5	0181	Private Place	\$ 12,750,000.00	#N/A N/A	#N/A N/A	#N/A N/A
2006 MF (Aspen Park)	88275AAR1	0183	Public Place	\$ 8,855,000.00	#N/A N/A	AA+	#N/A N/A
2006 MF (Idlewild)	88275AAY6	0184	Public Offer	\$ 12,990,000.00	Aaa/VMIG1	#N/A N/A	#N/A N/A
2007 MF (Lancaster)	88275ABA79	0185	Public Offer	\$ 12,980,000.00	Aaa/VMIG1	#N/A N/A	#N/A N/A

				8/31/2017	Moody's	Standard & Poor's	Fitch
MF Bond Issue	CUSIP	MF Program#	Private or Public	Ending Bonds Outstanding	rtg moody	rtg sp	rtg fitch
2007 MF (Park Place at Loyola)	88275ABB5	0186	Private Place	\$ 13,639,377.80	#N/A N/A	#N/A N/A	#N/A N/A
2007 MF (Terraces at Cibolo)	88275ABC3	0187	Public Place	\$ 4,700,000.00	#N/A N/A	A+/A-1	#N/A N/A
2007 MF (Santora Villas)	88275ABD1	0188	Private Place	\$ 11,567,170.39	#N/A N/A	#N/A N/A	#N/A N/A
2007 MF (Costa Rialto)	None	0191	Private Place	\$ 10,102,898.97	Centerline Equity Issuer Trust		
2007 MF (Windshire)	88275ABN9	0192	Public Offer	\$ 13,000,000.00	Aaa/VMIG1	#N/A N/A	#N/A N/A
2007 MF (Residences @ Onion Creek)	88275ABX7	0193	Public Offer	\$ 15,000,000.00	#N/A N/A	AA+/A-1+	#N/A N/A
2008 MF (West Oaks Apartments)	88275ABY5	0194	Public Offer	\$ 11,875,000.00	Aaa/VMIG1	#N/A N/A	#N/A N/A
2008 MF (Costa Ibiza Apartments)	88275ACD0	0195	Public Offer	\$ 12,820,000.00	Aaa/VMIG1	#N/A N/A	#N/A N/A
2008 MF (Addison Park Apartments)	88275ACE8	0196	Public Offer	\$ 12,395,000.00	#N/A N/A	AA+/A-1+	#N/A N/A
2008 MF (Alta Cullen Refunding)	88275ACF5	0197	Public Offer	\$ 11,700,000.00	#N/A N/A	AA+/A-1+	#N/A N/A
2009 MF (Costa Mariposa)	88275ACK4	0198	Public Offer	\$ 12,925,000.00	Aaa/VMIG1	#N/A N/A	#N/A N/A
2009 MF (Woodmont)	88275ACL2	0199	Public Offer	\$ 14,180,000.00	Aaa/VMIG1	#N/A N/A	#N/A N/A
2014 MF (Decatur Angle)	88275ACN8	0201	Private Place	\$ 22,847,689.27	#N/A N/A	#N/A N/A	#N/A N/A
2016 MF (Williamsburg Apts)	88275ACW8	0205	Public Offer	\$ 22,993,308.62	Aaa	#N/A N/A	#N/A N/A
2016 MF (Skyline Place Apartments)	88275ADC1	211	Public Offer	\$ 18,750,000.00	Aaa	#N/A N/A	#N/A N/A

\$ 800,239,913.79

Bonds Rated in the Highest Category (Aaa OR AAA) \$ 204,778,308.61

Bonds NOT Rated in the Highest Category (Aaa OR AAA) \$ 595,461,605.17

R1

AUDIT and FINANCE COMMITTEE REPORT ITEM

INTERNAL AUDIT DIVISION

December 14, 2017

Presentation and discussion of the Internal Audit report “Review of Contract for Deed Conversion Program”

TEXAS DEPARTMENT OF HOUSING AND COMMUNITY AFFAIRS
An Internal Audit of the Contract For Deed Conversion (CFDC) Program
Audit Report # 17-003

Executive Summary

The Office of Internal Audit (OIA) assessed the Contract For Deed Conversion (CFDC) Program's current compliance with the Texas Government Code (TGC), and the Texas Administrative Code (TAC). We also reviewed policies and procedures, as well as the CFDC Notice of Funding Availability, reports, and other documents

Based on the fieldwork performed, OIA concludes that the CFDC program functions are generally performed accurately and according to applicable rules.

Findings and Recommendations

No Exceptions were noted

Objective, Scope and Methodology

Based upon our risk assessment and other factors, we selected the Contract For Deed Conversion program for detailed testing and analytical procedures. This audit was identified in the Fiscal Year 2017 Annual Audit Plan and included the objectives; (1) to evaluate and explain the CFDC Program and (2) to evaluate the administrative and internal control procedures related to the program

The current audit covered activities and processes in place during fiscal year 2016.



Mark Scott, CPA, CIA, CISA, CFE, MBA
Director, Internal Audit

11/29/17
Date Signed



TEXAS DEPARTMENT OF HOUSING AND COMMUNITY AFFAIRS

www.tdhca.state.tx.us

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November 29, 2017

Writer's direct phone # 512.475.3813
Email: mark.scott@tdhca.state.tx.us

Board Members of the Texas Department of Housing and Community Affairs ("TDHCA")

RE: REVIEW OF THE CONTRACT FOR DEED CONVERSION PROGRAM

Dear Board Members:

This report presents the results of the Office of Internal Audit ("OIA") "*Review of the Contract for Deed Conversion ("CFDC") Program.*" This audit was identified in the Fiscal Year 2017 Annual Audit Plan and was conducted in accordance with applicable audit standards. It included the objectives to evaluate and explain the *CFDC Program* and to evaluate the administrative and internal control procedures related to the program.

Our scope included a review of the Texas Government Code, and the Texas Administrative Code. We also reviewed policies, processes, and procedures, as well as the CFDC Notice of Funding Availability (NOFA), reports, and other related documents. Based upon our preliminary understanding of the CFDC Program we identified critical points and risk, to develop audit objectives and an audit plan including methodology.

The CFDC was selected for audit because the program was implemented after concern was expressed in the 2010 Sunset report on TDHCA. The program assists what is considered a vulnerable demographic group, which can be subject to predatory lending and other unscrupulous practices. The audit covered activities and processes in place during fiscal year 2016.

BACKGROUND

A Contract for Deed (CFD) is a contract in which the buyer pays for property by making monthly payments for a period of years. The buyer does not usually receive a deed to the property until all payments are made according to the contract. A CFD reduces credit restrictions and other impediments to home ownership. However, the households do not have the same level of protection in foreclosure proceedings as do home owners using traditional financing, and can be more vulnerable to fraud or unscrupulous sellers.



Review of Contract for Deed Conversion (CFDC) Program Report # 17-003

In 2010, the Sunset Commission directed TDHCA to study the prevalence of CFD in colonias and report to the Legislature. TDHCA commissioned a study by the University of Texas. The UT study included several recommendations to TDHCA, which were generally towards making it easier for buyers under CFD arrangements to convert into a traditional deed.

TDHCA implemented the current Contract For Deed Conversion (CFDC) program in 2012 in response to the the study by the University of Texas, "The Contract for Deed Prevalence Project." The UT study noted that CFDs do not provide the same protections to the buyers as do traditional mortgages, and noted that they were often referred to as "poor man's mortgages." The report stated:

A key element of most CFDs is the forfeiture clause – which provides that if a buyer defaults under the contracts, the seller can declare the contract terminated, regain possessions, and retain the buyer's prior payments as liquidated damages. Moreover, the homebuyer also typically loses the right to recover from any improvements made to the property. During the contract term, the buyer with a CFD is typically responsible for maintenance of the property and payment of the taxes and insurance, but this depends on contract terms, which are not always delineated. Typically (but not always in the case of non-developer transaction), CFDs include interest on the sales price, with rates significantly higher than conventional financing rates: 12% to 18% interest rates are common, although we came across rates as high as 20% during our field work for the project.

The CFDC program was originally funded through the Housing Trust Fund (HTF). It was set up as a state grant award, to be distributed to administrators, who would carry out the program as TDHCA subrecipients in areas where CFD prevalence was highest. In July 2012, the TDHCA Board adopted an amendment to the "2012-2013 Housing Trust Fund Plan" to make \$500,000 available for a CFDC program serving Colonia residents earning up to 60% of the Area Median Family Income. The rules that the subrecipient administrators were required to follow were set out in the Notice of Funding availability (NOFA). In March 2013, HTF released the "CFDC Assistance Grant" NOFA to offer incentives to administrators wishing to convert CFDs, especially when utilizing Department HOME funds.

In June 2015, the 2016-2017 HTF Biennial Plan was approved providing an additional \$500,000 to the CFDC program, and in January 2017, the 2015 NOFA was re-released in the amount of \$310,500 extending reservation of funds until December 31, 2017

We tested compliance by administrators of the CFDC program. The criteria for audit testing were laid out in the NOFA for the program and the TAC 10, Chapter 23. The conversion program provides funding to assist households with the administrative work required to convert Contracts for Deed. TDHCA utilizes subrecipients to carry out the conversions.

Testing

The CFDC program's general requirements were laid out in TAC 10, Chapter 23(E) Rule§ 23.51. The program was set up using subrecipients to carry out the program, and therefore the entities utilized were either local governments or non-profits subject to the Single Audit Act. We tested for compliance with that act along with the following requirements:

- Minimum of 3 years working history with:
 - Colonia real estate issues or
 - Mortgage loan origination or
 - TX Colonia housing construction activities
- Summary of skills and experiences for key staff in the areas of:
 - Mortgage lending
 - Construction management

Review of Contract for Deed Conversion (CFDC) Program
Report # 17-003

- Real Estate laws
- HOME CFD Governing Board resolution
- HOME CFD has proof of at least \$40k in cash reserves to facilitate administration of the program.

Our testing noted no instances of non-compliance.

The need for the CFDC program was lessened by improved education and subsequent legislation. In 2015 H.B 311 established that, on recording, an executory contract conveys legal title to a purchaser.

OIA extends our sincere appreciation to management and staff of the CFDC Program for their cooperation and assistance during the course of this audit.

Sincerely,

A handwritten signature in cursive script that reads "Mark Scott".

Mark Scott, CPA, CIA, CISA, CFE, MBA
Internal Audit Director

MS/BE, NS

R2

AUDIT AND FINANCE COMMITTEE REPORT ITEM

INTERNAL AUDIT DIVISION

December 14, 2017

Status of Recent External Audit Activities

ORAL PRESENTATION

R3

AUDIT AND FINANCE COMMITTEE REPORT ITEM

FINANCIAL ADMINISTRATION DIVISION

DECEMBER 14, 2017

Presentation and discussion of the 2018 Operating Budget filed with the Legislative Budget Board (“LBB”) and the Governor’s Office of Budget, Planning and Policy (“GOBPP”).

BACKGROUND

In accordance with the General Appropriations Act (“GAA”), 2018-19 Biennium (Senate Bill 1, Article IX, Section 7.01, Eighty-fifth Legislature, Regular Session) state agencies are required to submit an itemized operating budget to the GOBPP and the LBB. The intent of the requirement is to provide State Agencies an opportunity to update budget information subsequent to the enactment of Senate Bill 1.

The Itemized Operating Budget (“IOB”) was filed electronically through the Automated Budget and Evaluation System of Texas (“ABEST”) located on the LBB website on December 1, 2017. Copies were delivered (both hard copy and electronically) to the GOBPP, Legislative Reference Library and the Texas State Library. In addition, an electronic copy of the document was sent to the Comptroller of Public Accounts. This document can be found at www.tdhca.state.tx.us/pdf/18-ABESTOperatingBudget.pdf.

This budget reflects the culmination of the 2016-17 biennium and the budget for the first year of the 2018-19 biennium. Within each year of the biennium is the Department’s internal operating budget such as the one approved by the Board on June 29, 2017. That budget included operational expenses distributed among the Department’s divisions. It did not include federal or state program funds that pass through to subrecipients except for administrative funds used by the Department associated with those federal or state funds that are retained and reflected in the budget.

The 2016-17 GAA approved budget for the biennium was \$486,712,115. This included 313 FTEs for each year of the biennium and a total capital budget of \$597,810. The IOB reflects total adjustments for the biennium \$4,746,557. The differences by Method of Finance are as follows.

	SFY 2016-17 GAA Funding	SFY 2016-17 as reflected in IOB	Adjustments
General Revenue	\$26,480,486	\$27,998,236	\$1,517,750
Federal Funds	\$420,904,702	\$420,195,247	(\$709,455)
Appropriated Receipts	\$38,752,701	\$33,345,632	(\$5,407,069)
Interagency	\$574,226	\$426,443	(\$147,783)
TOTAL	\$486,712,115	\$481,965,558	(\$4,746,557)

The increase in General Revenue is primarily attributed to excess collections of loan repayments related to the Housing Trust Fund loans. The adjustment in Federal funds is primarily related to adjustments to estimates based on actual award letters received and actual program income received. Appropriated Receipts realized savings primarily due to salary savings related to FTE vacancies of which \$627,962 was related to

the hiring freeze in FY2017. Other cost savings were attained through efficiencies for both TDHCA and the Manufactured Housing Division. In addition, the Manufactured Housing Division had excess receipts that were not necessary for the administration of the Division. The savings in the Interagency Contracts is a result of efficiencies in the Money Follows the Person Program with Health and the Human Services Commission.

The FTEs reflected in the IOB were 279.8 and 280.2 for each year of the biennium. This is a reflection of FTEs net of vacancies as reported to the State Auditor's Office ("SAO").

The 2018 budget was updated in the areas of federal funds also due to adjustments to estimates based and actual award letters received and updated program income estimates. There was also a minor adjustment related to an interagency contract for the purpose of the Texas Workforce Commission ("TWC") dedicating funds in support of the continued implementation of Pathways Home framework addressing homelessness.

The 2018 Operating Budget will serve as an updated base for use as a starting point for the development of the 2020-21 Legislative Appropriations Request ("LAR"). The LAR is due August 2018.



Operating Budget
for Fiscal Year 2018

Submitted to the
Governor's Office of Budget, Planning & Policy
and the Legislative Budget Board

by the

Texas Department of Housing and Community Affairs

December 1, 2017

**TEXAS DEPARTMENT OF HOUSING AND COMMUNITY AFFAIRS
2018 ITEMIZED OPERATING BUDGET**

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Budget Overview

Budget Overview
85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

332 Department of Housing and Community Affairs
Appropriation Years: 2018-19

	GENERAL REVENUE FUNDS				FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS	
	2017	2018	2017	2018	2017	2018	2017	2018	2017	2018
Goal: 1. Increase Availability of Safe/Decent/Affordable Housing										
1.1.1. Mrb Program - Single Family							1,431,510	1,590,741	1,431,510	1,590,741
1.1.2. Home Program					30,529,636	34,270,717			30,529,636	34,270,717
1.1.3. Housing Trust Fund	7,221,015	5,184,451					185,848		7,406,863	5,184,451
1.1.4. Section 8 Rental Assistance					6,483,988	6,250,000			6,483,988	6,250,000
1.1.5. Section 811 Pra					56,054	425,294			56,054	425,294
1.1.6. Federal Tax Credits							2,118,529	2,080,019	2,118,529	2,080,019
1.1.7. Mrb Program - Multifamily							192,767	452,537	192,767	452,537
Total, Goal	7,221,015	5,184,451			37,069,678	40,946,011	3,928,654	4,123,297	48,219,347	50,253,759
Goal: 2. Provide Information and Assistance										
2.1.1. Housing Resource Center	337,473	84,297					714,612	1,414,594	1,052,085	1,498,891
2.2.1. Colonia Service Centers							192,740	351,586	192,740	351,586
Total, Goal	337,473	84,297					907,352	1,766,180	1,244,825	1,850,477
Goal: 3. Improve Poor/Homeless Living Conditions & Reduce VLI Energy Costs										
3.1.1. Poverty-Related Funds	5,037,252	4,949,504			43,230,139	43,168,015	10,000	10,000	48,277,391	48,127,519
3.2.1. Energy Assistance Programs					123,784,744	123,784,744			123,784,744	123,784,744
Total, Goal	5,037,252	4,949,504			167,014,883	166,952,759	10,000	10,000	172,062,135	171,912,263
Goal: 4. Ensure Compliance with Program Mandates										
4.1.1. Monitor Housing Requirements		10,250					2,725,314	3,242,310	2,725,314	3,252,560
4.1.2. Monitor Contract Requirements					412,972	618,944			412,972	618,944
Total, Goal		10,250			412,972	618,944	2,725,314	3,242,310	3,138,286	3,871,504
Goal: 5. Regulate Manufactured Housing Industry										
5.1.1. Titling & Licensing							1,448,734	1,927,130	1,448,734	1,927,130
5.1.2. Inspections					368,641	200,000	1,125,726	1,770,218	1,494,367	1,970,218
5.1.3. Enforcement					183,536	100,000	1,219,210	1,654,149	1,402,746	1,754,149
5.1.4. Texas.Gov	1,970	19,120							1,970	19,120
Total, Goal	1,970	19,120			552,177	300,000	3,793,670	5,351,497	4,347,817	5,670,617

Budget Overview
85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

332 Department of Housing and Community Affairs
Appropriation Years: 2018-19

	GENERAL REVENUE FUNDS				FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS	
	2017	2018	2017	2018	2017	2018	2017	2018	2017	2018
Goal: 6. Indirect Administration and Support Costs										
6.1.1. Central Administration	1,550,560	1,644,389					3,433,390	4,295,756	4,983,950	5,940,145
6.1.2. Information Resource Technologies	142,890	150,110					1,529,702	1,901,883	1,672,592	2,051,993
6.1.3. Operating/Support	78,424	80,539					481,530	520,351	559,954	600,890
Total, Goal	1,771,874	1,875,038					5,444,622	6,717,990	7,216,496	8,593,028
Total, Agency	14,369,584	12,122,660			205,049,710	208,817,714	16,809,612	21,211,274	236,228,906	242,151,648
Total FTEs									280.2	313.0

**Summary Of Budget
By Strategy**

2.A. Summary of Budget By Strategy

DATE : 12/1/2017

TIME : 3:30:43PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 332 Agency name: Department of Housing and Community Affairs

Goal/Objective/STRATEGY	EXP 2016	EXP 2017	BUD 2018
1 Increase Availability of Safe/Decent/Affordable Housing			
1 Make Loans/Grants/Incentives to Fund/Develop/Preserve Housing			
1 MRB PROGRAM - SINGLE FAMILY	\$1,477,140	\$1,431,510	\$1,590,741
2 HOME PROGRAM	\$32,976,313	\$30,529,636	\$34,270,717
3 HOUSING TRUST FUND	\$6,489,756	\$7,406,863	\$5,184,451
4 SECTION 8 RENTAL ASSISTANCE	\$6,317,597	\$6,483,988	\$6,250,000
5 SECTION 811 PRA	\$12,008,480	\$56,054	\$425,294
6 FEDERAL TAX CREDITS	\$1,922,457	\$2,118,529	\$2,080,019
7 MRB PROGRAM - MULTIFAMILY	\$243,860	\$192,767	\$452,537
TOTAL, GOAL 1	\$61,435,603	\$48,219,347	\$50,253,759
2 Provide Information and Assistance			
1 Provide Information and Assistance for Housing and Community Services			
1 HOUSING RESOURCE CENTER	\$1,076,771	\$1,052,085	\$1,498,891
2 Promote and Improve Homeownership Along the Texas-Mexico Border			
1 COLONIA SERVICE CENTERS	\$253,445	\$192,740	\$351,586
TOTAL, GOAL 2	\$1,330,216	\$1,244,825	\$1,850,477
3 Improve Poor/Homeless Living Conditions & Reduce VLI Energy Costs			
1 Ease Hardships for 16% of Homeless & Very Low Income Persons Each Year			
1 POVERTY-RELATED FUNDS	\$48,000,514	\$48,277,391	\$48,127,519
2 Reduce Cost of Home Energy for 6% of Very Low Income Households			
1 ENERGY ASSISTANCE PROGRAMS	\$119,809,708	\$123,784,744	\$123,784,744
TOTAL, GOAL 3	\$167,810,222	\$172,062,135	\$171,912,263

2.A. Summary of Budget By Strategy

DATE : 12/1/2017

TIME : 3:30:43PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 332 Agency name: Department of Housing and Community Affairs

Goal/Objective/STRATEGY	EXP 2016	EXP 2017	BUD 2018
4 Ensure Compliance with Program Mandates			
1 <i>Monitor Developments & Subrecipient Contracts for Compliance</i>			
1 MONITOR HOUSING REQUIREMENTS	\$2,537,912	\$2,725,314	\$3,252,560
2 MONITOR CONTRACT REQUIREMENTS	\$468,415	\$412,972	\$618,944
TOTAL, GOAL 4	\$3,006,327	\$3,138,286	\$3,871,504
5 Regulate Manufactured Housing Industry			
1 <i>Operate a Regulatory System To Ensure Responsive SOL/Licensing/Other</i>			
1 TITLING & LICENSING	\$1,515,019	\$1,448,734	\$1,927,130
2 INSPECTIONS	\$1,518,231	\$1,494,367	\$1,970,218
3 ENFORCEMENT	\$1,532,509	\$1,402,746	\$1,754,149
4 TEXAS.GOV	\$2,085	\$1,970	\$19,120
TOTAL, GOAL 5	\$4,567,844	\$4,347,817	\$5,670,617
6 Indirect Administration and Support Costs			
1 <i>Indirect Administration and Support Costs</i>			
1 CENTRAL ADMINISTRATION	\$5,269,919	\$4,983,950	\$5,940,145
2 INFORMATION RESOURCE TECHNOLOGIES	\$1,740,922	\$1,672,592	\$2,051,993
3 OPERATING/SUPPORT	\$575,599	\$559,954	\$600,890
TOTAL, GOAL 6	\$7,586,440	\$7,216,496	\$8,593,028

2.A. Summary of Budget By Strategy

DATE : 12/1/2017

TIME : 3:30:43PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 332 Agency name: Department of Housing and Community Affairs

Goal/Objective/STRATEGY	EXP 2016	EXP 2017	BUD 2018
General Revenue Funds:			
1 General Revenue Fund	\$13,628,652	\$14,369,584	\$12,122,660
	\$13,628,652	\$14,369,584	\$12,122,660
Federal Funds:			
127 Community Affairs Fed Fd	\$207,168,252	\$199,721,709	\$203,817,714
369 Fed Recovery & Reinvestment Fund	\$7,977,285	\$5,328,001	\$5,000,000
	\$215,145,537	\$205,049,710	\$208,817,714
Other Funds:			
666 Appropriated Receipts	\$16,757,735	\$16,587,897	\$20,373,168
777 Interagency Contracts	\$204,728	\$221,715	\$838,106
	\$16,962,463	\$16,809,612	\$21,211,274
TOTAL, METHOD OF FINANCING	\$245,736,652	\$236,228,906	\$242,151,648
FULL TIME EQUIVALENT POSITIONS	279.8	280.2	313.0

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**Summary Of Budget
By
Method Of Finance**

2.B. Summary of Budget By Method of Finance
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
 TIME: 3:30:56PM

Agency code: 332 Agency name: Department of Housing and Community Affairs

METHOD OF FINANCING	Exp 2016	Exp 2017	Bud 2018
<u>GENERAL REVENUE</u>			
1 General Revenue Fund			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2016-17 GAA)	\$13,209,997	\$13,270,489	\$0
Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$0	\$12,122,660
<i>RIDER APPROPRIATION</i>			
Art VII, Rider 8, HTF Interest Earnings and Loan Repayments (2016-17 GAA)	\$559,076	\$1,304,255	\$0
Comments: Adjustment reflects amounts above those estimated in Rider 8. Appropriation: Housing Trust Fund Interest Earnings and Load Repayments. Interest earnings and loan repayments received from loans made through the Housing Trust Fund are included above in Strategy A.1.3, Housing Trust Fund estimated to be \$2,200,000 each year.			
<i>TRANSFERS</i>			
Art IX, Sec 18.02, Salary Increase for General State Employees (2016-17)	\$75,493	\$41,589	\$0
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations	\$(215,914)	\$(213,171)	\$0
Comments: In SFY16/17 TDHCA Lapsed a portion of the authority associated with Texas Online (\$19,120 each year) and Central Administration (\$171K/\$200K) as a result of cost savings attained through efficiencies. An additional lapse of \$12K each year occurred due to several contracts in the HHSP program that did not fully expend balances			
Savings due to Hiring Freeze	\$0	\$(33,578)	\$0
TOTAL, General Revenue Fund	\$13,628,652	\$14,369,584	\$12,122,660

2.B. Summary of Budget By Method of Finance
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
 TIME: 3:30:56PM

Agency code: 332 Agency name: Department of Housing and Community Affairs

METHOD OF FINANCING		Exp 2016	Exp 2017	Bud 2018
TOTAL, ALL	GENERAL REVENUE	\$13,628,652	\$14,369,584	\$12,122,660
<u>FEDERAL FUNDS</u>				
127	Community Affairs Federal Fund No. 127			
	<i>REGULAR APPROPRIATIONS</i>			
	Regular Appropriations from MOF Table (2016-17 GAA)	\$205,452,351	\$205,452,351	\$0
	Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$0	\$197,221,120
	<i>RIDER APPROPRIATION</i>			
	Art IX, Sec 13.01, Federal Funds/Block Grants (2016-17 GAA)	\$1,715,901	\$(5,730,642)	\$0
	Comments: Adjustments due to changes from original estimates.			
	Art IX, Sec 13.01, Federal Funds/Block Grants (2018-19 GAA)	\$0	\$0	\$6,596,594
	Comments: Adjustments due to changes from original estimates.			
TOTAL,	Community Affairs Federal Fund No. 127	\$207,168,252	\$199,721,709	\$203,817,714
369	Federal American Recovery and Reinvestment Fund			
	<i>REGULAR APPROPRIATIONS</i>			
	Regular Appropriations from MOF Table (2016-17 GAA)	\$5,000,000	\$5,000,000	\$0
	Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$0	\$6,500,000
	<i>RIDER APPROPRIATION</i>			
	Art IX, Sec 13.01, Federal Funds/Block Grants (2016-17 GAA)	\$2,977,285	\$328,001	\$0
	Comments: Adjustments for SFY 2016 include \$2.977M and \$328K in SFY2017 in Program Income earned through the Tax Credit Assistance Program (TCAP). There is no deadline for expending these funds.			

2.B. Summary of Budget By Method of Finance
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
 TIME: 3:30:56PM

Agency code: 332 Agency name: Department of Housing and Community Affairs

METHOD OF FINANCING	Exp 2016	Exp 2017	Bud 2018
Art IX, Sec 13.01, Federal Funds/Block Grants (2018-19 GAA)	\$0	\$0	\$(1,500,000)
Comments: Adjustments for SFY 2018 include a \$1.5M reduction in estimated Program Income earned through the Tax Credit Assistance Program (TCAP).			
TOTAL, Federal American Recovery and Reinvestment Fund	\$7,977,285	\$5,328,001	\$5,000,000
TOTAL, ALL FEDERAL FUNDS	\$215,145,537	\$205,049,710	\$208,817,714

OTHER FUNDS

666 Appropriated Receipts			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2016-17 GAA)	\$19,226,807	\$19,525,894	\$0
Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$0	\$20,373,168
<i>TRANSFERS</i>			
Art IX, Sec 18.02, Salary Increase for General State Employees (2016-17)	\$312,124	\$272,025	\$0
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations TDHCA fund 896	\$(1,594,585)	\$(956,620)	\$0
Comments: Lapses for SFY16/17 primarily due to cost savings in the areas of Compliance, Central Support, and Multifamily Bond Program.			
Savings due to Hiring Freeze	\$0	\$(627,962)	\$0
Lapsed Appropriations Manufactured Housing	\$(1,186,611)	\$(1,625,440)	\$0
Comments: Lapses for SFY 16/17 reflect funding source change due to increased federal funds receipts and excess collections.			
TOTAL, Appropriated Receipts	\$16,757,735	\$16,587,897	\$20,373,168

2.B. Summary of Budget By Method of Finance
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
 TIME: 3:30:56PM

Agency code: 332 Agency name: Department of Housing and Community Affairs

METHOD OF FINANCING	Exp 2016	Exp 2017	Bud 2018
<u>777</u> Interagency Contracts			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2016-17 GAA)	\$287,113	\$287,113	\$0
Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$0	\$828,106
<i>TRANSFERS</i>			
Art IX, Sec 18.02, Salary Increase for General State Employees (2016-17)	\$0	\$1,573	\$0
Interagency Cooperation Act, Texas Government Code, Chapter 771.	\$0	\$10,000	\$10,000
Comments: Contract between TDHCA and TWC for the purpose of TWC dedicating \$10,000.00 in TANF funds to the TDHCA in support of the continued implementation of Pathways Home framework addressing homelessness, in accordance with Texas Government Code § 2306.906(b).			
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriation	\$(82,385)	\$(76,971)	\$0
Comments: Savings related to non-salary related costs in the administration of the Money Follows the Person Program.			
TOTAL, Interagency Contracts	\$204,728	\$221,715	\$838,106
TOTAL, ALL OTHER FUNDS	\$16,962,463	\$16,809,612	\$21,211,274
GRAND TOTAL	\$245,736,652	\$236,228,906	\$242,151,648

2.B. Summary of Budget By Method of Finance
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **12/1/2017**
 TIME: **3:30:56PM**

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

METHOD OF FINANCING	Exp 2016	Exp 2017	Bud 2018
FULL-TIME-EQUIVALENT POSITIONS			
REGULAR APPROPRIATIONS			
Regular Appropriations from MOF Table (2016-17 GAA)	313.0	313.0	0.0
Regular Appropriations from MOF Table (2018-19 GAA)	0.0	0.0	313.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP			
Vacant Positions	(33.2)	(32.8)	0.0
TOTAL, ADJUSTED FTES	279.8	280.2	313.0
NUMBER OF 100% FEDERALLY FUNDED FTES	44.0	41.0	37.0

**Summary Of Budget
By
Object Of Expense**

2.C. Summary of Budget By Object of Expense
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **12/1/2017**
 TIME: **3:31:07PM**

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

OBJECT OF EXPENSE	EXP 2016	EXP 2017	BUD 2018
1001 SALARIES AND WAGES	\$18,557,922	\$18,821,799	\$21,231,709
1002 OTHER PERSONNEL COSTS	\$1,095,169	\$1,152,912	\$869,380
2001 PROFESSIONAL FEES AND SERVICES	\$1,229,989	\$879,513	\$1,231,494
2003 CONSUMABLE SUPPLIES	\$90,111	\$86,046	\$96,293
2004 UTILITIES	\$49,865	\$41,471	\$113,589
2005 TRAVEL	\$724,730	\$750,261	\$917,226
2006 RENT - BUILDING	\$215,671	\$219,910	\$262,282
2007 RENT - MACHINE AND OTHER	\$55,512	\$55,226	\$68,689
2009 OTHER OPERATING EXPENSE	\$2,498,241	\$2,028,729	\$3,158,977
3001 CLIENT SERVICES	\$17,829,996	\$5,888,628	\$6,622,714
4000 GRANTS	\$203,333,696	\$206,213,778	\$206,265,089
5000 CAPITAL EXPENDITURES	\$55,750	\$90,633	\$1,314,206
Agency Total	\$245,736,652	\$236,228,906	\$242,151,648

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Summary of Objective Outcomes

2.D. Summary of Budget By Objective Outcomes
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 12/1/2017
 Time: 3:31:20PM

Agency code: 332 Agency name: Department of Housing and Community Affairs

Goal/ Objective / OUTCOME	Exp 2016	Exp 2017	Bud2018
1 Increase Availability of Safe/Decent/Affordable Housing			
1 <i>Make Loans/Grants/Incentives to Fund/Develop/Preserve Housing</i>			
KEY 1 Percent Households/Individuals Assisted	0.34 %	0.73 %	0.76 %
KEY 2 Percent Very Low Income Households Receiving Housing Assistance	0.58 %	0.88 %	0.99 %
KEY 3 Percent Low Income Households Receiving Housing Assistance	0.17 %	0.31 %	0.24 %
KEY 4 Percent Households of Moderate Income Receiving Housing Assistance	0.45 %	1.05 %	0.79 %
5 Percent of Multi-family Rental Units Benefiting VL/MI Households	100.00 %	100.00 %	100.00 %
2 Provide Information and Assistance			
1 <i>Provide Information and Assistance for Housing and Community Services</i>			
1 % of Info/TA Requests Completed Within Established Time Frames	100.00 %	100.00 %	100.00 %
3 Improve Poor/Homeless Living Conditions & Reduce VLI Energy Costs			
1 <i>Ease Hardships for 16% of Homeless & Very Low Income Persons Each Year</i>			
KEY 1 % Eligible Population That Received Homeless & Poverty-Related Asst	9.97 %	8.97 %	9.12 %
2 Percent of Persons Achieving Incomes Above Poverty Level	0.02 %	0.02 %	0.02 %
2 <i>Reduce Cost of Home Energy for 6% of Very Low Income Households</i>			
KEY 1 Percent of Very Low Income Households Receiving Utility Assistance	5.30 %	6.50 %	6.50 %
4 Ensure Compliance with Program Mandates			
1 <i>Monitor Developments & Subrecipient Contracts for Compliance</i>			
1 Percent of Properties Monitored	100.00 %	100.00 %	100.00 %
2 Percent of Formula-Funded Receiving Onsite Monitoring	91.67	65.38	57.00
5 Regulate Manufactured Housing Industry			
1 <i>Operate a Regulatory System To Ensure Responsive SOL/Licensing/Other</i>			
1 Percent of Apps Processed within Established Time Frames	99.85 %	100.00 %	100.00 %
KEY 2 Percent of Consumer Complaint Inspections Conducted within 30 Days	99.59 %	100.00 %	100.00 %
KEY 3 Percent of Complaints Resulting in Disciplinary Action	20.00 %	22.74 %	20.00 %
4 Percent of Documented Complaints Resolved within Six Months	94.35 %	97.53 %	80.00 %
5 Recidivism Rate for Those Receiving Disciplinary Action	14.29 %	14.58 %	30.00 %

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Strategy Level Detail

3.A. Strategy Level Detail

DATE: 12/1/2017
TIME: 3:31:33PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 332 Agency name: Department of Housing and Community Affairs

GOAL: 1 Increase Availability of Safe/Decent/Affordable Housing
OBJECTIVE: 1 Make Loans/Grants/Incentives to Fund/Develop/Preserve Housing
STRATEGY: 1 Mortgage Loans & MCCs through the SF MRB Program

Service Categories:
Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
KEY 1	# Households Asst. through Bond Authority or Other Mortgage Financing	2,988.00	5,870.00	4,402.00
Efficiency Measures:				
1	Average Loan Amount w/o Down Payment Assistance	0.00	0.00	0.00
2	Avg Loan Amount with Down Payment Assistance	140,284.00	158,353.00	158,353.00
3	Average Mortgage Credit Certificate Amount	60,109.00	66,024.00	57,092.00
Explanatory/Input Measures:				
1	Households Receiving Mortgage Loans w/o Down Payment Assistance	0.00	0.00	0.00
2	Number Households Receiving Mortgage Loans w/ Down Payment Assistance	1,062.00	2,423.00	1,817.00
3	# of Stand Alone MCCs Issued without a TDHCA Mortgage Loan	1,429.00	1,985.00	1,488.00
4	Number of Mortgage Credit Certificates Combined with Mortgage Loans	497.00	1,462.00	1,097.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$1,007,753	\$1,058,269	\$1,174,174
1002	OTHER PERSONNEL COSTS	\$53,710	\$70,030	\$35,072
2001	PROFESSIONAL FEES AND SERVICES	\$60,632	\$51,828	\$51,524
2003	CONSUMABLE SUPPLIES	\$5,147	\$8,903	\$2,603
2004	UTILITIES	\$2,836	\$2,049	\$5,860
2005	TRAVEL	\$35,083	\$30,849	\$36,500
2006	RENT - BUILDING	\$6,527	\$8,522	\$9,503
2007	RENT - MACHINE AND OTHER	\$896	\$981	\$1,296
2009	OTHER OPERATING EXPENSE	\$302,475	\$196,338	\$240,407
5000	CAPITAL EXPENDITURES	\$2,081	\$3,741	\$33,802
TOTAL, OBJECT OF EXPENSE		\$1,477,140	\$1,431,510	\$1,590,741

Method of Financing:

3.A. Strategy Level Detail

DATE: 12/1/2017
 TIME: 3:31:33PM

85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 1 Increase Availability of Safe/Decent/Affordable Housing

OBJECTIVE: 1 Make Loans/Grants/Incentives to Fund/Develop/Preserve Housing

STRATEGY: 1 Mortgage Loans & MCCs through the SF MRB Program

Service Categories:

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
666	Appropriated Receipts	\$1,477,140	\$1,431,510	\$1,590,741
SUBTOTAL, MOF (OTHER FUNDS)		\$1,477,140	\$1,431,510	\$1,590,741
TOTAL, METHOD OF FINANCE :		\$1,477,140	\$1,431,510	\$1,590,741
FULL TIME EQUIVALENT POSITIONS:		12.3	12.0	14.3

3.A. Strategy Level Detail

DATE: 12/1/2017
TIME: 3:31:33PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 1 Increase Availability of Safe/Decent/Affordable Housing
OBJECTIVE: 1 Make Loans/Grants/Incentives to Fund/Develop/Preserve Housing
STRATEGY: 2 Provide Funding through the HOME Program for Affordable Housing

Service Categories:

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
KEY 1	Number of Households Assisted with Single Family HOME Funds	691.00	801.00	817.00
2	#HHS Ass. W/ Multifamily HOME, (TCAP) RF, Other MF Direct Loan Funds	262.00	174.00	218.00
Efficiency Measures:				
1	Avg Amt Per Household for Single Family Development	96,306.00	110,180.00	125,000.00
2	Avg Amt Per Household/Single Family Rehab, New Const or Reconstruction	89,549.00	91,945.00	108,000.00
3	Average Amount for Homebuyer and Homebuyer with Rehab Assistance	8,736.00	13,750.00	13,750.00
4	Average Amount Per Household of Tenant-based Rental Assistance	3,125.00	3,969.00	4,500.00
5	Average HOME, TCAP RF, or Other Funds Amount Per Household MF Develop	77,363.00	92,085.00	100,000.00
Explanatory/Input Measures:				
1	# of Households Asst. through S.F. Development Activities	2.00	4.00	14.00
2	# of Households Asst through S.F. Rehab, New Const, or Reconst Act	155.00	127.00	141.00
3	# of Household Asst through Homebuyer & Homebuyer/Home Rehab Asst	3.00	4.00	10.00
4	Number of Households Assisted through Tenant-based Rental Assistance	531.00	666.00	652.00
5	Number of Households Assisted through HOME Multifamily Activities	262.00	163.00	100.00
6	# Households Assisted thru TCAP RF and Other MF Direct Loan Activities	0.00	11.00	118.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$1,202,089	\$1,014,804	\$1,082,226
1002	OTHER PERSONNEL COSTS	\$66,418	\$54,497	\$27,456
2001	PROFESSIONAL FEES AND SERVICES	\$69,296	\$820	\$42,527
2003	CONSUMABLE SUPPLIES	\$514	\$482	\$624
2004	UTILITIES	\$84	\$5	\$1,137
2005	TRAVEL	\$3,845	\$4,381	\$10,500
2006	RENT - BUILDING	\$0	\$200	\$332

3.A. Strategy Level Detail

DATE: 12/1/2017
 TIME: 3:31:33PM

85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 1 Increase Availability of Safe/Decent/Affordable Housing

OBJECTIVE: 1 Make Loans/Grants/Incentives to Fund/Develop/Preserve Housing

STRATEGY: 2 Provide Funding through the HOME Program for Affordable Housing

Service Categories:

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
2007	RENT - MACHINE AND OTHER	\$1,624	\$1,632	\$2,683
2009	OTHER OPERATING EXPENSE	\$51,808	\$50,516	\$45,023
3001	CLIENT SERVICES	\$0	\$0	\$0
4000	GRANTS	\$31,577,069	\$29,395,882	\$33,016,133
5000	CAPITAL EXPENDITURES	\$3,566	\$6,417	\$42,076
TOTAL, OBJECT OF EXPENSE		\$32,976,313	\$30,529,636	\$34,270,717
Method of Financing:				
127	Community Affairs Fed Fd			
14.228.000	Community Development Blo	\$2,141,323	\$2,376,546	\$1,800,000
14.239.000	HOME Investment Partnersh	\$22,857,705	\$22,825,089	\$22,681,241
14.275.000	Housing Trust Fund	\$0	\$0	\$4,789,476
CFDA Subtotal, Fund	127	\$24,999,028	\$25,201,635	\$29,270,717
369	Fed Recovery & Reinvestment Fund			
14.258.000	Tax Credit Assistance Prgm-Stimulus	\$7,977,285	\$5,328,001	\$5,000,000
CFDA Subtotal, Fund	369	\$7,977,285	\$5,328,001	\$5,000,000
SUBTOTAL, MOF (FEDERAL FUNDS)		\$32,976,313	\$30,529,636	\$34,270,717
TOTAL, METHOD OF FINANCE :		\$32,976,313	\$30,529,636	\$34,270,717
FULL TIME EQUIVALENT POSITIONS:		17.5	15.0	16.8

3.A. Strategy Level Detail

DATE: 12/1/2017
TIME: 3:31:33PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 1 Increase Availability of Safe/Decent/Affordable Housing
OBJECTIVE: 1 Make Loans/Grants/Incentives to Fund/Develop/Preserve Housing
STRATEGY: 3 Provide Funding through the HTF for Affordable Housing

Service Categories:
Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
KEY 1	Number of Single Family Households Assisted through the HTF Program	162.00	191.00	147.00
Efficiency Measures:				
1	Average Amount Per Household for Single Family Bootstrap	40,882.00	42,954.00	45,000.00
2	Average Amount Per Household for Single Family Non-Bootstrap	17,599.00	20,376.00	18,000.00
Explanatory/Input Measures:				
1	Number of Households Assisted through Single Family Bootstrap	48.00	66.00	67.00
2	Number of Households Assisted through Single Family Non-Bootstrap	114.00	125.00	80.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$488,635	\$618,303	\$360,707
1002	OTHER PERSONNEL COSTS	\$18,566	\$21,702	\$6,769
2001	PROFESSIONAL FEES AND SERVICES	\$941	\$1,621	\$834
2003	CONSUMABLE SUPPLIES	\$1,449	\$2,119	\$745
2004	UTILITIES	\$1,268	\$1,631	\$1,221
2005	TRAVEL	\$14,126	\$10,958	\$18,000
2006	RENT - BUILDING	\$1,003	\$2,283	\$2,551
2007	RENT - MACHINE AND OTHER	\$641	\$445	\$486
2009	OTHER OPERATING EXPENSE	\$48,020	\$44,971	\$34,370
4000	GRANTS	\$5,915,107	\$6,702,830	\$4,758,768
TOTAL, OBJECT OF EXPENSE		\$6,489,756	\$7,406,863	\$5,184,451
Method of Financing:				
1	General Revenue Fund	\$6,430,767	\$7,221,015	\$5,184,451
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$6,430,767	\$7,221,015	\$5,184,451

3.A. Strategy Level Detail

DATE: 12/1/2017
 TIME: 3:31:33PM

85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 1 Increase Availability of Safe/Decent/Affordable Housing
 OBJECTIVE: 1 Make Loans/Grants/Incentives to Fund/Develop/Preserve Housing
 STRATEGY: 3 Provide Funding through the HTF for Affordable Housing

Service Categories:
 Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Method of Financing:				
	666 Appropriated Receipts	\$58,989	\$185,848	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$58,989	\$185,848	\$0
TOTAL, METHOD OF FINANCE :		\$6,489,756	\$7,406,863	\$5,184,451
FULL TIME EQUIVALENT POSITIONS:		8.5	8.9	5.3

3.A. Strategy Level Detail

DATE: 12/1/2017
TIME: 3:31:33PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 1 Increase Availability of Safe/Decent/Affordable Housing
OBJECTIVE: 1 Make Loans/Grants/Incentives to Fund/Develop/Preserve Housing
STRATEGY: 4 Federal Rental Assistance through Section 8 Vouchers

Service Categories:
Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
KEY 1	Total # of HHS Assisted thru Statewide Housing Asst. Payments Program	1,138.00	945.00	950.00
2	# of Section 8 Households Participating in Project Access Program	90.00	107.00	140.00
Efficiency Measures:				
1	Average Admin Cost Per Household for Housing Choice Voucher Program	547.00	689.00	690.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$268,239	\$282,930	\$251,972
1002	OTHER PERSONNEL COSTS	\$11,148	\$12,105	\$9,150
2001	PROFESSIONAL FEES AND SERVICES	\$8,583	\$13,344	\$13,500
2003	CONSUMABLE SUPPLIES	\$408	\$471	\$404
2004	UTILITIES	\$3	\$1	\$835
2005	TRAVEL	\$5,923	\$6,170	\$4,500
2006	RENT - BUILDING	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$712	\$1,135	\$780
2009	OTHER OPERATING EXPENSE	\$35,252	\$31,560	\$36,874
3001	CLIENT SERVICES	\$5,799,835	\$5,792,280	\$5,780,714
4000	GRANTS	\$186,713	\$342,589	\$139,451
5000	CAPITAL EXPENDITURES	\$781	\$1,403	\$11,820
TOTAL, OBJECT OF EXPENSE		\$6,317,597	\$6,483,988	\$6,250,000
Method of Financing:				
127	Community Affairs Fed Fd			
14.871.000	SECTION 8 HOUSING CHOICE VOUCHERS	\$6,317,597	\$6,483,988	\$6,250,000
CFDA Subtotal, Fund	127	\$6,317,597	\$6,483,988	\$6,250,000
SUBTOTAL, MOF (FEDERAL FUNDS)		\$6,317,597	\$6,483,988	\$6,250,000

3.A. Strategy Level Detail

DATE: 12/1/2017
 TIME: 3:31:33PM

85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 1 Increase Availability of Safe/Decent/Affordable Housing

OBJECTIVE: 1 Make Loans/Grants/Incentives to Fund/Develop/Preserve Housing

STRATEGY: 4 Federal Rental Assistance through Section 8 Vouchers

Service Categories:

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
TOTAL, METHOD OF FINANCE :		\$6,317,597	\$6,483,988	\$6,250,000
FULL TIME EQUIVALENT POSITIONS:		5.0	4.1	5.0

3.A. Strategy Level Detail

DATE: 12/1/2017
TIME: 3:31:33PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 332 Agency name: Department of Housing and Community Affairs

GOAL: 1 Increase Availability of Safe/Decent/Affordable Housing
OBJECTIVE: 1 Make Loans/Grants/Incentives to Fund/Develop/Preserve Housing
STRATEGY: 5 Assistance Through Federal Sec 811 Project Rental Assistance Program

Service Categories:
Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
1	Number of Households Assisted through Section 811 PRA Program	0.00	14.00	71.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$0	\$0	\$56,013
1002	OTHER PERSONNEL COSTS	\$0	\$0	\$580
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$0	\$13,000
2005	TRAVEL	\$5,466	\$9,878	\$10,000
2009	OTHER OPERATING EXPENSE	\$3,014	\$6,673	\$3,701
3001	CLIENT SERVICES	\$12,000,000	\$39,503	\$342,000
TOTAL, OBJECT OF EXPENSE		\$12,008,480	\$56,054	\$425,294
Method of Financing:				
127	Community Affairs Fed Fd			
14.326.000	Sec 811 PRA Demo	\$12,008,480	\$56,054	\$425,294
CFDA Subtotal, Fund	127	\$12,008,480	\$56,054	\$425,294
SUBTOTAL, MOF (FEDERAL FUNDS)		\$12,008,480	\$56,054	\$425,294
TOTAL, METHOD OF FINANCE :		\$12,008,480	\$56,054	\$425,294
FULL TIME EQUIVALENT POSITIONS:		0.0	0.0	1.0

3.A. Strategy Level Detail

DATE: 12/1/2017
TIME: 3:31:33PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 332 Agency name: Department of Housing and Community Affairs

GOAL: 1 Increase Availability of Safe/Decent/Affordable Housing
OBJECTIVE: 1 Make Loans/Grants/Incentives to Fund/Develop/Preserve Housing
STRATEGY: 6 Provide Federal Tax Credits to Develop Rental Housing for VLI and LI

Service Categories:
Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
KEY 1	Number of Households Assisted through the Housing Tax Credit Program	5,994.00	9,817.00	11,886.00
Efficiency Measures:				
1	Avg Annual Tax Credits Amount Per Household for New Construction	10,272.00	9,312.00	9,285.00
2	Average Total Development Costs per Household for New Construction	146,000.00	151,802.00	155,862.00
3	Average Annual Tax Credits Amount Per Household for Acqu/Rehab	5,574.00	4,362.00	5,764.00
4	Average Total Development Costs Per Household for Acquisition/Rehab	106,244.00	120,592.00	121,612.00
Explanatory/Input Measures:				
1	Number of Households Assisted through New Construction Activities	5,171.00	6,297.00	9,735.00
2	Number of Households Assisted through Acqu/Rehab Activities	823.00	3,520.00	2,151.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$1,745,213	\$1,902,128	\$1,842,674
1002	OTHER PERSONNEL COSTS	\$59,506	\$80,660	\$29,565
2001	PROFESSIONAL FEES AND SERVICES	\$2,153	\$3,748	\$7,816
2003	CONSUMABLE SUPPLIES	\$3,397	\$4,972	\$2,123
2004	UTILITIES	\$267	\$679	\$2,003
2005	TRAVEL	\$9,849	\$7,239	\$12,000
2006	RENT - BUILDING	\$4,857	\$5,810	\$5,822
2007	RENT - MACHINE AND OTHER	\$2,337	\$2,072	\$2,045
2009	OTHER OPERATING EXPENSE	\$91,498	\$105,142	\$113,567
5000	CAPITAL EXPENDITURES	\$3,380	\$6,079	\$62,404
TOTAL, OBJECT OF EXPENSE		\$1,922,457	\$2,118,529	\$2,080,019
Method of Financing:				
666	Appropriated Receipts	\$1,922,457	\$2,118,529	\$2,080,019

3.A. Strategy Level Detail

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85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 1 Increase Availability of Safe/Decent/Affordable Housing

OBJECTIVE: 1 Make Loans/Grants/Incentives to Fund/Develop/Preserve Housing

Service Categories:

STRATEGY: 6 Provide Federal Tax Credits to Develop Rental Housing for VLI and LI

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
SUBTOTAL, MOF (OTHER FUNDS)		\$1,922,457	\$2,118,529	\$2,080,019
TOTAL, METHOD OF FINANCE :		\$1,922,457	\$2,118,529	\$2,080,019
FULL TIME EQUIVALENT POSITIONS:		24.9	27.1	26.3

3.A. Strategy Level Detail

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 1 Increase Availability of Safe/Decent/Affordable Housing
OBJECTIVE: 1 Make Loans/Grants/Incentives to Fund/Develop/Preserve Housing
STRATEGY: 7 Federal Mortgage Loans through the MF Mortgage Revenue Bond Program

Service Categories:

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
KEY 1	Number of Households Assisted with Multifamily MRB Program	434.00	342.00	856.00
Efficiency Measures:				
1	Average Amount of Bond Proceeds Per Household for New Construction	76,159.00	66,116.00	0.00
2	Average Total Development Costs Per Household for New Construction	133,365.00	135,928.00	0.00
3	Avg Amount of Bond Proceeds/Household for Acquisition/Rehabilitation	62,879.00	56,200.00	62,376.00
4	Average Total Development Costs Per Household for Acqu/Rehab	88,263.00	122,662.00	114,476.00
Explanatory/Input Measures:				
1	Number of Households Assisted through New Construction Activities	302.00	242.00	0.00
2	Number of Households Assisted through Acqu/Rehab Activities	132.00	100.00	856.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$174,837	\$105,655	\$347,420
1002	OTHER PERSONNEL COSTS	\$3,520	\$10,611	\$6,023
2001	PROFESSIONAL FEES AND SERVICES	\$1,445	\$2,346	\$745
2003	CONSUMABLE SUPPLIES	\$2,236	\$3,055	\$2,226
2004	UTILITIES	\$158	\$376	\$2,073
2005	TRAVEL	\$5,256	\$3,916	\$13,000
2006	RENT - BUILDING	\$2,977	\$3,288	\$4,085
2007	RENT - MACHINE AND OTHER	\$1,584	\$1,300	\$1,589
2009	OTHER OPERATING EXPENSE	\$50,202	\$60,781	\$64,031
5000	CAPITAL EXPENDITURES	\$1,645	\$1,439	\$11,345
TOTAL, OBJECT OF EXPENSE		\$243,860	\$192,767	\$452,537
Method of Financing:				
666	Appropriated Receipts	\$243,860	\$192,767	\$452,537

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 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 1 Increase Availability of Safe/Decent/Affordable Housing

OBJECTIVE: 1 Make Loans/Grants/Incentives to Fund/Develop/Preserve Housing

Service Categories:

STRATEGY: 7 Federal Mortgage Loans through the MF Mortgage Revenue Bond Program

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
SUBTOTAL, MOF (OTHER FUNDS)		\$243,860	\$192,767	\$452,537
TOTAL, METHOD OF FINANCE :		\$243,860	\$192,767	\$452,537
FULL TIME EQUIVALENT POSITIONS:		2.5	1.4	4.8

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 2 Provide Information and Assistance

OBJECTIVE: 1 Provide Information and Assistance for Housing and Community Services

Service Categories:

STRATEGY: 1 Center for Housing Research, Planning, and Communications

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
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Output Measures:

KEY 1	Number of Information and Technical Assistance Requests Completed	8,639.00	7,223.00	7,000.00
2	Number of Short Term Technical Assistance Consumer Requests Completed	6,017.00	4,294.00	5,450.00
3	No. Long Term Information and Technical Assistance Requests Completed	2,622.00	2,929.00	1,550.00

Objects of Expense:

1001	SALARIES AND WAGES	\$705,147	\$704,060	\$762,240
1002	OTHER PERSONNEL COSTS	\$17,325	\$36,464	\$10,535
2001	PROFESSIONAL FEES AND SERVICES	\$192,456	\$219,459	\$1,603
2003	CONSUMABLE SUPPLIES	\$6,099	\$2,675	\$2,686
2004	UTILITIES	\$3,681	\$2,584	\$2,921
2005	TRAVEL	\$10,774	\$4,731	\$60,404
2006	RENT - BUILDING	\$1,538	\$1,542	\$2,556
2007	RENT - MACHINE AND OTHER	\$1,587	\$2,078	\$3,388
2009	OTHER OPERATING EXPENSE	\$137,426	\$75,644	\$127,501
3001	CLIENT SERVICES	\$0	\$0	\$500,000
5000	CAPITAL EXPENDITURES	\$738	\$2,848	\$25,057
TOTAL, OBJECT OF EXPENSE		\$1,076,771	\$1,052,085	\$1,498,891

Method of Financing:

1	General Revenue Fund	\$394,734	\$337,473	\$84,297
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$394,734	\$337,473	\$84,297

Method of Financing:

666	Appropriated Receipts	\$544,003	\$572,240	\$655,831
777	Interagency Contracts	\$138,034	\$142,372	\$758,763

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 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 2 Provide Information and Assistance

OBJECTIVE: 1 Provide Information and Assistance for Housing and Community Services

Service Categories:

STRATEGY: 1 Center for Housing Research, Planning, and Communications

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
SUBTOTAL, MOF (OTHER FUNDS)		\$682,037	\$714,612	\$1,414,594
TOTAL, METHOD OF FINANCE :		\$1,076,771	\$1,052,085	\$1,498,891
FULL TIME EQUIVALENT POSITIONS:		6.9	7.4	10.6

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 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 2 Provide Information and Assistance
 OBJECTIVE: 2 Promote and Improve Homeownership Along the Texas-Mexico Border
 STRATEGY: 1 Assist Colonias, Border Communities, and Nonprofits

Service Categories:
 Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
KEY 1	# of Tech Assistance Contacts and Visits Conducted by Field Offices	1,387.00	1,389.00	1,380.00
KEY 2	# of Colonia Residents Receiving Direct Assist from Self-help Centers	3,613.00	3,091.00	1,800.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$229,791	\$153,937	\$290,259
1002	OTHER PERSONNEL COSTS	\$575	\$5,326	\$7,103
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$0	\$608
2003	CONSUMABLE SUPPLIES	\$157	\$371	\$543
2004	UTILITIES	\$695	\$1,147	\$889
2005	TRAVEL	\$7,018	\$12,763	\$18,000
2006	RENT - BUILDING	\$0	\$782	\$1,703
2007	RENT - MACHINE AND OTHER	\$0	\$233	\$509
2009	OTHER OPERATING EXPENSE	\$14,704	\$17,272	\$27,008
5000	CAPITAL EXPENDITURES	\$505	\$909	\$4,964
TOTAL, OBJECT OF EXPENSE		\$253,445	\$192,740	\$351,586
Method of Financing:				
666	Appropriated Receipts	\$186,751	\$123,397	\$282,243
777	Interagency Contracts	\$66,694	\$69,343	\$69,343
SUBTOTAL, MOF (OTHER FUNDS)		\$253,445	\$192,740	\$351,586
TOTAL, METHOD OF FINANCE :		\$253,445	\$192,740	\$351,586
FULL TIME EQUIVALENT POSITIONS:		2.2	2.4	3.1

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 3 Improve Poor/Homeless Living Conditions & Reduce VLI Energy Costs

OBJECTIVE: 1 Ease Hardships for 16% of Homeless & Very Low Income Persons Each Year

STRATEGY: 1 Administer Poverty-related Funds through a Network of Agencies

Service Categories:

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
KEY 1	Number of Persons Assisted through Homeless and Poverty-related Funds	589,264.00	527,673.00	536,280.00
KEY 2	Number of Persons Assisted That Achieve Incomes Above Poverty Level	1,265.00	1,112.00	1,100.00
3	# of Persons Assisted by the Community Services Block Grant Program	555,967.00	491,114.00	500,000.00
4	Number of Persons Enrolled in the Emergency Solutions Grant Program	26,859.00	28,706.00	28,431.00
5	# of Persons Assisted by the Homeless and Housing Services Program	6,438.00	7,853.00	7,849.00
Efficiency Measures:				
1	Avg Subrecipient Cost Per Person for the Emergency Solutions Grant Pgm	309.33	314.84	325.00
2	Average Subrecipient Cost Per Person for the CSBG Program	67.21	78.15	80.00
3	Average Subrecipient Cost Per Person for the HHSP Program	786.57	778.29	787.00
Explanatory/Input Measures:				
1	Number of Persons in Poverty Meeting Income Eligibility	5,909,890.00	5,880,611.00	5,880,611.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$881,690	\$967,930	\$1,090,998
1002	OTHER PERSONNEL COSTS	\$16,040	\$29,921	\$20,477
2001	PROFESSIONAL FEES AND SERVICES	\$26,585	\$1,584	\$208,436
2003	CONSUMABLE SUPPLIES	\$1,245	\$923	\$2,461
2004	UTILITIES	\$104	\$17	\$3,005
2005	TRAVEL	\$40,650	\$52,865	\$52,682
2006	RENT - BUILDING	\$0	\$1,321	\$8,072
2007	RENT - MACHINE AND OTHER	\$883	\$555	\$3,459
2009	OTHER OPERATING EXPENSE	\$140,191	\$111,998	\$101,800
3001	CLIENT SERVICES	\$30,161	\$56,845	\$0
4000	GRANTS	\$46,861,234	\$47,050,314	\$46,600,908
5000	CAPITAL EXPENDITURES	\$1,731	\$3,118	\$35,221

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Agency code: 332 Agency name: Department of Housing and Community Affairs

GOAL: 3 Improve Poor/Homeless Living Conditions & Reduce VLI Energy Costs
OBJECTIVE: 1 Ease Hardships for 16% of Homeless & Very Low Income Persons Each Year
STRATEGY: 1 Administer Poverty-related Funds through a Network of Agencies

Service Categories:
Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
TOTAL, OBJECT OF EXPENSE		\$48,000,514	\$48,277,391	\$48,127,519
Method of Financing:				
1	General Revenue Fund	\$5,021,091	\$5,037,252	\$4,949,504
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$5,021,091	\$5,037,252	\$4,949,504
Method of Financing:				
127	Community Affairs Fed Fd			
14.231.000	Emergency Shelter Grants	\$8,817,205	\$9,028,982	\$9,028,982
93.569.000	Community Services Block	\$34,162,218	\$34,201,157	\$34,139,033
CFDA Subtotal, Fund	127	\$42,979,423	\$43,230,139	\$43,168,015
SUBTOTAL, MOF (FEDERAL FUNDS)		\$42,979,423	\$43,230,139	\$43,168,015
Method of Financing:				
777	Interagency Contracts	\$0	\$10,000	\$10,000
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$10,000	\$10,000
TOTAL, METHOD OF FINANCE :		\$48,000,514	\$48,277,391	\$48,127,519
FULL TIME EQUIVALENT POSITIONS:		12.3	13.8	14.9

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 3 Improve Poor/Homeless Living Conditions & Reduce VLI Energy Costs

OBJECTIVE: 2 Reduce Cost of Home Energy for 6% of Very Low Income Households

Service Categories:

STRATEGY: 1 Administer State Energy Assistance Programs

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
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Output Measures:

KEY 1	Number of Households Receiving Utility Assistance	136,071.00	134,465.00	135,000.00
KEY 2	Number of Dwelling Units Weatherized by the Department	3,384.00	3,351.00	3,500.00

Efficiency Measures:

1	Average Subrecipient Cost Per Household Served	997.25	856.36	850.00
2	Average Cost Per Home Weatherized	6,362.00	5,702.00	5,800.00

Explanatory/Input Measures:

1	Number of Very Low Income Households Eligible for Utility Assistance	2,080,947.00	2,070,638.00	2,070,638.00
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Objects of Expense:

1001	SALARIES AND WAGES	\$834,709	\$793,781	\$1,101,619
1002	OTHER PERSONNEL COSTS	\$42,616	\$63,414	\$23,262
2001	PROFESSIONAL FEES AND SERVICES	\$10,915	\$55,808	\$56,872
2003	CONSUMABLE SUPPLIES	\$1,208	\$1,507	\$2,771
2004	UTILITIES	\$2,703	\$1,727	\$3,447
2005	TRAVEL	\$44,975	\$49,431	\$73,146
2006	RENT - BUILDING	\$3,262	\$1,064	\$3,949
2007	RENT - MACHINE AND OTHER	\$3,089	\$3,229	\$11,845
2009	OTHER OPERATING EXPENSE	\$70,478	\$88,697	\$121,837
4000	GRANTS	\$118,793,573	\$122,722,163	\$121,749,829
5000	CAPITAL EXPENDITURES	\$2,180	\$3,923	\$636,167
TOTAL, OBJECT OF EXPENSE		\$119,809,708	\$123,784,744	\$123,784,744

Method of Financing:

127	Community Affairs Fed Fd			
81.042.000	Weatherization Assistance	\$5,165,132	\$5,480,562	\$5,480,562
93.568.000	Low-Income Home Energy As	\$114,644,576	\$118,304,182	\$118,304,182

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 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 3 Improve Poor/Homeless Living Conditions & Reduce VLI Energy Costs

OBJECTIVE: 2 Reduce Cost of Home Energy for 6% of Very Low Income Households

STRATEGY: 1 Administer State Energy Assistance Programs

Service Categories:

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
CFDA Subtotal, Fund	127	\$119,809,708	\$123,784,744	\$123,784,744
SUBTOTAL, MOF (FEDERAL FUNDS)		\$119,809,708	\$123,784,744	\$123,784,744
TOTAL, METHOD OF FINANCE :		\$119,809,708	\$123,784,744	\$123,784,744
FULL TIME EQUIVALENT POSITIONS:		12.1	12.4	15.3

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 332 Agency name: Department of Housing and Community Affairs

GOAL: 4 Ensure Compliance with Program Mandates

OBJECTIVE: 1 Monitor Developments & Subrecipient Contracts for Compliance

Service Categories:

STRATEGY: 1 Monitor and Inspect for Federal & State Housing Program Requirements

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
	1 # of Annual Owners Compliance Reports Received and Reviewed	2,003.00	2,103.00	2,106.00
KEY	2 Total Number of File Reviews	701.00	544.00	615.00
	3 Total Number of Physical Inspections	592.00	731.00	725.00
Explanatory/Input Measures:				
	1 Total Number of Active Properties in the Portfolio	2,153.00	2,226.00	2,288.00
	2 Total Number of Active Units in the Portfolio	247,516.00	256,355.00	263,313.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$1,829,786	\$1,945,253	\$2,321,847
1002	OTHER PERSONNEL COSTS	\$106,581	\$98,184	\$29,249
2001	PROFESSIONAL FEES AND SERVICES	\$249,171	\$277,232	\$367,245
2003	CONSUMABLE SUPPLIES	\$7,986	\$11,365	\$7,062
2004	UTILITIES	\$836	\$2,247	\$6,519
2005	TRAVEL	\$119,030	\$141,492	\$154,100
2006	RENT - BUILDING	\$6,645	\$5,546	\$8,492
2007	RENT - MACHINE AND OTHER	\$4,505	\$3,652	\$5,661
2009	OTHER OPERATING EXPENSE	\$207,868	\$230,445	\$263,978
5000	CAPITAL EXPENDITURES	\$5,504	\$9,898	\$88,407
TOTAL, OBJECT OF EXPENSE		\$2,537,912	\$2,725,314	\$3,252,560
Method of Financing:				
	1 General Revenue Fund	\$0	\$0	\$10,250
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$10,250
Method of Financing:				
	666 Appropriated Receipts	\$2,537,912	\$2,725,314	\$3,242,310

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Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 4 Ensure Compliance with Program Mandates

OBJECTIVE: 1 Monitor Developments & Subrecipient Contracts for Compliance

STRATEGY: 1 Monitor and Inspect for Federal & State Housing Program Requirements

Service Categories:

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
SUBTOTAL, MOF (OTHER FUNDS)		\$2,537,912	\$2,725,314	\$3,242,310
TOTAL, METHOD OF FINANCE :		\$2,537,912	\$2,725,314	\$3,252,560
FULL TIME EQUIVALENT POSITIONS:		26.7	28.7	37.4

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 332 Agency name: Department of Housing and Community Affairs

GOAL: 4 Ensure Compliance with Program Mandates

OBJECTIVE: 1 Monitor Developments & Subrecipient Contracts for Compliance

STRATEGY: 2 Monitor Subrecipient Contracts

Service Categories:

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
KEY 1	Total Number of Monitoring Reviews of All Non-formula Contracts	132.00	145.00	150.00
2	Number of Single Audit Reviews	202.00	153.00	160.00
3	Total # of Formula-Funded Subrecipients Receiving Monitoring Reviews	44.00	34.00	30.00
Explanatory/Input Measures:				
1	Total Number of Non-formula Contracts Subject to Monitoring	381.00	380.00	365.00
2	Number of Previous Participation Reviews	593.00	567.00	500.00
3	Number of Formula-Funded Subrecipients	48.00	52.00	53.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$409,261	\$348,447	\$485,659
1002	OTHER PERSONNEL COSTS	\$9,198	\$16,227	\$7,799
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$2,471	\$1,274
2003	CONSUMABLE SUPPLIES	\$280	\$255	\$1,301
2004	UTILITIES	\$129	\$342	\$1,193
2005	TRAVEL	\$26,263	\$20,807	\$48,000
2006	RENT - BUILDING	\$218	\$142	\$603
2007	RENT - MACHINE AND OTHER	\$797	\$571	\$2,412
2009	OTHER OPERATING EXPENSE	\$21,129	\$21,658	\$50,610
5000	CAPITAL EXPENDITURES	\$1,140	\$2,052	\$20,093
TOTAL, OBJECT OF EXPENSE		\$468,415	\$412,972	\$618,944
Method of Financing:				
127	Community Affairs Fed Fd			
14.239.000	HOME Investment Partnersh	\$390,597	\$374,093	\$517,941
93.569.000	Community Services Block	\$77,818	\$38,879	\$101,003

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 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 4 Ensure Compliance with Program Mandates

OBJECTIVE: 1 Monitor Developments & Subrecipient Contracts for Compliance

STRATEGY: 2 Monitor Subrecipient Contracts

Service Categories:

Service: 15 Income: A.1 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
CFDA Subtotal, Fund	127	\$468,415	\$412,972	\$618,944
SUBTOTAL, MOF (FEDERAL FUNDS)		\$468,415	\$412,972	\$618,944
TOTAL, METHOD OF FINANCE :		\$468,415	\$412,972	\$618,944
FULL TIME EQUIVALENT POSITIONS:		10.3	9.2	10.5

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 5 Regulate Manufactured Housing Industry

OBJECTIVE: 1 Operate a Regulatory System To Ensure Responsive SOL/Licensing/Other

Service Categories:

STRATEGY: 1 Provide SOL and Licensing Services in a Timely Manner

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
KEY 1	No. of Manufactured Housing Stmt. of Ownership and Location Issued	51,586.00	54,248.00	61,000.00
2	Number of Licenses Issued	2,035.00	1,956.00	1,750.00
Efficiency Measures:				
1	Avg. Cost Per Manufact Housing Stmt. of Ownership and Location Issued	30.94	29.43	30.00
Explanatory/Input Measures:				
1	Number of Manufactured Homes of Record in Texas	907,929.00	923,082.00	930,000.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$1,151,995	\$1,117,701	\$1,277,297
1002	OTHER PERSONNEL COSTS	\$159,619	\$161,120	\$170,000
2001	PROFESSIONAL FEES AND SERVICES	\$32,878	\$5,431	\$14,700
2003	CONSUMABLE SUPPLIES	\$10,830	\$4,464	\$17,500
2004	UTILITIES	\$1,349	\$1,393	\$22,750
2005	TRAVEL	\$9,023	\$3,784	\$10,000
2006	RENT - BUILDING	\$47,961	\$48,874	\$130,908
2007	RENT - MACHINE AND OTHER	\$7,213	\$9,178	\$5,600
2009	OTHER OPERATING EXPENSE	\$91,112	\$91,322	\$227,625
5000	CAPITAL EXPENDITURES	\$3,039	\$5,467	\$50,750
TOTAL, OBJECT OF EXPENSE		\$1,515,019	\$1,448,734	\$1,927,130
Method of Financing:				
666	Appropriated Receipts	\$1,515,019	\$1,448,734	\$1,927,130
SUBTOTAL, MOF (OTHER FUNDS)		\$1,515,019	\$1,448,734	\$1,927,130

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DATE: 12/1/2017
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85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 5 Regulate Manufactured Housing Industry

OBJECTIVE: 1 Operate a Regulatory System To Ensure Responsive SOL/Licensing/Other

STRATEGY: 1 Provide SOL and Licensing Services in a Timely Manner

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
TOTAL, METHOD OF FINANCE :		\$1,515,019	\$1,448,734	\$1,927,130
FULL TIME EQUIVALENT POSITIONS:		21.0	21.0	22.6

3.A. Strategy Level Detail

DATE: 12/1/2017
TIME: 3:31:33PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 332 Agency name: Department of Housing and Community Affairs

GOAL: 5 Regulate Manufactured Housing Industry

OBJECTIVE: 1 Operate a Regulatory System To Ensure Responsive SOL/Licensing/Other

Service Categories:

STRATEGY: 2 Conduct Inspections of Manufactured Homes in a Timely Manner

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
1	Number of Routine Installation Inspections Conducted	13,972.00	15,315.00	15,000.00
2	Number of Non-routine Inspections Conducted	3,340.00	3,242.00	3,000.00
Efficiency Measures:				
1	Average Cost Per Inspection	90.37	83.01	100.00
Explanatory/Input Measures:				
KEY 1	Number of Installation Reports Received	15,765.00	16,267.00	16,000.00
2	Number of Installation Inspections with Deviations	720.00	853.00	950.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$931,924	\$947,206	\$1,237,946
1002	OTHER PERSONNEL COSTS	\$154,067	\$127,559	\$168,000
2001	PROFESSIONAL FEES AND SERVICES	\$30,999	\$5,121	\$14,280
2003	CONSUMABLE SUPPLIES	\$11,614	\$5,634	\$17,000
2004	UTILITIES	\$16,532	\$12,058	\$22,100
2005	TRAVEL	\$225,012	\$228,895	\$280,000
2006	RENT - BUILDING	\$45,221	\$46,089	\$7,898
2007	RENT - MACHINE AND OTHER	\$6,801	\$9,063	\$5,440
2009	OTHER OPERATING EXPENSE	\$93,108	\$107,431	\$168,254
5000	CAPITAL EXPENDITURES	\$2,953	\$5,311	\$49,300
TOTAL, OBJECT OF EXPENSE		\$1,518,231	\$1,494,367	\$1,970,218
Method of Financing:				
127	Community Affairs Fed Fd			
14.000.002	HUD DU100K90016710	\$398,415	\$368,641	\$200,000
CFDA Subtotal, Fund	127	\$398,415	\$368,641	\$200,000

3.A. Strategy Level Detail

DATE: 12/1/2017
 TIME: 3:31:33PM

85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 5 Regulate Manufactured Housing Industry

OBJECTIVE: 1 Operate a Regulatory System To Ensure Responsive SOL/Licensing/Other

STRATEGY: 2 Conduct Inspections of Manufactured Homes in a Timely Manner

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
SUBTOTAL, MOF (FEDERAL FUNDS)		\$398,415	\$368,641	\$200,000
Method of Financing:				
	666 Appropriated Receipts	\$1,119,816	\$1,125,726	\$1,770,218
SUBTOTAL, MOF (OTHER FUNDS)		\$1,119,816	\$1,125,726	\$1,770,218
TOTAL, METHOD OF FINANCE :		\$1,518,231	\$1,494,367	\$1,970,218
FULL TIME EQUIVALENT POSITIONS:		19.8	19.8	22.0

3.A. Strategy Level Detail

DATE: 12/1/2017
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85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 332 Agency name: Department of Housing and Community Affairs

GOAL: 5 Regulate Manufactured Housing Industry

OBJECTIVE: 1 Operate a Regulatory System To Ensure Responsive SOL/Licensing/Other

Service Categories:

STRATEGY: 3 Process Complaints/Conduct Investigations/Take Administrative Actions

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Output Measures:				
KEY 1	Number of Complaints Resolved	620.00	730.00	750.00
Efficiency Measures:				
1	Average Cost Per Complaint Resolved	2,347.09	2,058.61	3,300.00
KEY 2	Average Time for Complaint Resolution	66.00	47.40	90.00
Explanatory/Input Measures:				
KEY 1	Number of Jurisdictional Complaints Received	626.00	712.00	700.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$932,214	\$983,823	\$1,119,892
1002	OTHER PERSONNEL COSTS	\$116,653	\$119,763	\$162,000
2001	PROFESSIONAL FEES AND SERVICES	\$30,060	\$4,965	\$13,020
2003	CONSUMABLE SUPPLIES	\$10,503	\$4,692	\$15,500
2004	UTILITIES	\$7,773	\$5,878	\$20,150
2005	TRAVEL	\$96,101	\$98,748	\$10,000
2006	RENT - BUILDING	\$43,850	\$44,690	\$13,194
2007	RENT - MACHINE AND OTHER	\$7,066	\$8,096	\$4,960
2009	OTHER OPERATING EXPENSE	\$285,591	\$127,249	\$350,483
5000	CAPITAL EXPENDITURES	\$2,698	\$4,842	\$44,950
TOTAL, OBJECT OF EXPENSE		\$1,532,509	\$1,402,746	\$1,754,149
Method of Financing:				
127	Community Affairs Fed Fd			
14.000.002	HUD DU100K90016710	\$187,186	\$183,536	\$100,000
CFDA Subtotal, Fund	127	\$187,186	\$183,536	\$100,000

3.A. Strategy Level Detail

DATE: 12/1/2017
 TIME: 3:31:33PM

85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 5 Regulate Manufactured Housing Industry

OBJECTIVE: 1 Operate a Regulatory System To Ensure Responsive SOL/Licensing/Other

STRATEGY: 3 Process Complaints/Conduct Investigations/Take Administrative Actions

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
SUBTOTAL, MOF (FEDERAL FUNDS)		\$187,186	\$183,536	\$100,000
Method of Financing:				
	666 Appropriated Receipts	\$1,345,323	\$1,219,210	\$1,654,149
SUBTOTAL, MOF (OTHER FUNDS)		\$1,345,323	\$1,219,210	\$1,654,149
TOTAL, METHOD OF FINANCE :		\$1,532,509	\$1,402,746	\$1,754,149
FULL TIME EQUIVALENT POSITIONS:		19.2	19.2	19.4

3.A. Strategy Level Detail

DATE: 12/1/2017
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85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 332 Agency name: Department of Housing and Community Affairs

GOAL: 5 Regulate Manufactured Housing Industry

OBJECTIVE: 1 Operate a Regulatory System To Ensure Responsive SOL/Licensing/Other

Service Categories:

STRATEGY: 4 Texas.gov fees. Estimated and Nontransferable

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Objects of Expense:				
	2009 OTHER OPERATING EXPENSE	\$2,085	\$1,970	\$19,120
TOTAL, OBJECT OF EXPENSE		\$2,085	\$1,970	\$19,120
Method of Financing:				
	1 General Revenue Fund	\$2,085	\$1,970	\$19,120
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,085	\$1,970	\$19,120
TOTAL, METHOD OF FINANCE :		\$2,085	\$1,970	\$19,120
FULL TIME EQUIVALENT POSITIONS:				

3.A. Strategy Level Detail

DATE: 12/1/2017
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85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 332 Agency name: Department of Housing and Community Affairs

GOAL: 6 Indirect Administration and Support Costs

OBJECTIVE: 1 Indirect Administration and Support Costs

STRATEGY: 1 Central Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Objects of Expense:				
1001	SALARIES AND WAGES	\$3,904,264	\$4,017,864	\$4,277,821
1002	OTHER PERSONNEL COSTS	\$152,507	\$141,580	\$111,082
2001	PROFESSIONAL FEES AND SERVICES	\$510,576	\$228,054	\$418,686
2003	CONSUMABLE SUPPLIES	\$21,661	\$25,919	\$14,584
2004	UTILITIES	\$4,056	\$3,301	\$12,400
2005	TRAVEL	\$63,620	\$62,280	\$101,694
2006	RENT - BUILDING	\$13,159	\$12,235	\$18,119
2007	RENT - MACHINE AND OTHER	\$11,441	\$9,605	\$14,236
2009	OTHER OPERATING EXPENSE	\$568,871	\$457,199	\$844,586
5000	CAPITAL EXPENDITURES	\$19,764	\$25,913	\$126,937
TOTAL, OBJECT OF EXPENSE		\$5,269,919	\$4,983,950	\$5,940,145
Method of Financing:				
1	General Revenue Fund	\$1,549,527	\$1,550,560	\$1,644,389
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,549,527	\$1,550,560	\$1,644,389
Method of Financing:				
666	Appropriated Receipts	\$3,720,392	\$3,433,390	\$4,295,756
SUBTOTAL, MOF (OTHER FUNDS)		\$3,720,392	\$3,433,390	\$4,295,756
TOTAL, METHOD OF FINANCE :		\$5,269,919	\$4,983,950	\$5,940,145
FULL TIME EQUIVALENT POSITIONS:		51.8	51.8	53.7

3.A. Strategy Level Detail

DATE: 12/1/2017
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85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 6 Indirect Administration and Support Costs

OBJECTIVE: 1 Indirect Administration and Support Costs

STRATEGY: 2 Information Resource Technologies

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Objects of Expense:				
1001	SALARIES AND WAGES	\$1,399,453	\$1,398,967	\$1,661,234
1002	OTHER PERSONNEL COSTS	\$58,729	\$74,050	\$32,577
2001	PROFESSIONAL FEES AND SERVICES	\$2,356	\$4,057	\$3,542
2003	CONSUMABLE SUPPLIES	\$2,924	\$4,895	\$4,870
2004	UTILITIES	\$6,916	\$5,499	\$3,896
2005	TRAVEL	\$2,371	\$368	\$3,500
2006	RENT - BUILDING	\$37,152	\$36,731	\$43,253
2007	RENT - MACHINE AND OTHER	\$406	\$210	\$437
2009	OTHER OPERATING EXPENSE	\$227,727	\$142,619	\$246,681
5000	CAPITAL EXPENDITURES	\$2,888	\$5,196	\$52,003
TOTAL, OBJECT OF EXPENSE		\$1,740,922	\$1,672,592	\$2,051,993
Method of Financing:				
1	General Revenue Fund	\$157,825	\$142,890	\$150,110
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$157,825	\$142,890	\$150,110
Method of Financing:				
666	Appropriated Receipts	\$1,583,097	\$1,529,702	\$1,901,883
SUBTOTAL, MOF (OTHER FUNDS)		\$1,583,097	\$1,529,702	\$1,901,883
TOTAL, METHOD OF FINANCE :		\$1,740,922	\$1,672,592	\$2,051,993
FULL TIME EQUIVALENT POSITIONS:		19.0	18.0	22.0

3.A. Strategy Level Detail

DATE: 12/1/2017
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85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Department of Housing and Community Affairs**

GOAL: 6 Indirect Administration and Support Costs

OBJECTIVE: 1 Indirect Administration and Support Costs

STRATEGY: 3 Operations and Support Services

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
Objects of Expense:				
1001	SALARIES AND WAGES	\$460,922	\$460,741	\$489,711
1002	OTHER PERSONNEL COSTS	\$48,391	\$29,699	\$12,681
2001	PROFESSIONAL FEES AND SERVICES	\$943	\$1,624	\$1,282
2003	CONSUMABLE SUPPLIES	\$2,453	\$3,344	\$1,290
2004	UTILITIES	\$475	\$537	\$1,190
2005	TRAVEL	\$345	\$706	\$1,200
2006	RENT - BUILDING	\$1,301	\$791	\$1,242
2007	RENT - MACHINE AND OTHER	\$3,930	\$1,191	\$1,863
2009	OTHER OPERATING EXPENSE	\$55,682	\$59,244	\$71,521
5000	CAPITAL EXPENDITURES	\$1,157	\$2,077	\$18,910
TOTAL, OBJECT OF EXPENSE		\$575,599	\$559,954	\$600,890
Method of Financing:				
1	General Revenue Fund	\$72,623	\$78,424	\$80,539
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$72,623	\$78,424	\$80,539
Method of Financing:				
666	Appropriated Receipts	\$502,976	\$481,530	\$520,351
SUBTOTAL, MOF (OTHER FUNDS)		\$502,976	\$481,530	\$520,351
TOTAL, METHOD OF FINANCE :		\$575,599	\$559,954	\$600,890
FULL TIME EQUIVALENT POSITIONS:		7.8	8.0	8.0

3.A. Strategy Level Detail

DATE: 12/1/2017

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85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$245,736,652	\$236,228,906	\$242,151,648
METHODS OF FINANCE :	\$245,736,652	\$236,228,906	\$242,151,648
FULL TIME EQUIVALENT POSITIONS:	279.8	280.2	313.0

**Capital Budget Project
Schedule**

Agency code: 332

Agency name: Department of Housing and Community Affairs

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2016

EXP 2017

BUD 2018

5005 Acquisition of Information Resource Technologies

1/1 Community Affairs System

OBJECTS OF EXPENSE

Capital

5000 CAPITAL EXPENDITURES		\$0	\$0	\$600,000
Capital Subtotal OOE, Project	1	\$0	\$0	\$600,000
Subtotal OOE, Project	1	\$0	\$0	\$600,000

TYPE OF FINANCING

Capital

CA 127 Community Affairs Fed Fd		\$0	\$0	\$600,000
Capital Subtotal TOF, Project	1	\$0	\$0	\$600,000
Subtotal TOF, Project	1	\$0	\$0	\$600,000

2/2 Cybersecurity Initiatives

OBJECTS OF EXPENSE

Capital

2009 OTHER OPERATING EXPENSE		\$0	\$0	\$110,000
5000 CAPITAL EXPENDITURES		\$0	\$0	\$125,000
Capital Subtotal OOE, Project	2	\$0	\$0	\$235,000
Subtotal OOE, Project	2	\$0	\$0	\$235,000

TYPE OF FINANCING

Capital

CA 127 Community Affairs Fed Fd		\$0	\$0	\$48,014
CA 666 Appropriated Receipts		\$0	\$0	\$186,986
Capital Subtotal TOF, Project	2	\$0	\$0	\$235,000
Subtotal TOF, Project	2	\$0	\$0	\$235,000

Agency code: 332

Agency name: Department of Housing and Community Affairs

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		EXP 2016	EXP 2017	BUD 2018
<i>3/3 Legacy Systems Modernization</i>				
OBJECTS OF EXPENSE				
<u>Capital</u>				
5000 CAPITAL EXPENDITURES		\$0	\$0	\$86,500
Capital Subtotal OOE, Project	3	\$0	\$0	\$86,500
Subtotal OOE, Project	3	\$0	\$0	\$86,500
TYPE OF FINANCING				
<u>Capital</u>				
CA 127 Community Affairs Fed Fd		\$0	\$0	\$17,674
CA 666 Appropriated Receipts		\$0	\$0	\$68,826
Capital Subtotal TOF, Project	3	\$0	\$0	\$86,500
Subtotal TOF, Project	3	\$0	\$0	\$86,500
<i>4/4 PC Replacements</i>				
OBJECTS OF EXPENSE				
<u>Capital</u>				
2009 OTHER OPERATING EXPENSE		\$0	\$0	\$13,500
Capital Subtotal OOE, Project	4	\$0	\$0	\$13,500
Subtotal OOE, Project	4	\$0	\$0	\$13,500
TYPE OF FINANCING				
<u>Capital</u>				
CA 127 Community Affairs Fed Fd		\$0	\$0	\$2,757
CA 666 Appropriated Receipts		\$0	\$0	\$10,743
Capital Subtotal TOF, Project	4	\$0	\$0	\$13,500
Subtotal TOF, Project	4	\$0	\$0	\$13,500

Agency code: 332

Agency name: Department of Housing and Community Affairs

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		EXP 2016	EXP 2017	BUD 2018
<i>8/8 Information Technology Hardware and Software Upgrades</i>				
OBJECTS OF EXPENSE				
<u>Capital</u>				
2009 OTHER OPERATING EXPENSE		\$126,491	\$200,578	\$0
5000 CAPITAL EXPENDITURES		\$55,750	\$90,633	\$0
Capital Subtotal OOE, Project	8	\$182,241	\$291,211	\$0
Subtotal OOE, Project	8	\$182,241	\$291,211	\$0
TYPE OF FINANCING				
<u>Capital</u>				
CA 127 Community Affairs Fed Fd		\$34,730	\$60,739	\$0
CA 666 Appropriated Receipts		\$147,511	\$230,472	\$0
Capital Subtotal TOF, Project	8	\$182,241	\$291,211	\$0
Subtotal TOF, Project	8	\$182,241	\$291,211	\$0
Capital Subtotal, Category	5005	\$182,241	\$291,211	\$935,000
Informational Subtotal, Category	5005			
Total, Category	5005	\$182,241	\$291,211	\$935,000

7000 Data Center Consolidation

*5/5 Disaster Recovery Services Provided By DIR
 Data Center Services*

OBJECTS OF EXPENSE

Capital

2009 OTHER OPERATING EXPENSE		\$0	\$0	\$39,092
Capital Subtotal OOE, Project	5	\$0	\$0	\$39,092
Subtotal OOE, Project	5	\$0	\$0	\$39,092

Agency code: 332

Agency name: Department of Housing and Community Affairs

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		EXP 2016	EXP 2017	BUD 2018
TYPE OF FINANCING				
<u>Capital</u>				
CA 127	Community Affairs Fed Fd	\$0	\$0	\$7,987
CA 666	Appropriated Receipts	\$0	\$0	\$31,105
Capital Subtotal TOF, Project 5		\$0	\$0	\$39,092
Subtotal TOF, Project 5		\$0	\$0	\$39,092
Capital Subtotal, Category 7000		\$0	\$0	\$39,092
Informational Subtotal, Category 7000				
Total, Category	7000	\$0	\$0	\$39,092

8000 Centralized Accounting and Payroll/Personnel System (CAPPS)

6/6 PeopleSoft Financials Upgrade

OBJECTS OF EXPENSE

Capital

5000	CAPITAL EXPENDITURES	\$0	\$0	\$500,000
Capital Subtotal OOE, Project 6		\$0	\$0	\$500,000
<u>Informational</u>				
1001	SALARIES AND WAGES	\$0	\$0	\$50,971
2009	OTHER OPERATING EXPENSE	\$0	\$0	\$220,000
Informational Subtotal OOE, Project 6		\$0	\$0	\$270,971
Subtotal OOE, Project 6		\$0	\$0	\$770,971

TYPE OF FINANCING

Capital

CA 127	Community Affairs Fed Fd	\$0	\$0	\$102,160
CA 666	Appropriated Receipts	\$0	\$0	\$397,840

4.A. Capital Budget Project Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
 TIME : 3:31:45PM

Agency code: 332

Agency name: Department of Housing and Community Affairs

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		EXP 2016	EXP 2017	BUD 2018
Capital Subtotal TOF, Project	6	\$0	\$0	\$500,000
<u>Informational</u>				
CA 1	General Revenue Fund	\$0	\$0	\$120,599
CA 666	Appropriated Receipts	\$0	\$0	\$150,372
Informational Subtotal TOF, Project	6	\$0	\$0	\$270,971
Subtotal TOF, Project	6	\$0	\$0	\$770,971

7/7 PeopleSoft Financials Annual Maintenance

OBJECTS OF EXPENSE

Capital

2009	OTHER OPERATING EXPENSE	\$52,650	\$52,904	\$55,000
Capital Subtotal OOE, Project	7	\$52,650	\$52,904	\$55,000
<u>Informational</u>				
1001	SALARIES AND WAGES	\$0	\$111,294	\$0
Informational Subtotal OOE, Project	7	\$0	\$111,294	\$0
Subtotal OOE, Project	7	\$52,650	\$164,198	\$55,000

TYPE OF FINANCING

Capital

CA 127	Community Affairs Fed Fd	\$11,910	\$11,910	\$11,238
CA 666	Appropriated Receipts	\$40,740	\$40,994	\$43,762
Capital Subtotal TOF, Project	7	\$52,650	\$52,904	\$55,000
<u>Informational</u>				
CA 1	General Revenue Fund	\$0	\$28,796	\$0
CA 666	Appropriated Receipts	\$0	\$82,498	\$0
Informational Subtotal TOF, Project	7	\$0	\$111,294	\$0
Subtotal TOF, Project	7	\$52,650	\$164,198	\$55,000

4.A. Capital Budget Project Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
 TIME : 3:31:45PM

Agency code: 332

Agency name: Department of Housing and Community Affairs

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE	EXP 2016	EXP 2017	BUD 2018
Capital Subtotal, Category 8000	\$52,650	\$52,904	\$555,000
Informational Subtotal, Category 8000	\$0	\$111,294	\$270,971
Total, Category 8000	\$52,650	\$164,198	\$825,971
AGENCY TOTAL -CAPITAL	\$234,891	\$344,115	\$1,529,092
AGENCY TOTAL -INFORMATIONAL	\$0	\$111,294	\$270,971
AGENCY TOTAL	\$234,891	\$455,409	\$1,800,063
METHOD OF FINANCING:			
<u>Capital</u>			
127 Community Affairs Fed Fd	\$46,640	\$72,649	\$789,830
666 Appropriated Receipts	\$188,251	\$271,466	\$739,262
Total, Method of Financing-Capital	\$234,891	\$344,115	\$1,529,092
<u>Informational</u>			
1 General Revenue Fund	\$0	\$28,796	\$120,599
666 Appropriated Receipts	\$0	\$82,498	\$150,372
Total, Method of Financing-Informational	\$0	\$111,294	\$270,971
Total, Method of Financing	\$234,891	\$455,409	\$1,800,063

4.A. Capital Budget Project Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
 TIME : 3:31:45PM

Agency code: 332

Agency name: Department of Housing and Community Affairs

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE	EXP 2016	EXP 2017	BUD 2018
TYPE OF FINANCING:			
<u>Capital</u>			
CA CURRENT APPROPRIATIONS	\$234,891	\$344,115	\$1,529,092
Total, Type of Financing-Capital	\$234,891	\$344,115	\$1,529,092
<u>Informational</u>			
CA CURRENT APPROPRIATIONS	\$0	\$111,294	\$270,971
Total, Type of Financing-Informational	\$0	\$111,294	\$270,971
Total, Type of Financing	\$234,891	\$455,409	\$1,800,063

**Capital Budget Allocation
To Strategies**

Capital Budget Allocation to Strategies
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
 TIME: 3:31:56PM

Agency code: 332 Agency name: Department of Housing and Community Affairs

Category Code/Name

Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	EXP 2016	EXP 2017	BUD 2018
5005 Acquisition of Information Resource Technologies					
<i>1/1 Community Affairs System</i>					
Capital	3-2-1	ENERGY ASSISTANCE PROGRAMS	0	0	\$600,000
		TOTAL, PROJECT	\$0	\$0	\$600,000
<i>2/2 Cybersecurity Initiatives</i>					
Capital	6-1-1	CENTRAL ADMINISTRATION	0	0	41,926
Capital	6-1-2	INFORMATION RESOURCE TECHNOLOGIES	0	0	17,176
Capital	6-1-3	OPERATING/SUPPORT	0	0	6,246
Capital	1-1-4	SECTION 8 RENTAL ASSISTANCE	0	0	3,903
Capital	1-1-6	FEDERAL TAX CREDITS	0	0	20,611
Capital	1-1-1	MRB PROGRAM - SINGLE FAMILY	0	0	11,165
Capital	1-1-7	MRB PROGRAM - MULTIFAMILY	0	0	3,747
Capital	1-1-2	HOME PROGRAM	0	0	13,897
Capital	3-1-1	POVERTY-RELATED FUNDS	0	0	11,633
Capital	3-2-1	ENERGY ASSISTANCE PROGRAMS	0	0	11,945
Capital	5-1-1	TITLING & LICENSING	0	0	16,450
Capital	5-1-2	INSPECTIONS	0	0	15,980
Capital	5-1-3	ENFORCEMENT	0	0	14,570
Capital	4-1-1	MONITOR HOUSING REQUIREMENTS	0	0	29,200
Capital	4-1-2	MONITOR CONTRACT REQUIREMENTS	0	0	6,636

Capital Budget Allocation to Strategies
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Agency code: 332 Agency name: Department of Housing and Community Affairs

Category Code/Name

Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	EXP 2016	EXP 2017	BUD 2018
Capital	2-1-1	HOUSING RESOURCE CENTER	0	0	\$8,276
Capital	2-2-1	COLONIA SERVICE CENTERS	0	0	1,639
TOTAL, PROJECT			\$0	\$0	\$235,000

3/3 *Legacy Systems Modernization*

Capital	6-1-1	CENTRAL ADMINISTRATION	0	0	15,433
Capital	6-1-2	INFORMATION RESOURCE TECHNOLOGIES	0	0	6,322
Capital	6-1-3	OPERATING/SUPPORT	0	0	2,299
Capital	1-1-4	SECTION 8 RENTAL ASSISTANCE	0	0	1,437
Capital	1-1-6	FEDERAL TAX CREDITS	0	0	7,587
Capital	1-1-1	MRB PROGRAM - SINGLE FAMILY	0	0	4,109
Capital	1-1-7	MRB PROGRAM - MULTIFAMILY	0	0	1,379
Capital	1-1-2	HOME PROGRAM	0	0	5,115
Capital	3-1-1	POVERTY-RELATED FUNDS	0	0	4,282
Capital	3-2-1	ENERGY ASSISTANCE PROGRAMS	0	0	4,397
Capital	5-1-1	TITLING & LICENSING	0	0	6,055
Capital	5-1-2	INSPECTIONS	0	0	5,882
Capital	5-1-3	ENFORCEMENT	0	0	5,363
Capital	4-1-1	MONITOR HOUSING REQUIREMENTS	0	0	10,748
Capital	4-1-2	MONITOR CONTRACT REQUIREMENTS	0	0	2,443
Capital	2-1-1	HOUSING RESOURCE CENTER	0	0	3,046
Capital	2-2-1	COLONIA SERVICE CENTERS	0	0	603

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Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	EXP 2016	EXP 2017	BUD 2018
TOTAL, PROJECT		\$0	\$0	\$86,500
4/4	<i>PC Replacements</i>			
Capital	6-1-1 CENTRAL ADMINISTRATION	0	0	\$2,411
Capital	6-1-2 INFORMATION RESOURCE TECHNOLOGIES	0	0	987
Capital	6-1-3 OPERATING/SUPPORT	0	0	359
Capital	1-1-4 SECTION 8 RENTAL ASSISTANCE	0	0	224
Capital	1-1-6 FEDERAL TAX CREDITS	0	0	1,184
Capital	1-1-1 MRB PROGRAM - SINGLE FAMILY	0	0	641
Capital	1-1-7 MRB PROGRAM - MULTIFAMILY	0	0	215
Capital	1-1-2 HOME PROGRAM	0	0	798
Capital	3-1-1 POVERTY-RELATED FUNDS	0	0	668
Capital	3-2-1 ENERGY ASSISTANCE PROGRAMS	0	0	686
Capital	5-1-1 TITLING & LICENSING	0	0	945
Capital	5-1-2 INSPECTIONS	0	0	918
Capital	5-1-3 ENFORCEMENT	0	0	837
Capital	4-1-1 MONITOR HOUSING REQUIREMENTS	0	0	1,677
Capital	4-1-2 MONITOR CONTRACT REQUIREMENTS	0	0	381
Capital	2-1-1 HOUSING RESOURCE CENTER	0	0	475
Capital	2-2-1 COLONIA SERVICE CENTERS	0	0	94
TOTAL, PROJECT		\$0	\$0	\$13,500

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Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	EXP 2016	EXP 2017	BUD 2018
8/8		<i>IT Hardware and Software Upgrades</i>			
Capital	6-1-1	CENTRAL ADMINISTRATION	39,127	54,161	\$0
Capital	6-1-2	INFORMATION RESOURCE TECHNOLOGIES	10,673	19,408	0
Capital	6-1-3	OPERATING/SUPPORT	4,276	7,754	0
Capital	1-1-4	SECTION 8 RENTAL ASSISTANCE	2,887	5,034	0
Capital	1-1-6	FEDERAL TAX CREDITS	12,491	22,706	0
Capital	1-1-1	MRB PROGRAM - SINGLE FAMILY	7,690	13,971	0
Capital	1-1-7	MRB PROGRAM - MULTIFAMILY	6,078	9,551	0
Capital	1-1-2	HOME PROGRAM	13,177	23,045	0
Capital	3-1-1	POVERTY-RELATED FUNDS	6,397	11,201	0
Capital	3-2-1	ENERGY ASSISTANCE PROGRAMS	8,056	14,092	0
Capital	5-1-1	TITLING & LICENSING	14,776	18,155	0
Capital	5-1-2	INSPECTIONS	14,255	19,841	0
Capital	5-1-3	ENFORCEMENT	13,212	18,101	0
Capital	4-1-1	MONITOR HOUSING REQUIREMENTS	20,340	36,967	0
Capital	4-1-2	MONITOR CONTRACT REQUIREMENTS	4,212	7,367	0
Capital	2-1-1	HOUSING RESOURCE CENTER	2,728	6,470	0
Capital	2-2-1	COLONIA SERVICE CENTERS	1,866	3,387	0
TOTAL, PROJECT			\$182,241	\$291,211	\$0

7000 Data Center Consolidation

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Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	EXP 2016	EXP 2017	BUD 2018
5/5		DCS Disaster Recovery Services			
Capital	6-1-1	CENTRAL ADMINISTRATION	0	0	\$6,975
Capital	6-1-2	INFORMATION RESOURCE TECHNOLOGIES	0	0	2,857
Capital	6-1-3	OPERATING/SUPPORT	0	0	1,039
Capital	1-1-4	SECTION 8 RENTAL ASSISTANCE	0	0	649
Capital	1-1-6	FEDERAL TAX CREDITS	0	0	623
Capital	1-1-1	MRB PROGRAM - SINGLE FAMILY	0	0	1,857
Capital	1-1-2	HOME PROGRAM	0	0	2,312
Capital	1-1-5	SECTION 811 PRA	0	0	3,429
Capital	3-1-1	POVERTY-RELATED FUNDS	0	0	1,935
Capital	3-2-1	ENERGY ASSISTANCE PROGRAMS	0	0	1,987
Capital	5-1-1	TITLING & LICENSING	0	0	2,736
Capital	5-1-2	INSPECTIONS	0	0	2,658
Capital	5-1-3	ENFORCEMENT	0	0	2,424
Capital	4-1-1	MONITOR HOUSING REQUIREMENTS	0	0	4,857
Capital	4-1-2	MONITOR CONTRACT REQUIREMENTS	0	0	1,104
Capital	2-1-1	HOUSING RESOURCE CENTER	0	0	1,377
Capital	2-2-1	COLONIA SERVICE CENTERS	0	0	273
TOTAL, PROJECT			\$0	\$0	\$39,092

8000 Centralized Accounting and Payroll/Personnel System (CAPPS)

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Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	EXP 2016	EXP 2017	BUD 2018
6/6		<i>PeopleSoft Financials Upgrade</i>			
Capital	6-1-1	CENTRAL ADMINISTRATION	0	0	\$89,203
Capital	6-1-2	INFORMATION RESOURCE TECHNOLOGIES	0	0	36,545
Capital	6-1-3	OPERATING/SUPPORT	0	0	13,289
Capital	1-1-4	SECTION 8 RENTAL ASSISTANCE	0	0	8,306
Capital	1-1-6	FEDERAL TAX CREDITS	0	0	43,854
Capital	1-1-1	MRB PROGRAM - SINGLE FAMILY	0	0	23,754
Capital	1-1-7	MRB PROGRAM - MULTIFAMILY	0	0	7,973
Capital	1-1-2	HOME PROGRAM	0	0	29,568
Capital	3-1-1	POVERTY-RELATED FUNDS	0	0	24,751
Capital	3-2-1	ENERGY ASSISTANCE PROGRAMS	0	0	25,415
Capital	5-1-1	TITLING & LICENSING	0	0	35,000
Capital	5-1-2	INSPECTIONS	0	0	34,000
Capital	5-1-3	ENFORCEMENT	0	0	31,000
Capital	4-1-1	MONITOR HOUSING REQUIREMENTS	0	0	62,126
Capital	4-1-2	MONITOR CONTRACT REQUIREMENTS	0	0	14,120
Capital	2-1-1	HOUSING RESOURCE CENTER	0	0	17,608
Capital	2-2-1	COLONIA SERVICE CENTERS	0	0	3,488
Informational	6-1-1	CENTRAL ADMINISTRATION	0	0	243,295
Informational	6-1-2	INFORMATION RESOURCE TECHNOLOGIES	0	0	27,676
TOTAL, PROJECT			\$0	\$0	\$770,971

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Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	EXP 2016	EXP 2017	BUD 2018
7/7		<i>PeopleSoft Financials Maintenance</i>			
Capital	6-1-1	CENTRAL ADMINISTRATION	7,072	7,327	\$9,812
Capital	6-1-2	INFORMATION RESOURCE TECHNOLOGIES	3,659	3,661	4,020
Capital	6-1-3	OPERATING/SUPPORT	1,464	1,469	1,461
Capital	1-1-4	SECTION 8 RENTAL ASSISTANCE	988	989	914
Capital	1-1-6	FEDERAL TAX CREDITS	4,281	4,280	4,824
Capital	1-1-1	MRB PROGRAM - SINGLE FAMILY	2,635	2,635	2,613
Capital	1-1-7	MRB PROGRAM - MULTIFAMILY	933	2,084	877
Capital	1-1-2	HOME PROGRAM	4,519	4,518	3,252
Capital	3-1-1	POVERTY-RELATED FUNDS	2,195	2,196	2,723
Capital	3-2-1	ENERGY ASSISTANCE PROGRAMS	2,763	2,762	2,796
Capital	5-1-1	TITLING & LICENSING	3,850	3,851	3,850
Capital	5-1-2	INSPECTIONS	3,740	3,740	3,740
Capital	5-1-3	ENFORCEMENT	3,410	3,408	3,410
Capital	4-1-1	MONITOR HOUSING REQUIREMENTS	6,970	6,968	6,834
Capital	4-1-2	MONITOR CONTRACT REQUIREMENTS	1,445	1,445	1,553
Capital	2-1-1	HOUSING RESOURCE CENTER	2,086	931	1,937
Capital	2-2-1	COLONIA SERVICE CENTERS	640	640	384
Informational	6-1-1	CENTRAL ADMINISTRATION	0	111,294	0
TOTAL, PROJECT			\$52,650	\$164,198	\$55,000

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Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	EXP 2016	EXP 2017	BUD 2018
	TOTAL CAPITAL, ALL PROJECTS	\$234,891	\$344,115	\$1,529,092
	TOTAL INFORMATIONAL, ALL PROJECTS	\$0	\$111,294	\$270,971
	TOTAL, ALL PROJECTS	\$234,891	\$455,409	\$1,800,063

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**Federal Funds Supporting
Schedule**

4.B. Federal Funds Supporting Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
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Agency code: **332** Agency name: Department of Housing and Community Affairs

CFDA NUMBER/ STRATEGY	EXP 2016	EXP 2017	BUD 2018
14.000.002 HUD DU100K90016710			
5 - 1 - 2 INSPECTIONS	398,415	368,641	200,000
5 - 1 - 3 ENFORCEMENT	187,186	183,536	100,000
TOTAL, ALL STRATEGIES	\$585,601	\$552,177	\$300,000
ADDL FED FNDS FOR EMPL BENEFITS	138,328	127,143	127,143
TOTAL, FEDERAL FUNDS	\$723,929	\$679,320	\$427,143
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
14.228.000 Community Development Blo			
1 - 1 - 2 HOME PROGRAM	2,141,323	2,376,546	1,800,000
TOTAL, ALL STRATEGIES	\$2,141,323	\$2,376,546	\$1,800,000
ADDL FED FNDS FOR EMPL BENEFITS	52,244	21,016	21,016
TOTAL, FEDERAL FUNDS	\$2,193,567	\$2,397,562	\$1,821,016
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
14.231.000 Emergency Shelter Grants			
3 - 1 - 1 POVERTY-RELATED FUNDS	8,817,205	9,028,982	9,028,982
TOTAL, ALL STRATEGIES	\$8,817,205	\$9,028,982	\$9,028,982
ADDL FED FNDS FOR EMPL BENEFITS	39,467	51,152	51,152
TOTAL, FEDERAL FUNDS	\$8,856,672	\$9,080,134	\$9,080,134
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
14.239.000 HOME Investment Partnersh			
1 - 1 - 2 HOME PROGRAM	22,857,705	22,825,089	22,681,241
4 - 1 - 2 MONITOR CONTRACT REQUIREMENTS	390,597	374,093	517,941

4.B. Federal Funds Supporting Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
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Agency code: 332 Agency name: Department of Housing and Community Affairs

CFDA NUMBER/ STRATEGY	EXP 2016	EXP 2017	BUD 2018
TOTAL, ALL STRATEGIES	\$23,248,302	\$23,199,182	\$23,199,182
ADDL FED FNDS FOR EMPL BENEFITS	407,122	333,096	333,096
TOTAL, FEDERAL FUNDS	\$23,655,424	\$23,532,278	\$23,532,278
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
14.258.000 Tax Credit Assistance Prgm-Stimulus			
1 - 1 - 2 HOME PROGRAM	7,977,285	5,328,001	5,000,000
TOTAL, ALL STRATEGIES	\$7,977,285	\$5,328,001	\$5,000,000
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$7,977,285	\$5,328,001	\$5,000,000
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
14.275.000 Housing Trust Fund			
1 - 1 - 2 HOME PROGRAM	0	0	4,789,476
TOTAL, ALL STRATEGIES	\$0	\$0	\$4,789,476
ADDL FED FNDS FOR EMPL BENEFITS	0	0	15,000
TOTAL, FEDERAL FUNDS	\$0	\$0	\$4,804,476
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
14.326.000 Sec 811 PRA Demo			
1 - 1 - 5 SECTION 811 PRA	12,008,480	56,054	425,294
TOTAL, ALL STRATEGIES	\$12,008,480	\$56,054	\$425,294
ADDL FED FNDS FOR EMPL BENEFITS	0	0	13,000
TOTAL, FEDERAL FUNDS	\$12,008,480	\$56,054	\$438,294
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0

4.B. Federal Funds Supporting Schedule
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Agency code: **332** Agency name: Department of Housing and Community Affairs

CFDA NUMBER/ STRATEGY	EXP 2016	EXP 2017	BUD 2018
14.871.000 SECTION 8 HOUSING CHOICE VOUCHERS			
1 - 1 - 4 SECTION 8 RENTAL ASSISTANCE	6,317,597	6,483,988	6,250,000
TOTAL, ALL STRATEGIES	\$6,317,597	\$6,483,988	\$6,250,000
ADDL FED FNDS FOR EMPL BENEFITS	85,216	79,017	79,017
TOTAL, FEDERAL FUNDS	\$6,402,813	\$6,563,005	\$6,329,017
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
81.042.000 Weatherization Assistance			
3 - 2 - 1 ENERGY ASSISTANCE PROGRAMS	5,165,132	5,480,562	5,480,562
TOTAL, ALL STRATEGIES	\$5,165,132	\$5,480,562	\$5,480,562
ADDL FED FNDS FOR EMPL BENEFITS	36,317	45,880	45,880
TOTAL, FEDERAL FUNDS	\$5,201,449	\$5,526,442	\$5,526,442
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
93.568.000 Low-Income Home Energy As			
3 - 2 - 1 ENERGY ASSISTANCE PROGRAMS	114,644,576	118,304,182	118,304,182
TOTAL, ALL STRATEGIES	\$114,644,576	\$118,304,182	\$118,304,182
ADDL FED FNDS FOR EMPL BENEFITS	193,089	170,088	170,088
TOTAL, FEDERAL FUNDS	\$114,837,665	\$118,474,270	\$118,474,270
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
93.569.000 Community Services Block			
3 - 1 - 1 POVERTY-RELATED FUNDS	34,162,218	34,201,157	34,139,033
4 - 1 - 2 MONITOR CONTRACT REQUIREMENTS	77,818	38,879	101,003

4.B. Federal Funds Supporting Schedule
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Agency code: **332** Agency name: Department of Housing and Community Affairs

CFDA NUMBER/ STRATEGY	EXP 2016	EXP 2017	BUD 2018
TOTAL, ALL STRATEGIES	\$34,240,036	\$34,240,036	\$34,240,036
ADDL FED FNDS FOR EMPL BENEFITS	219,621	204,609	204,609
TOTAL, FEDERAL FUNDS	\$34,459,657	\$34,444,645	\$34,444,645
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0

4.B. Federal Funds Supporting Schedule
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Agency code: 332 Agency name: Department of Housing and Community Affairs

CFDA NUMBER/ STRATEGY	EXP 2016	EXP 2017	BUD 2018
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SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS

14.000.002	HUD DU100K90016710	585,601	552,177	300,000
14.228.000	Community Development Blo	2,141,323	2,376,546	1,800,000
14.231.000	Emergency Shelter Grants	8,817,205	9,028,982	9,028,982
14.239.000	HOME Investment Partnersh	23,248,302	23,199,182	23,199,182
14.258.000	Tax Credit Assistance Prgm-Stimulus	7,977,285	5,328,001	5,000,000
14.275.000	Housing Trust Fund	0	0	4,789,476
14.326.000	Sec 811 PRA Demo	12,008,480	56,054	425,294
14.871.000	SECTION 8 HOUSING CHOICE VOUCHERS	6,317,597	6,483,988	6,250,000
81.042.000	Weatherization Assistance	5,165,132	5,480,562	5,480,562
93.568.000	Low-Income Home Energy As	114,644,576	118,304,182	118,304,182
93.569.000	Community Services Block	34,240,036	34,240,036	34,240,036

4.B. Federal Funds Supporting Schedule
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Agency code: **332** Agency name: Department of Housing and Community Affairs

CFDA NUMBER/ STRATEGY	EXP 2016	EXP 2017	BUD 2018
TOTAL, ALL STRATEGIES	\$215,145,537	\$205,049,710	\$208,817,714
TOTAL , ADDL FED FUNDS FOR EMPL BENEFITS	1,171,404	1,032,001	1,060,001
TOTAL, FEDERAL FUNDS	\$216,316,941	\$206,081,711	\$209,877,715
TOTAL, ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0

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**Federal Funds Tracking
Schedule**

4.C. Federal Funds Tracking Schedule
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DATE: 12/1/2017
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Agency code: 332

Agency name: **Department of Housing and Community Affairs**

Federal FY		Expended SFY 2015	Expended SFY 2016	Expended SFY 2017	Budgeted SFY 2018	Estimated SFY 2019	Estimated SFY 2020	Total	Difference from Award
<u>CFDA 14.000.002 HUD DU100K90016710</u>									
2015	\$541,362	\$541,362	\$0	\$0	\$0	\$0	\$0	\$541,362	\$0
2016	\$585,601	\$0	\$585,601	\$0	\$0	\$0	\$0	\$585,601	\$0
2017	\$552,177	\$0	\$0	\$552,177	\$0	\$0	\$0	\$552,177	\$0
2018	\$300,000	\$0	\$0	\$0	\$300,000	\$0	\$0	\$300,000	\$0
2019	\$300,000	\$0	\$0	\$0	\$0	\$300,000	\$0	\$300,000	\$0
Total	\$2,279,140	\$541,362	\$585,601	\$552,177	\$300,000	\$300,000	\$0	\$2,279,140	\$0
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Empl. Benefit Payment		\$146,213	\$138,328	\$127,143	\$127,143	\$127,143	\$127,143	\$793,113	

4.C. Federal Funds Tracking Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
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Agency code: 332

Agency name: **Department of Housing and Community Affairs**

Federal FY		Expended SFY 2015	Expended SFY 2016	Expended SFY 2017	Budgeted SFY 2018	Estimated SFY 2019	Estimated SFY 2020	Total	Difference from Award
CFDA 14.228.000 Community Development Blo									
2015	\$1,942,839	\$1,942,839	\$0	\$0	\$0	\$0	\$0	\$1,942,839	\$0
2016	\$2,141,323	\$0	\$2,141,323	\$0	\$0	\$0	\$0	\$2,141,323	\$0
2017	\$2,376,546	\$0	\$0	\$2,376,546	\$0	\$0	\$0	\$2,376,546	\$0
2018	\$1,800,000	\$0	\$0	\$0	\$1,800,000	\$0	\$0	\$1,800,000	\$0
2019	\$1,800,000	\$0	\$0	\$0	\$0	\$1,000,000	\$800,000	\$1,800,000	\$0
Total	\$10,060,708	\$1,942,839	\$2,141,323	\$2,376,546	\$1,800,000	\$1,000,000	\$800,000	\$10,060,708	\$0

Empl. Benefit Payment	\$126,764	\$52,244	\$21,016	\$21,016	\$21,016	\$21,016	\$21,016	\$263,072	
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4.C. Federal Funds Tracking Schedule
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Agency code: 332

Agency name: **Department of Housing and Community Affairs**

Federal FY		Expended SFY 2015	Expended SFY 2016	Expended SFY 2017	Budgeted SFY 2018	Estimated SFY 2019	Estimated SFY 2020	Total	Difference from Award
CFDA 14.231.000 Emergency Shelter Grants									
2013	\$6,944,311	\$2,009,474	\$1,669,735	\$0	\$0	\$0	\$0	\$3,679,209	\$3,265,102
2014	\$8,239,076	\$7,145,035	\$920,126	\$0	\$0	\$0	\$0	\$8,065,161	\$173,915
2015	\$8,891,395	\$71,631	\$6,130,854	\$2,688,910	\$0	\$0	\$0	\$8,891,395	\$0
2016	\$8,817,205	\$0	\$0	\$6,436,432	\$2,104,301	\$276,472	\$0	\$8,817,205	\$0
2017	\$9,028,982	\$0	\$0	\$0	\$6,500,000	\$2,000,000	\$528,982	\$9,028,982	\$0
2018	\$9,028,982	\$0	\$0	\$0	\$0	\$6,500,000	\$2,000,000	\$8,500,000	\$528,982
2019	\$9,028,982	\$0	\$0	\$0	\$0	\$0	\$6,500,000	\$6,500,000	\$2,528,982
Total	\$59,978,933	\$9,226,140	\$8,720,715	\$9,125,342	\$8,604,301	\$8,776,472	\$9,028,982	\$53,481,952	\$6,496,981
<hr/>									
Empl. Benefit Payment		\$51,814	\$39,467	\$51,152	\$51,152	\$51,152	\$51,152	\$295,889	

4.C. Federal Funds Tracking Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
 TIME : 3:32:17PM

Agency code: 332

Agency name: **Department of Housing and Community Affairs**

Federal FY		Expended SFY 2015	Expended SFY 2016	Expended SFY 2017	Budgeted SFY 2018	Estimated SFY 2019	Estimated SFY 2020	Total	Difference from Award
CFDA 14.239.000 HOME Investment Partnersh									
2010	\$43,593,825	\$11,821,269	\$0	\$0	\$0	\$0	\$0	\$11,821,269	\$31,772,556
2011	\$39,180,788	\$5,004,017	\$7,699,414	\$0	\$0	\$0	\$0	\$12,703,431	\$26,477,357
2012	\$24,284,636	\$7,463,009	\$2,323,773	\$2,303,533	\$0	\$0	\$0	\$12,090,315	\$12,194,321
2013	\$24,029,941	\$5,924,796	\$4,982,185	\$5,967,752	\$951,278	\$0	\$0	\$17,826,011	\$6,203,930
2014	\$24,483,424	\$2,320,501	\$8,214,416	\$7,402,481	\$5,575,863	\$970,163	\$0	\$24,483,424	\$0
2015	\$21,575,627	\$0	\$1,825,861	\$2,655,342	\$3,458,984	\$8,817,720	\$4,817,720	\$21,575,627	\$0
2016	\$23,248,302	\$0	\$0	\$1,984,655	\$2,154,646	\$6,615,891	\$4,431,847	\$15,187,039	\$8,061,263
2017	\$23,199,182	\$0	\$0	\$0	\$7,182,788	\$5,598,185	\$6,615,891	\$19,396,864	\$3,802,318
2018	\$23,199,182	\$0	\$0	\$0	\$0	\$2,043,672	\$5,598,185	\$7,641,857	\$15,557,325
2019	\$23,199,182	\$0	\$0	\$0	\$0	\$0	\$2,043,672	\$2,043,672	\$21,155,510
Total	\$269,994,089	\$32,533,592	\$25,045,649	\$20,313,763	\$19,323,559	\$24,045,631	\$23,507,315	\$144,769,509	\$125,224,580
Empl. Benefit Payment									
		\$510,453	\$407,122	\$333,096	\$333,096	\$333,096	\$333,096	\$2,249,959	

4.C. Federal Funds Tracking Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
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Agency code: 332

Agency name: **Department of Housing and Community Affairs**

Federal FY		Expended SFY 2015	Expended SFY 2016	Expended SFY 2017	Budgeted SFY 2018	Estimated SFY 2019	Estimated SFY 2020	Total	Difference from Award
CFDA 14.258.000 Tax Credit Assistance Prgm-Stimulus									
2015	\$5,963,505	\$5,963,505	\$0	\$0	\$0	\$0	\$0	\$5,963,505	\$0
2016	\$7,977,285	\$0	\$1,800,000	\$4,000,000	\$2,177,285	\$0	\$0	\$7,977,285	\$0
2017	\$5,328,001	\$0	\$0	\$0	\$4,200,000	\$1,128,001	\$0	\$5,328,001	\$0
2018	\$5,000,000	\$0	\$0	\$0	\$0	\$4,200,000	\$800,000	\$5,000,000	\$0
2019	\$5,000,000	\$0	\$0	\$0	\$0	\$0	\$4,200,000	\$4,200,000	\$800,000
Total	\$29,268,791	\$5,963,505	\$1,800,000	\$4,000,000	\$6,377,285	\$5,328,001	\$5,000,000	\$28,468,791	\$800,000
<hr/>									
Empl. Benefit Payment		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

4.C. Federal Funds Tracking Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
 TIME : 3:32:17PM

Agency code: 332

Agency name: **Department of Housing and Community Affairs**

Federal FY	Expended SFY 2015	Expended SFY 2016	Expended SFY 2017	Budgeted SFY 2018	Estimated SFY 2019	Estimated SFY 2020	Total	Difference from Award
<u>CFDA 14.275.000 Housing Trust Fund</u>								
2018	\$4,789,476	\$0	\$0	\$1,000,000	\$2,500,000	\$1,289,476	\$4,789,476	\$0
2019	\$4,789,476	\$0	\$0	\$0	\$1,500,000	\$2,900,000	\$4,400,000	\$389,476
Total	\$9,578,952	\$0	\$0	\$1,000,000	\$4,000,000	\$4,189,476	\$9,189,476	\$389,476
<hr/>								
Empl. Benefit Payment	\$0	\$0	\$0	\$15,000	\$15,000	\$15,000	\$45,000	

4.C. Federal Funds Tracking Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
 TIME : 3:32:17PM

Agency code: 332

Agency name: **Department of Housing and Community Affairs**

Federal FY		Expended SFY 2015	Expended SFY 2016	Expended SFY 2017	Budgeted SFY 2018	Estimated SFY 2019	Estimated SFY 2020	Total	Difference from Award
CFDA 14.326.000 Sec 811 PRA Demo									
2012	\$12,342,000	\$5,550	\$8,480	\$56,054	\$83,294	\$2,200,000	\$2,200,000	\$4,553,378	\$7,788,622
2013	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2014	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2015	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2016	\$12,000,000	\$0	\$0	\$0	\$342,000	\$1,500,000	\$1,500,000	\$3,342,000	\$8,658,000
2017	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2018	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2019	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$24,342,000	\$5,550	\$8,480	\$56,054	\$425,294	\$3,700,000	\$3,700,000	\$7,895,378	\$16,446,622
<hr/>									
Empl. Benefit Payment		\$0	\$0	\$0	\$13,000	\$13,000	\$13,000	\$39,000	

4.C. Federal Funds Tracking Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
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Agency code: 332

Agency name: **Department of Housing and Community Affairs**

Federal FY		Expended SFY 2015	Expended SFY 2016	Expended SFY 2017	Budgeted SFY 2018	Estimated SFY 2019	Estimated SFY 2020	Total	Difference from Award
<u>CFDA 14.871.000 SECTION 8 HOUSING CHOICE VOUCHERS</u>									
2014	\$5,712,457	\$2,012,982	\$0	\$0	\$0	\$0	\$0	\$2,012,982	\$3,699,475
2015	\$5,896,778	\$3,856,797	\$2,039,981	\$0	\$0	\$0	\$0	\$5,896,778	\$0
2016	\$6,317,597	\$0	\$4,277,615	\$2,039,982	\$0	\$0	\$0	\$6,317,597	\$0
2017	\$6,483,988	\$0	\$0	\$4,444,006	\$2,039,982	\$0	\$0	\$6,483,988	\$0
2018	\$6,250,000	\$0	\$0	\$0	\$4,210,018	\$2,039,982	\$0	\$6,250,000	\$0
2019	\$6,250,000	\$0	\$0	\$0	\$0	\$4,210,018	\$2,039,982	\$6,250,000	\$0
Total	\$36,910,820	\$5,869,779	\$6,317,596	\$6,483,988	\$6,250,000	\$6,250,000	\$2,039,982	\$33,211,345	\$3,699,475
<hr/>									
Empl. Benefit Payment		\$76,746	\$85,216	\$79,017	\$79,017	\$79,017	\$79,017	\$478,030	

4.C. Federal Funds Tracking Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
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Agency code: 332

Agency name: **Department of Housing and Community Affairs**

Federal FY		Expended SFY 2015	Expended SFY 2016	Expended SFY 2017	Budgeted SFY 2018	Estimated SFY 2019	Estimated SFY 2020	Total	Difference from Award
CFDA 81.042.000 Weatherization Assistance									
2013	\$4,289,956	\$2,707,698	\$0	\$0	\$0	\$0	\$0	\$2,707,698	\$1,582,258
2014	\$4,284,475	\$2,935,369	\$1,349,106	\$0	\$0	\$0	\$0	\$4,284,475	\$0
2015	\$4,657,454	\$0	\$4,489,088	\$168,366	\$0	\$0	\$0	\$4,657,454	\$0
2016	\$5,165,132	\$0	\$0	\$4,762,802	\$402,330	\$0	\$0	\$5,165,132	\$0
2017	\$5,480,562	\$0	\$0	\$0	\$5,085,011	\$395,551	\$0	\$5,480,562	\$0
2018	\$5,480,562	\$0	\$0	\$0	\$0	\$5,000,000	\$480,562	\$5,480,562	\$0
2019	\$5,480,562	\$0	\$0	\$0	\$0	\$0	\$5,000,000	\$5,000,000	\$480,562
Total	\$34,838,703	\$5,643,067	\$5,838,194	\$4,931,168	\$5,487,341	\$5,395,551	\$5,480,562	\$32,775,883	\$2,062,820
<hr/>									
Empl. Benefit Payment		\$39,876	\$36,317	\$45,880	\$45,880	\$45,880	\$45,880	\$259,713	

4.C. Federal Funds Tracking Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
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Agency code: 332

Agency name: **Department of Housing and Community Affairs**

Federal FY		Expended SFY 2015	Expended SFY 2016	Expended SFY 2017	Budgeted SFY 2018	Estimated SFY 2019	Estimated SFY 2020	Total	Difference from Award
CFDA 93.568.000 Low-Income Home Energy As									
2013	\$127,064,242	\$1,953,633	\$0	\$0	\$0	\$0	\$0	\$1,953,633	\$125,110,609
2014	\$128,951,355	\$78,255,894	\$0	\$0	\$0	\$0	\$0	\$78,255,894	\$50,695,461
2015	\$117,472,748	\$45,500,925	\$71,971,823	\$0	\$0	\$0	\$0	\$117,472,748	\$0
2016	\$114,644,576	\$0	\$52,262,329	\$62,382,247	\$0	\$0	\$0	\$114,644,576	\$0
2017	\$118,304,182	\$0	\$0	\$54,245,413	\$64,058,769	\$0	\$0	\$118,304,182	\$0
2018	\$118,304,182	\$0	\$0	\$0	\$55,000,000	\$63,304,182	\$0	\$118,304,182	\$0
2019	\$118,304,182	\$0	\$0	\$0	\$0	\$55,000,000	\$63,304,182	\$118,304,182	\$0
Total	\$843,045,467	\$125,710,452	\$124,234,152	\$116,627,660	\$119,058,769	\$118,304,182	\$63,304,182	\$667,239,397	\$175,806,070
<hr/>									
Empl. Benefit Payment		\$205,899	\$193,089	\$170,088	\$170,088	\$170,088	\$170,088	\$1,079,340	

4.C. Federal Funds Tracking Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
 TIME : 3:32:17PM

Agency code: 332

Agency name: **Department of Housing and Community Affairs**

Federal FY		Expended SFY 2015	Expended SFY 2016	Expended SFY 2017	Budgeted SFY 2018	Estimated SFY 2019	Estimated SFY 2020	Total	Difference from Award
CFDA 93.569.000 Community Services Block									
2014	\$31,980,494	\$20,845,223	\$0	\$0	\$0	\$0	\$0	\$20,845,223	\$11,135,271
2015	\$32,267,297	\$9,326,989	\$22,940,308	\$0	\$0	\$0	\$0	\$32,267,297	\$0
2016	\$34,240,036	\$0	\$7,411,492	\$26,828,544	\$0	\$0	\$0	\$34,240,036	\$0
2017	\$34,240,036	\$0	\$0	\$6,376,086	\$27,863,950	\$0	\$0	\$34,240,036	\$0
2018	\$34,240,036	\$0	\$0	\$0	\$6,500,000	\$27,740,036	\$0	\$34,240,036	\$0
2019	\$34,240,036	\$0	\$0	\$0	\$0	\$6,500,000	\$27,740,036	\$34,240,036	\$0
Total	\$201,207,935	\$30,172,212	\$30,351,800	\$33,204,630	\$34,363,950	\$34,240,036	\$27,740,036	\$190,072,664	\$11,135,271
<hr/>									
Empl. Benefit Payment		\$177,436	\$219,621	\$204,609	\$204,609	\$204,609	\$204,609	\$1,215,493	

**Estimated Revenue Collections
Supporting Schedule**

4.D. Estimated Revenue Collections Supporting Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
 TIME: 3:32:40PM

Agency Code: 332

Agency name: Department of Housing and Community Affairs

FUND/ACCOUNT	Exp 2016	Exp 2017	Bud 2018
666 Appropriated Receipts			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3158 Manufactured Housing Trng Fees	105,900	104,400	113,820
3159 Mfg Housing Certificate - Title	3,393,734	3,382,652	3,467,597
3160 Mfg/Ind Housing Reg Fees	853,855	769,360	854,970
3161 Mfg/Ind Housing Inspect Fees	1,462,416	1,496,472	1,482,845
3163 Penalties Mfg/Ind Housing Violation	21,976	9,540	25,872
3573 Health Licenses for Camps	0	0	10,250
3719 Fees/Copies or Filing of Records	71	275	85
3802 Reimbursements-Third Party	140,898	76,503	148,318
Subtotal: Estimated Revenue	<u>5,978,850</u>	<u>5,839,202</u>	<u>6,103,757</u>
Total Available	<u>\$5,978,850</u>	<u>\$5,839,202</u>	<u>\$6,103,757</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(4,259,155)	(4,077,183)	(4,941,450)
Transfer Employee Benefits	(823,116)	(669,396)	(787,027)
Total, Deductions	<u>\$(5,082,271)</u>	<u>\$(4,746,579)</u>	<u>\$(5,728,477)</u>
Ending Fund/Account Balance	<u>\$896,579</u>	<u>\$1,092,623</u>	<u>\$375,280</u>

REVENUE ASSUMPTIONS:

Increase in revenues associated with licensing/registration fees in even fiscal years reflects the implementation of provisions of House Bill (HB) 460, 80th Texas Legislature, which requires that new and existing licensees (comp object - 3160) renewed every two years rather than annually, resulting in biennial fluctuations. It is anticipated that the current and projected revenue collections will be sufficient to meet the expenditure figures reflected under Goal E and other estimated appropriations for Rider 4. Not reflected in FY 2016-17 above is an estimated \$10,250 per year associated with migrant labor housing inspection and licensing fees. TDHCA did not have appropriation authority for these fees during this period and therefore could not retain them. During this period, TDHCA transferred the funds into General Revenue through Revenue Object 3573 - Health Licenses for Camp - Migrant Labor. The FY 2018-19 GAA provides TDHCA appropriation authority for the fees up \$10,250 per year as outlined in Rider 15 of TDHCA's bill pattern. Anticipated fees collected are noted in FY 2018.

CONTACT PERSON:

Kassu Asfaw and Elena Peinado

4.D. Estimated Revenue Collections Supporting Schedule
 85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/1/2017
 TIME: 3:32:40PM

Agency Code: 332

Agency name: Department of Housing and Community Affairs

FUND/ACCOUNT	Exp 2016	Exp 2017	Bud 2018
888 Earned Federal Funds			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3702 Fed Receipts-Earned Federal Funds	1,758,323	1,765,025	1,812,769
3851 Interest on St Deposits & Treas Inv	28,241	5,669	31,000
Subtotal: Estimated Revenue	<u>1,786,564</u>	<u>1,770,694</u>	<u>1,843,769</u>
Total Available	<u>\$1,786,564</u>	<u>\$1,770,694</u>	<u>\$1,843,769</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(1,688,919)	(1,692,889)	(1,770,863)
Transfer Employee Benefits	(58,087)	(60,149)	(62,883)
Total, Deductions	<u>\$(1,747,006)</u>	<u>\$(1,753,038)</u>	<u>\$(1,833,746)</u>
Ending Fund/Account Balance	<u>\$39,558</u>	<u>\$17,656</u>	<u>\$10,023</u>

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Ernie Palacios and Kristina Vavra

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Homeland Security Funding Schedule

IV.E HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 12/1/2017
 TIME: 3:32:53PM

85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Dept Housing-Comm Affairs**

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
OBJECTS OF EXPENSE				
4000	GRANTS	\$373,656	\$5,556	\$4,115,531
TOTAL, OBJECTS OF EXPENSE		\$373,656	\$5,556	\$4,115,531
METHOD OF FINANCING				
127	Community Affairs Fed Fd			
	CFDA 14.231.000, Emergency Shelter Grants	\$0	\$0	\$261,827
	CFDA 14.239.000, HOME Investment Partnersh	\$218,656	\$5,556	\$128,464
	CFDA 93.568.000, Low-Income Home Energy As	\$0	\$0	\$97,962
	CFDA 93.569.000, Community Services Block	\$155,000	\$0	\$3,627,278
	Subtotal, MOF (Federal Funds)	\$373,656	\$5,556	\$4,115,531
TOTAL, METHOD OF FINANCE		\$373,656	\$5,556	\$4,115,531
FULL-TIME-EQUIVALENT POSITIONS				
FUNDS PASSED THROUGH TO LOCAL ENTITIES		\$373,657	\$5,556	\$4,115,532
(Included in amounts above)				
NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION				

IV.E HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 12/1/2017
 TIME: 3:32:53PM

85th Regular Session, Fiscal Year 2018 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Dept Housing-Comm Affairs**

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
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USE OF HOMELAND SECURITY FUNDS

Through its federal programs, TDHCA provides limited funding to address needs associated with man-made & natural disasters as follows: Through the HOME Investments Partnership (“HOME”) Program (Strategy 1.1.2), TDHCA provided rental assistance and home repair/reconstruction to households affected by Dolly, the West explosion, the Bastrop wildfire, flooding in El Paso, and house fires in Prairie View & Ennis in 2016-17. HOME 2018 funding reflects funds committed as of 11/13/17 to provide rental assistance to specific households affected by Harvey; it is anticipated that additional HOME disaster funds will be committed and expended prior to the end of the year. Through the Emergency Solutions Grant (“ESG”) Program (Strategy C.1.1), TDHCA anticipates expending \$261,827 to provide rapid rehousing and essential services in areas affected by Harvey. Through its Community Services Block Grant (“CSBG”) Program (Strategy C.1.1.) TDHCA provided vouchers for essentials such as food, clothing, and shelter to communities affected by heavy rains in 2016 and by Harvey. 2016 CSBG funds reflect actual expenditures while 2018 funds reflect anticipated expenditures. Through the Low Income Home Energy Assistance Program (“LIHEAP”) (Strategy C.2.1), TDHCA has provided utility assistance to households affected by Harvey in Harris County. LIHEAP FY 2018 reflects informal estimates as of 10/30/17. TDHCA anticipates additional LIHEAP expenditures in FY 2018. LIHEAP can also be used for be used for motel/hotel vouchers under certain circumstances. Expenditures shown under this schedule reflect only expenditures or anticipated expenditures from funds set aside for disaster for these programs. The figures do not reflect total funds set aside for disaster from each program. Program expenditures related to assistance to disaster victims using regular program funding are not included.

IV.E HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 12/1/2017

Funds Passed through to Local Entities

TIME: 3:32:53PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Dept Housing-Comm Affairs**

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
METHOD OF FINANCE				
<u>127 Community Affairs Fed Fd</u>				
CFDA 14.231.000Emergency Shelter Grants				
	ACAM - Houston/Harris County	\$0	\$0	\$73,034
	Mid-Coast Family Services- Victoria	\$0	\$0	\$105,071
	SEARCH - Houston/Harris County	\$0	\$0	\$83,723
	CFDA Subtotal	\$0	\$0	\$261,828
CFDA 14.239.000HOME Investment Partnersh				
	City of Lyford	\$7,765	\$0	\$0
	City of Socorro	\$40,915	\$5,556	\$0
	Coastal Bend Center for Independent Living	\$0	\$0	\$102,872
	Combined Community Action, Inc.	\$16,372	\$0	\$0
	Economic Opportunities Advancement Corporation of	\$8,632	\$0	\$0
	New Braunfels Community Resources	\$0	\$0	\$25,592
	WREM Literacy Group	\$144,973	\$0	\$0
	CFDA Subtotal	\$218,657	\$5,556	\$128,464
CFDA 93.568.000Low-Income Home Energy As				
	Baker Ripley	\$0	\$0	\$97,962
	CFDA Subtotal	\$0	\$0	\$97,962
CFDA 93.569.000Community Services Block				
	Brazos Valley CAA	\$50,000	\$0	\$374,020
	CA Corp of South Texas	\$0	\$0	\$150,000
	CAC Victoria	\$0	\$0	\$450,000
	CC South Central	\$0	\$0	\$50,000
	Combined CAA	\$10,000	\$0	\$150,000

IV.E HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 12/1/2017

Funds Passed through to Local Entities

TIME: 3:32:53PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Dept Housing-Comm Affairs**

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
	EAC Gulf Coast	\$0	\$0	\$50,000
	Galveston County CAA	\$0	\$0	\$424,708
	GETCAP	\$0	\$0	\$100,000
	Gulf Coast CSA	\$95,000	\$0	\$1,268,311
	Nueces County CAA	\$0	\$0	\$176,710
	SETRPC	\$0	\$0	\$233,529
	Tri-County CAA	\$0	\$0	\$200,000
	CFDA Subtotal	\$155,000	\$0	\$3,627,278
	Subtotal MOF, (Federal Funds)	\$373,657	\$5,556	\$4,115,532
TOTAL		\$373,657	\$5,556	\$4,115,532

IV.E HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 12/1/2017

Funds Passed through to State Agencies

TIME: 3:32:53PM

85th Regular Session, Fiscal Year 2018 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **332** Agency name: **Dept Housing-Comm Affairs**

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
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